EXHIBIT C

Electronically Filed by Superior Court of CA, 1 ELIZABETH L. CROOKE, ESQ. [SBN 90305] County of Santa Clara, STEVEN J. LIPSCOMB, ESQ. [SBN 203266] 2 on 2/13/2024 3:08 PM ENGSTROM, LIPSCOMB & LACK 10100 SANTA MONICA BLVD., SUITE 1200 Reviewed By: J. Nguyen 3 LOS ANGELES, CA 90067-4113 Case #24CV430717 Tel.: (310) 552-3800 Envelope: 14397924 4 Fax: (310) 552-9434 EMAIL: bcrooke@elllaw.com 5 STEVEN C. MARKS (pending pro hac vice) 6 KRISTINA M. INFANTE (pending pro hac vice) PABLO ROJAS (pending pro hac vice) PODHURST ORSECK, P.A. SUNTRUST INTERNATIONAL CENTER ONE S.E. 3RD AVENUE, SUITE 2300 9 MIAMI, FLORIDA 33131 Tel.: (305) 358-2800 10 Fax: (305) 358-2382 11 Attorneys for Plaintiff 12 13 SUPERIOR COURT OF THE STATE OF CALIFORNIA 14 15 **COUNTY OF SANTA CLARA** 16 Case No.: 24CV430717 MARIA MAGDALENA OLARTE, individually and as personal representative of 17 FIRST AMENDED COMPLAINT FOR the Estate of LANCE MACLEAN, deceased, DAMAGES FOR 18 Plaintiffs, 1. TORTIOUS INTERFERENCE WITH 19 A DEAD BODY 2. WRONGFUL DEATH AND VS. 20 SURVIVAL NEGLIGENCE 3. PRODUCTS LIABILITY 21 THEODORE M. NEALE, a California resident 4. CONVERSION and citizen, SERGEY BRIN, a California 5. INJUNCTION 22 citizen, BAYSHORE GLOBAL MANAGEMENT LLC, a California limited 23 liability company, GOOGLE LLC, a California **DEMAND FOR JURY TRIAL** 24 limited liability company, SEAFLY LLC, a California limited liability company, 25 SOUTHERN CROSS AVIATION INC., and DOES 1 to 50, inclusive, 26 27 Defendants. Complaint Filed: February 8, 2024 28

465664 1 EIDST AMENDED COMPLAIN

Plaintiff, MARIA MAGDALENA OLARTE MACLEAN, as personal representative of the Estate of LANCE MACLEAN, deceased brings this action against Defendants THEODORE M. NEALE, SERGEY BRIN, BAYSHORE GLOBAL MANAGEMENT LLC, GOOGLE LLC, SEAFLY LLC, SOUTHERN CROSS AVIATION INC., and DOES 1 to 50 and alleges upon information and belief as follows:

GENERAL ALLEGATIONS

- 1. On May 20, 2023, at 1:54 p.m. local time, a Viking Air Ltd. DHC-6 Twin Otter Series 400—N153QS, crashed into the Pacific Ocean west of Half Moon Bay, California.
- 2. Defendants Sergey Brin ("Brin"), Bayshore Global Management LLC ("Bayshore"), and Google LLC ("Google") are owner/operators of the aircraft. They were responsible for procuring—and upon information did procure—liability and other insurance coverages for the aircraft.
- 3. The two pilots on board the aircraft—pilot Lance Maclean and co-pilot Dean Rushfeldt—died as a result of the crash. The pilots were the only ones on board.
- 4. The aircraft took off at 8:05 a.m. on May 20, 2023, from a Sonoma County airstrip in Santa Rosa, California bound for Honolulu, Hawaii.
- 5. The aircraft's ultimate destination was Brin's private island in Fiji. Upon information, the flight was performed at the direction, instruction and/or request of Brin, Bayshore and/or Google.



6. Brin, through Defendant Southern Cross Aviation Inc. ("Southern Cross"), contracted with both pilots to ferry his **\$8 million** aircraft from California to Fiji so Brin could treat his private guests to some island hopping.



7. Brin is known for hosting the rich and famous for parties at locations around the world.



8. Brin and his island-hopping guests were transported to Fiji in Brin's **\$15 million** 767-200 widebody airliner—just one of his extensive fleet of aircraft.



- 9. Southern Cross not only contracted the ferry-flight pilots for Brin, but Southern Cross also coordinated test flights, permits, flight briefs, and accommodations in advance of the flight. Southern Cross did not file a flight plan as required.
- 10. To get the aircraft from California to Fiji for Brin's personal use, Brin's agents instructed the subject aircraft's regular maintenance team, Defendant Seafly LLC ("Seafly"), to ready the ferry flight.
- 11. Defendant Theodore Neal served as the Director of Aviation for Seafly LLC ("Seafly"), the company responsible for maintaining the subject aircraft over a period of many years. Seafly mechanic James Kitti installed a ferry fuel system inside the aircraft's fuselage at the Santa Rosa airport prior to departure.

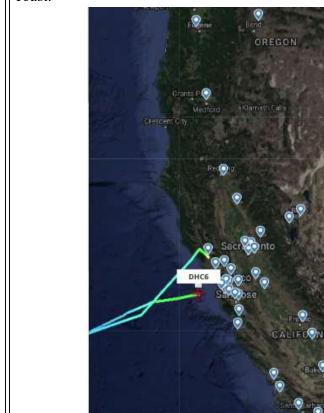


- 12. The ferry fuel system Kitti installed was purchased by Defendants Brin, Bayshore, and Google and was comprised of three (3) Turtle-Pac Pty. Ltd. manufactured "fuel bladders" with electric pumps in addition to hoses manufactured by Aeroquip Corporation.
- 13. The purpose of installing these fuel bladders was to permit the aircraft to accomplish the long flight from California to Hawaii. Without the fuel bladders, the subject aircraft would not have enough fuel to accomplish a flight of this length.
- 14. Kitti did not have the Turtle-Pac data to guide the installation of the ferry fuel system when he installed the bladders, valves, electric pumps, hoses, and straps for the doomed flight.
- 15. Instead, Kitti installed the ferry fuel system "from memory" without referring to a checklist and then did an air-leak check on the fuel bladders.
- 16. Kitti made no entry in the logbook regarding the ferry fuel installation, nor did he fill out or sign the required FAA Form 337.
- 17. Kitti did not log on a form as required under FAA maintenance and alterations regulations: a description of the work performed; the date of completion; the name of the person performing the work; whether the work was performed satisfactorily; the kind of certificate held by him; the certificate number; or sign the form. *See* 14 C.F.R. §§ 43.9(a), (d); 43.15(a)(1). FAR 91.405(b).
- 18. Defendants Bring, Bayshore, and Google contracted with Southern Cross to provide the fuel and tip tanks for the ferry flight.
- 19. For the first leg of the flight from Santa Rosa to Fiji by way of Honolulu, approximately **ten thousand (10,000) pounds of jet fuel** were loaded into the ferry tanks of the subject aircraft.
- 20. Defendants Brin, Bayshore, Google, and Seafly did not file a Special Permit for the ferry flight. *See* FAR 91.7 & FAR 21.197.
 - 21. The ferry flight took off without incident and proceeded on its course to Hawaii.
- 22. Several hours into the flight, the pilots radioed Seafly personnel asking for assistance with a ferry fuel system malfunction. Fuel was not transferring from the fuel bladders

into the main fuel tanks, starving the aircraft of fuel.

23. Without fuel transfer from the fuel bladders into the main tanks, the pilot-in-charge decedent LANCE MACLEAN immediately diverted the aircraft back East toward the California

coast.



24. Seafly personnel continued to troubleshoot the ferry fuel system's failure to transfer fuel via HF radio for approximately one-and-a-half hours to no avail.

25. The pilot decedent LANCE MACLEAN headed the aircraft toward the closest airstrip—a community airfield near Half Moon Bay.

26. With fuel quickly running out, the pilots declared an emergency.

27. Air Traffic Control alerted the United States Coast Guard ("USCG") that the aircraft was running out of fuel and would likely ditch in the ocean.

28. USCG was provided the aircraft's location.

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29. The last communication with the aircraft was at 3900 ft. and reported "Dead Stick."

30. The aircraft crashed into the sea at 1:54 p.m., just off the coast near Half Moon Bay. Its signal was lost two minutes later.

- 31. At 2:05 p.m., USCG dispatched a Dolphin helicopter from the San Francisco International Airport.
- 32. The chopper arrived at the crash site ten minutes later and found the subject aircraft upside-down floating in the water.



- 33. A USCG swimmer was hoisted down from the helicopter to attempt a rescue.
- 34. The swimmer found both pilots strapped in their seats in the submerged cockpit. According to the swimmer's observations, neither had survived the crash.
- The helicopter crew was unable to recover the bodies of the pilots or the aircraft at 35. that time, so the aircraft and the pilots subsequently sunk to the bottom of the sea, resting at a depth of less than 3,000 feet.
- 36. Upon information, the aircraft wreckage, including ~10,000 pounds of jet fuel, now lies within the Greater Farallones National Marine Sanctuary—home to sea otters, elephant

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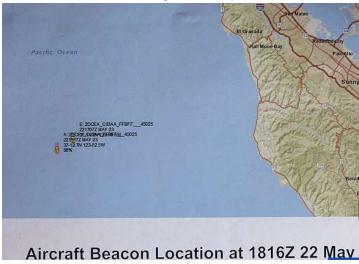
seals, rockfish, garibaldis, abalone, spiny lobsters, and millions of other marine organisms. Gray whales, an endangered species, migrate through the Marine Sanctuary each year.

- 37. Upon information, The Greater Farallones National Marine Sanctuary is located within the territorial sea of the United States and the State of California. See Ex. 1, GULF OF THE FARALLONES NATIONAL MARINE SANCTUARY FINAL MANAGEMENT PLAN (Dec. 2014).
- 38. The United States and the State of California extensively co-manage the Greater Farallones National Marine Sanctuary. See Ex. 1 at 29-31.
- 39. California agencies responsible for managing and protecting the Greater Farallones National Marine Sanctuary include the California Coastal Commission, the California State Lands Commission, the California Department of Fish and Wildlife, and the California Department of Parks and Recreation. See Ex. 1 at 31.

SERGEY BRIN'S PARTICULAR ROLE

40. As news of the May 20, 2023, crash and the subject aircraft's ties to Brin, Bayshore and Google spread, a spokesperson for Bayshore told the LA Times two days after the crash that "We are providing the families with assistance and will continue to do so as long as needed. Similarly, we are working to ensure all available resources are ready to assist in the recovery efforts once weather and seas provide safer conditions."

Yahoo! News article, May 22, 2023).



41. At that time, the aircraft's pingers on board were active.

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- 42. Not five days later, however, Brin's agents misrepresented to Plaintiff that no one could legally attempt to recover the aircraft because the National Oceanic and Atmospheric Administration ("NOAA") refused to grant permission for any underwater activities in the area.
- 43. That was not true. On June 13, 2023, NOAA denied it was standing in the way of the recovery effort, stating that no permit was, in fact, required.
- 44. From the outset of the crash, despite publicly assuring Plaintiff that her husband's remains would be recovered, Brin and his agents decided to leave him at the bottom of the ocean along with evidence that would establish that Defendants were responsible for the crash that killed the two pilots.
- 45. Due to Brin's agent's representation to Plaintiff that NOAA would not allow recovery of the aircraft, **twenty-four (24) days of critical search and recovery time were lost immediately following the crash**. This was the first of many delays that prevented recovery.
- 46. During the twenty-four (24) days when Plaintiff believed NOAA was dragging its feet, Plaintiff begged Brin's agents for help to recover her husband's remains, which they assured her would come soon.
- 47. When the lie about NOAA's blocking the recovery efforts was exposed, Plaintiff endured even more trauma realizing that Brin's agents, who publicly promised to "ensure all resources" would assist the recovery "as long as needed," did not mean it.
 - 48. But still Plaintiff held out hope that Brin would keep his word.
- 49. Brin is among the richest people in the world. If he wanted to recover the aircraft and the remains of those lost, it would be done.
- 50. The Titan—a submersible which was tragically lost in June of 2023 while exploring the wreckage of the Titanic in the North Atlantic, far from any significant land mass—was located in just four (4) days under worse conditions. All humans remains were recovered.
- 51. Instead of bringing all assets to bear to recover the aircraft and the pilots as promised, Brin partied on in Fiji, knowing that his long-time pilots and friends lay at the bottom of the ocean, having callously determined to keep them there.

- 52. Brin decided not to act because he, presumably, already knew the troubling facts that the FAA later uncovered in its investigation:
 - a. No Supplemental Type Certificate ("STC") was issued for the ferry fuel system installed on the subject aircraft. FAA will issue an STC only if (1) the pertinent technical data have been examined and found satisfactory; (2) all necessary tests and compliance instructions have been completed; and (3) the alteration has been found to confirm with the technical data.
 - In August 2013, an STC was requested for the subject aircraft. In its
 review of the request, FAA ACO discovered several areas of concern
 with the proposed installation, noting that the project required complex
 review.
 - Without obtaining the required STC, the original ferry fuel system was installed by Southern Cross on September 3, 2013, nevertheless.
 - ii. On April 4, 2015, without an STC, the subject aircraft was re-fitted with a ferry fuel system.
 - 1. Only the engineering data provided by the manufacturer was approved on Form 337. No STC approval was given for the ferry fuel system itself.
 - b. No FAA Form 337 appears to exist for the auxiliary fuel system installed on the subject aircraft prior to the fatal crash.
 - c. No logbook entries were made for the installation of the ferry fuel system used for the fatal flight.
 - d. No Special Airworthiness (Ferry Permit) was requested or approved for the fatal flight.
 - e. Brin's mechanic who installed the ferry system for the May 20, 2023, flight did not follow any written instructions, did not use a checklist, and failed to fill out the required paperwork. The mechanic was later fired.

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- f. No copy of the weight and balance computations for the flight were kept.
- 53. In June 2023, one month after the crash, a fuel bladder was recovered at Manhattan Beach, California. Plaintiff has not been informed as to whether the fuel bladder recovered at Manhattan Beach had anything to do with the subject aircraft.
- 54. Brin sent his agents to recover the bladder and conducted an aerial search (by helicopter) along sixty (60) miles of coastline, looking for more wreckage. None was found.
- 55. One month after the crash, on June 21, 2023, Brin's agents told Plaintiff that 24hour-a-day search operations would begin on June 25. Yet, on June 26, the search was called **off**, allegedly due to weather.
- The weather improved on June 28th, but by that time, Brin's agents had directed 56. the search vessel to San Diego—a two days' sail away from the search site.
- No explanation was given as to why the search vessel was not directed to a port 57. closer to the crash site to allow search and recovery efforts to immediately resume once the weather improved.
- Despite a forecast of "fine weather" the entire week of June 29th, Brin's agents 58. represented to Plaintiff that, once again, high waves and strong winds prevented any search and recovery efforts—for the next two weeks.
 - 59. Brin knew that this news would be devastating to Plaintiff, but he did not care.
- 60. The stall tactics had a tragic and insidious upshot. If the search did not proceed in June, the vessel selected by Brin and/or his agents would not be available for another month, further delaying the search.
 - 61. The search vessel had a one-month government contract for the month of July.
- 62. Stalling any search and recovery in June meant that the search and recovery effort would be delayed sixty (60) days from the date of the crash.
 - 63. Brin and his team were intentionally running out the clock.
- 64. By the time the search vessel would be available to resume search and recovery efforts, the pingers aboard the aircraft would be out of battery.

- 65. Brin's agents were pretending to help Plaintiff while blocking the recovery. They knew full well—because Plaintiff repeatedly told them—that Plaintiff could not sleep or eat until they had recovered her husband's body.
- 66. Even in the face of Plaintiff's tearful pleading to recover her husband's remains, Brin and his agents made countless decisions that stalled and delayed the recovery, and then stalled and delayed it some more.
- 67. Insurance typically does not cover unairworthy planes let alone unairworthy aircraft installed without an STC and operating without an FAA Special (Ferry Flight) Permit.
- 68. To pacify Plaintiff and make her believe that Brin truly wanted to help her, Brin's agents next represented to Plaintiff that they had retained a team of scientists to review aircraft data, drift models, images and all data provided by NOAA. No drift models have been publicly released or shared with Plaintiff. Nor is it clear how these data or models would substitute for concrete efforts to recover the aircraft, the very efforts that Brin and his agents promised.
- 69. On July 31, 2023, Brin's agents advised Plaintiff that, at long last, the search vessel would leave San Diego with an ROV onboard. **Sixty (60) days late.**
 - 70. Conveniently, the ROV *crew* was on standby in San Francisco.
- 71. Thus, the search vessel had to travel from San Diego to San Francisco to retrieve the ROV crew before it could return to the crash site to conduct a sonar search, wasting yet another three days.
- 72. And, just when the search vessel was ready to begin the sonar search, the search was **called off** once again due to "weather."
- 73. Each time the search vessel attempted to reach the search site, Plaintiff was anxiously watching the link Brin's agents provided her showing the vessel's location, 24/7.
- 74. Plaintiff watched hours upon hours, looking for signs that the vessel had found something.
 - a. Each time the search vessel reached the search site, Plaintiff prayed for success.

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- b. Each time the vessel exited the search site (usually within just a day or so), Plaintiff was thrown into despair.
- 75. The experienced team of search and recovery experts hired by Brin seemed oddly to arrive at the search site only when conditions were unfavorable for search and recovery over a period of sixty (60) days. This bizarre pattern of "weather"-related and other delays continued for weeks and weeks, aggravating Plaintiff's suffering.
- 76. According to the narrative spun by Brin's agents, they suffered the extreme bad luck of always encountering prohibitive weather and other freak delays each time that they sought to recover the aircraft wreckage and the pilots.
 - 77. The pingers on the aircraft had limited battery life.
- 78. Finally, on August 8, 2023, Brin's agents notified Plaintiff that a sonar search had identified the left wing of the aircraft and an engine. Neither was recovered.
- 79. After the left wing and engine were located, Brin's agents went radio silent. No more communications with Plaintiff. No more updates on search and recovery plans. Nothing.
- 80. Then, on August 14, 2023, NTSB advised Plaintiff that the NTSB (not Brin's agents) would be Plaintiff's primary point of contact going forward.
- 81. When Plaintiff attempted to contact Brin's agents thereafter, they told her that NTSB had instructed them not to communicate with her. That was not true.
- 82. In fact, NTSB had urged Plaintiff's representatives to urge Brin's agents to communicate with NTSB about next steps in the recovery effort.
- 83. Even though NTSB was responsible for the investigation, Brin was responsible for search and recovery.
- 84. When confronted with yet another lie that they had told, Brin's agents doubled down. They insisted that NTSB had ordered them not to communicate with Plaintiff. That was still not true.
- 85. Over many months, the acts and omissions of Brin and his agents had the effect of literally "burying" the evidence of the crash that killed the subject aircraft's two pilots.

- 86. Plaintiff could not bear the thought of her husband's body being forever entombed at the bottom of the ocean with no burial service—no religious ceremony; no recognition of his miliary service as a Navy pilot.
- 87. So, Plaintiff persisted in trying to get Brin to keep his promise to recover her husband's remains.
 - 88. In September 2023, Brin's agents finally responded to her pleas.
- 89. This time, Brin's agents told Plaintiff yet another story, this time blaming the U.S. Coast Guard ("USCG"). Plaintiff was told that USCG failed to deliver the data needed to locate the aircraft.
- 90. Distraught that the USCG would hinder the recovery of a Navy service member's remains, Plaintiff reached out.
- 91. Once again, the words of Brin's agents did not withstand basic scrutiny. USCG informed Plaintiff that not only had they provided all location data to Brin's agents and expedited their FOIA requests, but they had also asked Brin (as the owner of the aircraft) to give his permission to recover the wreckage. Apparently, Brin and/or his agents declined to grant such permission.
- 92. In October 2023, counsel for Plaintiff reached out to Google's in-house counsel to enlist the help of the global tech giant to retain the Titan team—still at the ready to conduct the search—to recover the aircraft wreckage and the human remains.
 - 93. The Titan team to this day still believes it can locate and recover the fuselage.
- 94. On October 5, 2023, counsel for Google represented to Plaintiff's counsel that it had "no involvement" with the May 20, 2023, crash and "does not own or otherwise have a relationship to the aircraft, its pilots, or other personnel."
- 95. Brin and his agents delayed the search and recovery effort of the subject aircraft, degrading and destroying evidence that would show that the ferry fuel system aboard the aircraft was illegally installed, causing the death of both pilots.
- 96. The stress and trauma endured by Plaintiff these past eight (8) months, hanging on by a thread and being strung along by Brin and his agents through a pattern of mistruths and

needless delays, has resulted in Plaintiff's seeking medical treatment for severe anxiety, depression, and sleeplessness.

97. Brin could have done what was promised by his spokesperson. He did not, even in the face of the Plaintiff's pain, because he chose to let Plaintiff suffer intense grief and anguish rather than face justice.

PARTIES

- 98. At all times material, Plaintiff MARIA MAGDALENA OLARTE MACLEAN is a citizen of the United States and a resident of the State of Florida. Plaintiff is the personal representative of the Estate of LANCE MACLEAN, deceased, and is the appropriate representative survivor and beneficiary under applicable law.
- 99. At all times material, Decedent LANCE MACLEAN was a citizen of the United States and a resident of the State of Florida.
- 100. At all times material Defendant THEODORE NEALE is a United States citizen, and a citizen and resident of the State of California.
- 101. At all times material Defendant SERGEY BRIN is a United States citizen and citizen of the State of California.
- 102. Defendant BAYSHORE GLOBAL MANAGEMENT LLC is a California limited liability company with its principal place of business in Palo Alto, California.
- 103. Defendant GOOGLE LLC is a California limited liability company with its principal place of business in Mountain View, California.
- 104. Defendant SEAFLY LLC is a California limited liability company with its principal place of business in Palo Alto, California.
- 105. Defendant SOUTHERN CROSS AVIATION, INC. is an Oregon corporation with its principal place of business in Florida.
- 106. Defendants DOES 1 to 50 are individuals and entities who are also liable for Plaintiff's injuries but whose identities are not known at this time. As soon as the DOES are identified, Plaintiff will amend the complaint to assert the appropriate claims against them.

in Broward County, Florida.

- 121. A substantial portion of the events, acts, or omissions giving rise to this action occurred in Santa Clara County.
- 122. Documents pertaining to individuals with knowledge regarding the search and recovery efforts for the subject aircraft are located in Santa Clara County.
- 123. Individuals possessing knowledge about search and recovery decisions made about the subject aircraft are located in Santa Clara County.
- 124. Corporate records related to the subject aircraft's permits, ferry fuel system design, installation and alterations, as well as flight plans, records related to other ferry flights, contracts between the Defendants, inspection, maintenance, use of the aircraft and for what purposes are located in Santa Clara County.
 - 125. The recovered fuel bladder is believed to be in NTSB custody.
- 126. The NTSB investigation into the crash is being conducted out of the agency's western pacific region.
- 127. The FAA investigation is being conducted out of the Oakland FSDO in Alameda, California.

FIRST CAUSE OF ACTION

TORTIOUS INTERFERENCE WITH A DEAD BODY

(Against Defendants Sergey Brin, Bayshore Global Management LLC and Does 1 - 10)

- 128. Plaintiff incorporates by reference each and every prior and subsequent allegation as though fully set forth herein.
- 129. Plaintiff is informed and believes, and thereon alleges, that at all times herein Defendants Brin and Does 1 through 10 engaged in a course of conduct designed to make Plaintiff believe that Brin would recover her husband's remains, while secretly engaging in a plan to ensure that the aircraft wreckage would never be recovered, depriving Plaintiff of her legal right to lay her husband to rest. *See* Cal. Health & Safety Code § 7100(a)(2).
- 130. Defendants Brin and Does 1 through 10 engaged in this scheme in reckless disregard of Plaintiff's extreme emotional and mental distress over the thought of her husband's body being at the bottom of the ocean after Brin promised, but never intended, to recover it.

131. The pacify and delay tactics used by Brin and his agents over eight (8) months were intended to and did have the effect of interfering with the proper and lawful recovery of, treatment of and respect toward the body of Plaintiff's deceased husband. And it necessarily caused Plaintiff extreme suffering and distress.



- 132. Defendants' outrageous conduct includes the following actions:
 - a. Publicly stating that Plaintiff's husband's remains would be recovered while secretly plotting never to do so.
 - b. Lying to Plaintiff that NOAA would not allow search and recovery efforts immediately following the crash without a permit, which was not true; thereby, delaying search and recovery efforts during the most critical time-period (in May) for twenty-four (24) days—knowing Plaintiff was in agony as she waited.

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- c. Assuring Plaintiff that the aircraft would be recovered which deprived Plaintiff of pursuing the recovery through other means at a time when the aircraft's pingers were still active.
- d. Intentionally delaying any search and recovery efforts until one month after the crash based on the lie that NOAA was in the way.
- e. Providing Plaintiff with a link to watch the search vessel's movements on June 25, knowing full well that the search would be called off the very next day—inflicting greater pain on Plaintiff.
- f. Purposefully directing the search vessel to a port two days' sail away from the search site on June 26, intentionally preventing search and recovery efforts to take place in June at the time when the subject aircraft's pingers could still help locate the aircraft.
- g. Delaying any meaningful search until the end of July in full knowledge that the pingers onboard had limited battery life.
- h. Failing to engage the Titan recovery team which team was at the ready to assist throughout.
- i. Making Plaintiff wait until the end of July—sixty (60) excruciating days—before planning an underwater sonar search for the wreckage.
- j. Delaying the sonar search by three (3) days because the search vessel had been directed to San Diego yet needed to travel to San Francisco to pick up the ROV crew.
- k. Calling off the sonar search after just one day, increasing the chances the aircraft would not be found.
- Lying to Plaintiff in August that NTSB ordered Brin's team not to communicate with Plaintiff after the left wing of the aircraft and an engine were located.
- m. Failing to recover the left wing and engine for the NTSB investigation.

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- n. Stopping all communications with Plaintiff and refusing to continue to search for the fuselage and human remains after the left wing and engine were found in August.
- o. Lying to Plaintiff in September that USCG had failed to provide location data to assist search and recovery efforts.
- p. Depriving Plaintiff of her statutory right to bury her husband for the sole purpose of protecting himself against answering for the pilot's death.
- q. Callously disregarding Plaintiff's pleas over a period of eight (8) months to keep his promise to recover her husband's remains, when Brin has the resources and capacity (although not the will) to keep that promise.
- r. Deciding that it was better to let his pilots and friends never receive a formal burial than get caught having an illegal fuel ferry system on board his \$8 million aircraft.
- 133. Defendants' outrageous conduct caused Plaintiff extreme emotional distress as follows:
 - a. Plaintiff believed Brin would recover her husband's remains based on the public statement by his spokesperson that he would.
 - b. Brin and his agents affirmatively took actions to give the false impression that they would work to recover the aircraft and human remains, such as hiring a search vessel and sending Plaintiff a link so she could monitor the vessel's location 24/7.
 - c. Brin is the seventh richest person in the world, so Plaintiff's reliance on his public promise was well-founded.
 - d. Plaintiff's husband had been Brin's pilot for years, so Plaintiff reasonably believed that Brin cared about the recovery of her husband's remains.
 - e. Plaintiff expected search and recovery efforts to begin immediately due to the urgency of locating the aircraft. Yet, Plaintiff suffered needlessly for twenty-

q. Knowing that her husband will never be given a religious ceremony or buried with military honors as a United States Navy pilot is gut wrenching.



134. Defendants subjected Plaintiff to their wrongful conduct and misrepresentations, depriving her of rights described herein, knowingly, maliciously, and with conscious and reckless disregard for whether the rights and well-being of Plaintiff and others would be violated by their acts and/or omissions. Plaintiff alleges and contends that the above-alleged conduct constitutes oppression, fraud, and malice, and a conscious disregard of the rights and safety of others under California Civil Code §3294, such that Plaintiff is entitled to punitive damages.

SECOND CAUSE OF ACTION

WRONGFUL DEATH

(Negligence against All Defendants)

135. Plaintiff incorporates by reference each and every prior and subsequent allegation as though fully set forth herein.

Defendant Owner and Operators Airworthiness Violations

136. Plaintiff is informed and believes, and thereon alleges, that at all times herein Defendants Brin, Bayshore, Google and Does 1 through 20 are owners and operators (collectively, "Owners and Operators")—as the terms are used in FAA regulations governing the airworthiness of aircraft—of the Viking Air Ltd. DHC-6 Twin Otter Series 400—N153QS, that crashed off of Half Moon Bay on May 20, 2023.

- 137. Owners and Operators had a legal duty to the decedent to operate and maintain an airworthy aircraft.
- 138. Owners and Operators operated the May 20, 2023, ferry-flight with an aircraft that was in unairworthy condition due to the unauthorized and illegal installation of the ferry fuel system on board in violation of 14 C.F.R. § 91.407(a)(2).
- 139. Owners and Operators authorized and paid for the subject aircraft to be fitted and then re-fitted with a ferry fuel system without the required STC in violation of 14 C.F.R. § 21.97.
- 140. Owners and Operators further failed to apply for or obtain a Special Flight (Ferry) Permit for the May 20, 2023, ferry flight as required by 14 C.F.R. § 21.97.
- 141. The above-described FAA airworthiness violations committed by Owners and Operators directly and proximately caused decedent LANCE MACLEAN's death.
- 142. The death of decedent LANCE MACLEAN resulted from a failure of the illegal ferry-fuel system.
- 143. The failure of the illegally-installed system caused the aircraft to run out of fuel and crash into the sea.
- 144. The FAA's airworthiness regulations cited above are designed to protect against failures and crashes caused by illegally-installed auxiliary fuel systems aboard unairworthy aircraft.
- 145. Decedent LANCE MACLEAN was a pilot aboard the unairworthy aircraft when it crashed. Pilots are within the class of persons whom the FAA's airworthiness regulations are designed to protect.
- 146. But for Owners' and Operators' operating an illegal ferry-fuel flight, the May 20, 2023 crash that killed the two pilots on board would not have occurred.

Defendants' Alteration Violations

147. Plaintiff is informed and believes, and thereon alleges, that at all times herein Defendants Brin, Neale, Bayshore, Google, Seafly, and Southern Cross illegally altered the Viking Air Ltd. DHC-6 Twin Otter Series 400—N153QS, that crashed off of Half Moon Bay on May 20, 2023.

- 148. All Defendants had a legal duty to decedent LANCE MACLEAN to maintain the airworthiness of the subject aircraft.
- 149. All Defendants had a legal duty to decedent LANCE MACLEAN not to alter the subject aircraft so as to render it unairworthy.
- 150. All Defendants purchased and/or installed the illegal ferry fuel system without an STC.
- 151. All Defendants contracted and paid for all arrangements for the May 20, 2023, flight to include test flights, fuel and fuel tips, permits, but failed to file a flight plan as required by 14 C.F.R. § 121.667.
- 152. All Defendants instructed that the tracking (GPS) system for the trans-Pacific flight be disengaged.
- 153. All Defendants paid for and procured all maintenance for the subject aircraft during the relevant time period.
- 154. Defendants' agent, Seafly mechanic James Kitti ("Kitti"), illegally altered the aircraft for the May 20, 2023, ferry flight by installing fuel bladders, valves, electric pumps, hoses, and straps, without following required procedures or recording the alterations made.
- 155. Kitti installed the illegal ferry fuel system "from memory" without referring to a checklist and then he air-leak checked the fuel bladders.
- 156. Kitti made no entry in the logbook regarding the ferry fuel installation, nor did he fill out or sign the required FAA Form 337.
- 157. Kitti did not log on a form as required: a description of the work performed; the date of completion; the name of the person performing the work; whether the work was performed satisfactorily; the kind of certificate held by him; the certificate number; or sign the form. *See* 14 C.F.R. §§ 43.9(a), (d); 43.15(a)(1); 43.5. FAR 91.405(b).
- 158. The illegal alterations made to the subject aircraft directly and proximately caused decedent LANCE MACLEAN's death.

- 159. The death of decedent LANCE MACLEAN resulted from the failure of the illegally installed ferry-fuel system. That system failed to transfer fuel from the fuel bladders to the main tanks, starving the aircraft of fuel and sending it plummeting into the sea.
- 160. The FAA's maintenance and alterations regulations cited above are designed to protect against crashes caused by illegally-altered aircraft.
- 161. Decedent LANCE MACLEAN was a pilot aboard the illegally-altered aircraft when it crashed into the ocean. Pilots are within the class of persons whom the FAA's maintenance and alteration regulations are designed to protect.
- 162. But for All Defendants' illegal alteration of the subject aircraft, the May 20, 2023 crash that killed the two pilots would not have occurred.

THIRD CAUSE OF ACTION

SURVIVAL

(Against all Defendants)

- 163. Plaintiff incorporates by reference each and every prior and subsequent allegation as though fully set forth herein.
- 164. As a direct consequence and result of the crash and the matters herein alleged, decedent LANCE MACLEAN was killed after having suffered one-and-a-half hours of desperation trying to make it back to shore before the aircraft ran out of fuel.
- 165. Decedent LANCE MACLEAN saw the California coastline within reach, but Defendants' illegally-installed ferry fuel system failed to deliver enough fuel to make it the last nautical miles even with ~10,000 pounds of jet fuel loaded in the fuel bladders.
- 166. Decedent LANCE MACLEAN fell from 3,900 ft., free fall, reporting "Dead Stick" as he hoped to survive impact.
- 167. Without any control over the aircraft, it flipped on its head, submerging the cockpit with LANCE MACLEAN and his co-pilot strapped to their seats.
- 168. Decedent LANCE MACLEAN died as a result of the crash which would not have occurred but for Defendants' actions.

- 169. Decedent LANCE MACLEAN was an experienced ferry pilot and a former Naval aviator. He could have successfully completed the ferry flight had Defendants not violated a host of FAA regulations designed to protect against such crashes.
- 170. Now decedent LANCE MACLEAN lies at the bottom of the ocean without a proper burial because the aircraft he was flying contains secrets Defendants want hidden forever.
- As a result of the foregoing, Plaintiff, as the personal representative of LANCE 171. MACLEAN, hereby asserts survival claims on behalf of him, pursuant to California Code of Civil Procedure §§ 377.20, 377.30, et seq., and based upon all other applicable statutes and case law and succeed to causes of action that might have been brought by LANCE MACLEAN, deceased.
- 172. On or about May 20, 2023, as a direct result of the intentional and negligent conduct of the above-named Defendants, and each of them, LANCE MACLEAN suffered losses and damages to his property and to his estate, in an amount which will be stated according to proof pursuant to California Code of Civil Procedure § 425.10, which amount is in excess of the jurisdictional limits.
- 173. On or about May 20, 2023, as a direct result of the intentional and negligent conduct of the above-named Defendants, and each of them, LANCE MACLEAN suffered intense pain and suffering. Code of Civil Procedure § 377.34(b).
- 174. Defendants subjected LANCE MACLEAN to their wrongful conduct and misrepresentations, depriving him of rights described herein, knowingly, maliciously, and with conscious and reckless disregard for whether his rights and well-being would be violated by their acts and/or omissions. Plaintiff alleges and contends that the above-alleged conduct constitutes oppression, fraud, and malice, and a conscious disregard of the rights and safety of others under California Civil Code §3294, such that Plaintiff is entitled to punitive damages.
 - 175. Plaintiff has therefore been damaged in amounts to be proven at trial.

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FOURTH CAUSE OF ACTION

(Mandatory Injunction as to Sergey Brin,

Bayshore Global Management LLC, and Google LLC)

176. Plaintiff incorporates by reference each and every prior and subsequent allegation as though fully set forth herein.

177. Plaintiff seeks a mandatory injunction requiring Defendants Brin, Bayshore, and Google to search for and recover Plaintiff's husband's remains as promised, which, but for Brin's agents' obfuscation and delay, would be safely recovered and at rest today.

178. There is no remedy at law that will provide an adequate remedy as long as Plaintiff's husband remains "buried at sea" when his remains can and should be recovered for a proper Christian burial with military honors (which is possible still today).

179. The human remains now rest in the Greater Farallones National Marine Sanctuary within the territorial sea of the State of California and the United States.

180. Given the allegations set forth above based in large part by the FAA's and NTSB's investigation and Defendants' own admissions and communications with Plaintiff and other governmental agencies, there is a high likelihood that Plaintiff will prevail on the merits in this action for Tortious Interference with a Dead Body, Wrongful Death, (Negligence), Survival,

Products Liability, and Conversion.

181. The harm to Defendants Brin, Bayshore, and Google in doing what they promised to do (which may cost more now because of their choices to delay search and recovery efforts) cannot compare to Plaintiff's harm were her beloved husband never to be recovered.

182. If the Court were to fail to order Defendants Brin, Bayshore, and Google to recover the aircraft fuselage wreckage and decedent's remains, Plaintiff will not be the only person aggrieved.

183. The unrecovered fuselage scuttled ~ 10,000 pounds of jet fuel in the Greater Farallones National Marine Sanctuary, threatening the sanctuary's delicate ecosystem as well as endangered marine species and a host of other species and organisms that the State of California has sought to protect.

- 184. This Court must act to protect both Plaintiff, the Sanctuary, and the citizens of California by way of the requested injunction.
- 185. It is in Plaintiff's and the public's interest to order Defendants Brin, Bayshore, and Google to recover the aircraft wreckage, the fuel bladders, and the human remains now lying at the bottom of the Greater Farallones National Marine Sanctuary.

FIFTH CAUSE OF ACTION

(Products Liability—Illegal Alteration as to All Defendants)

- 186. Plaintiff incorporates by reference each and every prior and subsequent allegation as though fully set forth herein.
- 187. Defendants Brin, Neale, Bayshore, Google, Seafly, and Southern Cross operated and altered the subject aircraft by purchasing and installing an illegal ferry-fuel system; thereby, rendering the subject aircraft unairworthy.
- 188. Defendants operated, purchased, and installed the illegal ferry fuel system on the subject aircraft in violation of FAA regulations governing such operations and alterations.
- 189. Defendants' failure to abide by FAA regulations and their failure to obtain the required authorizations, certificates, and permits to operate and alter the subject aircraft was the direct and proximate cause of the May 20, 2023, crash that killed the two pilots on board.
- 190. No STC was approved for the ferry fuel system. No Special (Ferry) Permit was filed for the doomed flight.
- 191. Without obtaining the required certificates, inspections, permits, and authorizations, all Defendants installed the ferry-fuel system anyway.
- 192. All Defendants directed and contracted for the May 20, 2023 ferry flight anyway, knowing that the unauthorized and illegally installed auxiliary fuel system was on board and that decedent LANCE MACLEAN would be the pilot for the flight.
- 193. But for Defendants' numerous violations of FAA regulations governing the operation and installation of ferry-fuel systems, the subject aircraft would not have run out of fuel several hours into the flight.

1 194. Defendants' intentional and illegal operation and alteration of the subject aircraft 2 rendered the subject aircraft unairworthy, causing the death of decedent LANCE MACLEAN. 3 **SIXTH CAUSE OF ACTION** 4 (Conversion as to Sergey Brin, 5 Bayshore Global Management LLC, Google LLC and Does 1 through 50) 6 Plaintiff incorporates by reference each and every prior and subsequent allegation 195. 7 as though fully set forth herein. 8 Defendants Brin, Bayshore, Google and Does 1 through 50 had a legal duty to 9 recover the subject aircraft and the human remains of both pilots. See The Wreck Act, 14 U.S.C. § 10 86; see also 33 U.S.C. § 409. 11 197. On board the subject aircraft were the human remains and personal belongings and effects of decedent LANCE MACLEAN. 12 13 198. Plaintiff has a legal right to lay her husband LANCE MACLEAN's body to rest. 14 See Cal. Health & Safety Code § 7100(a)(2). 15 199. Decedent LANCE MACLEAN's personal effects now belong to Plaintiff—his 16 surviving spouse and personal representative. 17 200. Defendants' decision not to recover the aircraft and the human remains of decedent 18 LANCE MACLEAN has substantially interfered with Plaintiff's quasi property rights in the 19 remains of her husband and her personal property rights in her husband's personal belongings, 20 depriving her of lawful right to exercise control over them. 21 Among the personal property items Defendants Brin, Bayshore, and Google have 22 wrongfully withheld from Plaintiff include, among other items, LANCE MACLEAN's wedding 23 ring and other jewelry, name placard, watch, wallet, sunglasses, flight uniform and other clothing. 24 202. Defendants have intentionally prevented Plaintiff from having access to her 25 husband's remains and personal property by refusing to recover the aircraft fuselage and the 26 bodies of the pilots as promised. 27 /// 28 ///

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- 203. Defendants have also essentially destroyed Plaintiff's quasi and personal property by burying them at sea after Plaintiff demanded they be recovered, which Defendants said they would do.
- 204. Plaintiff has consistently fought Defendants' refusal to recover and return her husband's remains and his personal property to no avail.
- As detailed above, Plaintiff never consented to the burial of her husband and his 205. personal effects at sea. Plaintiff repeatedly begged Defendants to recover the aircraft wreckage that contains her deceased husband's body and his personal effects.
- 206. Defendants' decision not to return Plaintiff's property after she demanded its return caused Plaintiff the loss of her right to bury her husband and the loss of possession of decedent's personal items of monetary, unique, and sentimental value.
- Had Defendants kept their promise to recover the aircraft, Plaintiff would not have 207. suffered the loss of her right to lay her husband to rest, nor would she have lost her right to control her late husband's personal belongings and effects which were on board the doomed flight.

PRAYER FOR DAMAGES

WHEREFORE, Plaintiff requests that the Court enter judgment in her favor and against Defendants, and seeks recovery for all damages that are, or may be, recoverable under applicable law, including, but not limited to:

- 1. Severe emotional distress, in an amount in excess of Fifty Thousand Dollars (\$50,000), according to proof and in accordance with California Code of Civil *Procedure;*
- 2. Economic damages for past and future loss of financial support, in an amount in excess of Fifty Thousand Dollars (\$50,000), according to proof and in accordance with California Code of Civil Procedure;
- 3. General damages including but not limited to loss of love, society, comfort, companionship and support, in an amount in excess of Fifty Thousand Dollars

1	(\$50,000), according to proof and in accordance with California Code of Civil		
2	Procedure;		
3	4. Loss of inherit	ance;	
4	5. Loss of net acc	cumulations;	
5	6. Property dama	ge;	
6	7. Damages for c	onversion, according to proof;	
7	8. Survival dama	ges according to proof	
8	9. Prejudgment i	nterest, according to proof and in accordance with California Code of	
9	Civil Procedui	°e;	
0	10. Costs of suit incurred herein;		
1	11. Punitive or exemplary damages, according to proof and in accordance with California		
12	Code of Civil Procedure;		
13	12. Such other and	I further relief as the Court may deem just and proper.	
4			
15		DEMAND FOR JURY TRIAL	
16	Plaintiff hereby demands a trial by jury on all issues so triable.		
17			
18	Dated: February 13, 2024	ENGSTROM, LIPSCOMB & LACK	
9		M//	
20		By WALTER J. LACK	
21		ELIZABETH L. CROOKE STEVEN J. LIPSCOMB	
22		Attorneys for Plaintiff	
23	Dated: February 13, 2024	PODHURST ORSECK, P.A.	
24		Alle	
25		By Naves STEVEN C. MARKS	
26		KRISTINA M. INFANTE PABLO ROJAS	
27		Attorneys for Plaintiff	
28			

EXHIBIT 1

GULF OF THE FARALLONES NATIONAL MARINE SANCTUARY







FINAL MANAGEMENT PLAN

UPDATED IN RESPONSE TO THE SANCTUARY EXPANSION

UPDATED DECEMBER 2014

U.S. DEPARTMENT OF COMMERCE
NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION
NATIONAL OCEAN SERVICE
OFFICE OF NATIONAL MARINE SANCTUARIES







GULF OF THE FARALLONES NATIONAL MARINE SANCTUARY FINAL MANAGEMENT PLAN

Updated December 2014







The Gulf of the Farallones National Marine Sanctuary (GFNMS) Management Plan has been updated in response to the sanctuary expansion. A sanctuary management review is conducted at a sanctuary periodically, in accordance with the National Marine Sanctuaries Act (NMSA; 16 U.S.C. 1431 et seq.). The updated plan applies to the entire area encompassed by the sanctuary. The issue areas and programs addressed in this document were built with guidance from the general public, sanctuary staff, agency representatives, experts in the field and the sanctuary advisory council.

For readers that would like to learn more about the management plan, GFNMS policies and community-based management processes, we encourage you to visit our website at www.farallones.noaa.gov. Readers who do not have Internet access may call the sanctuary office at (415) 561-6622 to request relevant documents or further information.

The National Oceanic and Atmospheric Administration's (NOAA) Office of National Marine Sanctuaries (ONMS) seeks to increase public awareness of America's ocean and Great Lakes treasures by conducting scientific research, monitoring, exploration and educational programs. Today, the program manages thirteen national marine sanctuaries and one marine national monument that together encompass more than 170,000 square miles of America's ocean and Great Lakes natural and cultural resources.

The NOAA Ocean Service is the umbrella organization for ONMS and is dedicated to exploring, understanding, conserving and restoring the nation's coasts and oceans and works to balance environmental protection with economic prosperity in its mission promoting safe navigation, supporting coastal communities, sustaining coastal habitats and mitigating coastal hazards.

NOAA, an agency of the U.S. Department of Commerce, is dedicated to enhancing economic security and national safety through the prediction and research of weather and climate-related events and providing environmental stewardship of our nation's coastal and marine resources.

For more information, contact:

Maria Brown, Sanctuary Superintendent Gulf of the Farallones National Marine Sanctuary 991 Marine Drive, The Presidio San Francisco, CA 94129 (415) 561-6622

Cover Photo Credits:

Tufted Puffin (*Fratercula cirrhata*) –Jeff Foott Blue Whale (*Balaenoptera musculus*) –Thomas M. Johnson White Shark (*Carcharodon carcharias*) – Scot Anderson

GULF OF THE FARALLONES NATIONAL MARINE SANCTUARY MAP

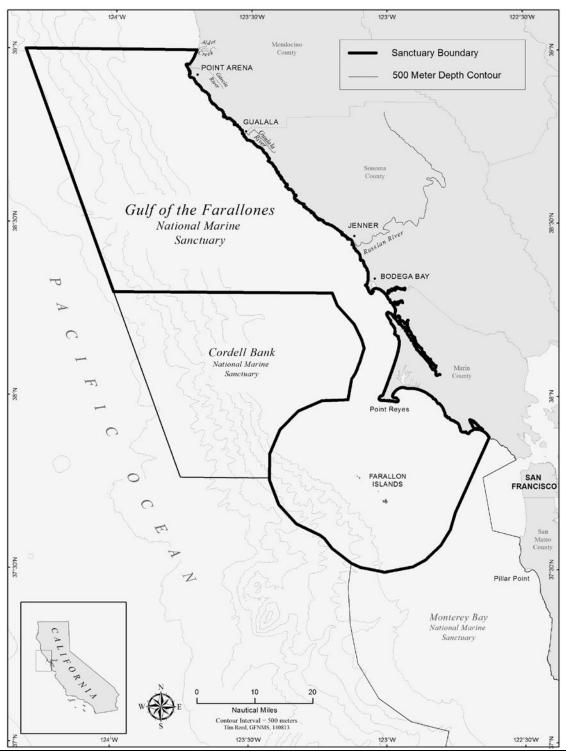


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EXECUTIVE SUMMARY



THE SOUTH FARALLON ISLANDS SERVE AS A CRITICAL BREEDING AND FEEDING GROUND FOR MANY SEABIRD AND MARINE MAMMAL POPULATIONS OF THE SANCTUARY. PHOTO: NOAA

Current Status

This document is the management plan for Gulf of the Farallones National Marine Sanctuary (GFNMS). The National Oceanic and Atmospheric Administration (NOAA) prepared the plan in cooperation with the public, state and federal agencies, stakeholders, and the Gulf of the Farallones sanctuary advisory council. The last version of the management plan was published in 2008, and has been updated in response to the sanctuary expansion. The plan applies to the entire area encompassed by the former sanctuary and the expansion area. The entire management plan has not been rewritten; the plan is scheduled to be reviewed five-years after the effective date of the expansion.

GFNMS Designation

GFNMS has been vested with the authority, in accordance with the National Marine Sanctuaries Act (NMSA), to provide comprehensive and coordinated conservation and management of the sanctuary. In general, the Sanctuary includes the waters surrounding the Farallon Islands and the coastal waters extending north-to-south from the 39th Parallel at Manchester Beach in Mendocino County to Rocky Point in Marin County. From east-to-west the Sanctuary extends from the Mean High Water Line, with notable exceptions, to the continental margin at or about the 10,000 foot depth contour. The Sanctuary is adjacent to Cordell Bank National Marine Sanctuary (CBNMS) on the north and east sides of CBNMS, and adjacent to the Monterey Bay National Marine Sanctuary (MBNMS) along the northern boundary of MBNMS. Shoreward, the sanctuary includes the Estero Americano, Estero de San Antonio, Tomales Bay and Bolinas

Executive Summary GFNMS Final Management Plan

Lagoon but does not include the Garcia River Estuary, Arena Cove, Gualala River Estuary, Russian River Estuary, Salmon Creek Estuary or Bodega Harbor.

The expansion added approximately 2013 square miles (1520 square nautical miles (nm)) to the former 1279¹ square miles (966 sq nm) sanctuary, with a total size of approximately 3295 square miles (2488 sq nm).

This area of special national significance was designated a national marine sanctuary because these waters provide important marine and nearshore habitats for a diverse array of marine mammals and marine birds, as well as fish, plant, algae, and benthic resources. The marine mammals and birds present in abundant numbers on the Farallon Islands and the mainland coast depend as much on the integrity and productivity of these adjacent ocean and estuarine waters as on the preservation of the shore areas they use for breeding, feeding, and hauling out.

History of Management Plans for GFNMS

Originally designated in 1981 as the Point Reyes-Farallon Islands Marine Sanctuary, sanctuary management responsibilities were delegated to the California Department of Fish and Wildlife (CDFW). Historically, the site focused largely on education and public awareness of biologically, culturally, or historically significant underwater resources.

The original management plan, developed at the time of designation of the sanctuary in 1981, provided guidelines to ensure that all management actions undertaken in the first five years of designation were directed to resolving important issues as a means of meeting sanctuary objectives. Management objectives were considered in three areas: resource protection, interpretation, and research. The management plan also called for promulgation of regulations or prohibitions.

The specific requirements of GFNMS' original management plan were compatible with the overall sanctuary management concepts embodied within the NMSA of 1972 and its implementing regulations (15 CFR Part 922), which require that a management plan be prepared and implemented for each national marine sanctuary. The 1992 amendments to the NMSA required that each of the national marine sanctuaries engage in a management plan review process periodically to reevaluate site-specific goals and objectives, management techniques, and strategies in achieving those goals and objectives.

¹ The Code of Federal Regulations, at 15 CFR 922.80, formerly stated the "existing" sanctuary boundary was approximately 1279 square miles (966 sq nm). This boundary calculation was conducted in 2007. In 2013, a new boundary calculation utilized more precise shoreline maps, which showed changes to the shoreline. These new maps and changes to the shoreline coupled with the addition of Giacomini Wetland through the migration of the Mean High Water Line (MHWL) in Tomales Bay resulted in a 3 sq. mile increase in the approximate size of the GFNMS boundary to 1282 sq miles (968 sq nm).

In 2001, the Office of National Marine Sanctuaries (ONMS) initiated a review of the management plans of Gulf of the Farallones, Cordell Bank, and Monterey Bay national marine sanctuaries jointly. Since these sanctuaries are located adjacent to one another and share many of the same resources and issues, the staff from each office worked closely together during the review. In addition, all three sites share overlapping interest and user groups. It was more cost effective for the ONMS to review the three sites jointly, rather than conduct three independent reviews.

The management plan review process provided GFNMS with the opportunity to: take a closer look at how the marine environment had changed since its designation; understand the cause and effect relationship of human activity and natural perturbations on the marine resources; and engage the public in the management decision-making process. As a result of this process, GFNMS reshaped its management structure, restructured program areas, evaluated regulations, and published the 2008 management plan.

The boundary expansion for GFNMS was envisioned in an action plan strategy in the 2008 management plan and also proposed legislatively for eight years by Representative Lynn Woolsey and Senator Barbara Boxer. As part of its efforts to evaluate the resource protection and other benefits from such a boundary expansion, NOAA has produced this updated management plan, consistent with the Cordell Bank and Gulf of the Farallones national marine sanctuaries expansion final environmental impact statement (FEIS) and associated final rule.

This management plan was created by updating the 2008 management plan to include the expansion area, and to address comments received during the public comment period. Specific changes include: revisions to the description, map, and staffing plan; updates to maps in the Wildlife Disturbance and Vessel Spills action plans; updates to activities in the Water Quality action plan; updates to strategies and activities in the Wildlife Disturbance, Education and Outreach, Conservation Science, Resource Protection, and Administration action plans; adding a strategy for managing motorized personal watercraft (MPWC) use; adding activities regarding climate change, white shark stewardship, ship strikes and monitoring of whales; review of overflight and other area-based regulations and wildlife protections; key partners updated and summarized at the action plan level rather than at the strategy level; deletion of specific products; technical corrections, including removal of obsolete text and completed actions and additions relevant to the expanded sanctuary area; revision of former timelines and budgets into a summary implementation table; and updates to the species list appendix. Activities were also added to the cross-cutting action plans related to management of the expansion area, which apply to all three sanctuaries, and the Northern Management Area Transition Plan was removed.

Nine action plans are contained in this management plan:

- 1. Water Quality
- 2. Wildlife Disturbance
- 3. Introduced Species

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- 4. Ecosystem Protection: Impacts from Fishing Activities
- 5. Vessel Spills
- 6. Education and Outreach
- 7. Conservation Science
- 8. Resource Protection
- 9. Administration

INTRODUCTION

OVERVIEW

Background

Gulf of the Farallones National Marine Sanctuary (GFNMS) has been vested with the authority, in accordance with the National Marine Sanctuaries Act (NMSA,1972), to provide comprehensive and coordinated conservation and management of the nearshore and offshore waters within its boundaries. A complete spectrum of marine habitats ranging from unique estuarine, to intertidal, pelagic, and deep oceanic environments is found within the sanctuary. The sanctuary was established in 1981 to protect the largest assemblage of breeding seabirds in the contiguous United States as well as large concentrations of marine mammals that use these productive waters.

Expansion of sanctuary boundaries northward protects the source waters of a globally ecologically significant coastal upwelling center originating off Point Arena and flowing south into the Gulf of the Farallones. Upwelling currents carry nutrients from the deep ocean to the surface at Point Arena and winds drive the surface currents south transporting nutrient-filled water along the southern Mendocino, Sonoma, Marin, and San Francisco coast to the waters over Cordell Bank and around the Farallon Islands and down through San Mateo County. These nutrients are the foundation for the food-rich environment of the north-central coast and offshore environment and promote the growth of organisms at all levels of the marine food web. The nutrients flowing from this upwelling center form the basis of support for a range of species, from plankton to predators. When upwelling winds relax, surface currents flow to the north and

provide nutrients and food from the south

to kelp bed inhabitants.

Including this area within GFNMS helps conserve and protect the wildlife and habitats within an interconnected upwelling cell by reducing impacts to habitats and species such as disturbance to the seabed, disturbance to wildlife, and discharges into the ocean. The sanctuary also increases education, outreach, and opportunities for community engagement in the management and protection of the coastal and ocean environment.



Bodega Head and Bay. Photo: NOAA

Description of GFNMS

Located in the waters west of San Francisco, and extending northward to Manchester Beach in Mendocino County, the GFNMS provides many examples of the marine life and habitats

Introduction GFNMS Final Management Plan

characteristic of cold temperate waters of the eastern Pacific marine region that extends from Point Conception to British Columbia. A large portion of the sanctuary lies in the Gulf of the Farallones between the western edge of the continental shelf and the coast of Marin and Sonoma counties. Some of the largest and most diverse eastern Pacific populations of seabirds and pinnipeds (seals and sea lions) south of Alaska occur in the Gulf. Large flocks of Cassin's Auklets, Common Murres, Western Gulls, and the Brown Pelican feed on the small fish and crustaceans that are abundant in the surface waters of the sanctuary. This food source also supports California's largest breeding population of harbor seals, as well as the growing population of northern elephant seals. Large numbers of whales and dolphins, including the California gray whale, the Pacific humpback whale and the blue whale are found in the area. Around the Farallon Islands is one of the world's largest seasonal congregations of adult white sharks. There are also many significant nearshore habitats represented within the sanctuary, such as the inland reaching Estero de San Antonio and Estero Americano; Tomales Bay and Bolinas Lagoon; and the large intertidal and subtidal reef at Duxbury Reef.

The unique combination of oceanographic conditions and undersea topography create conditions in the expansion area that support a rich and diverse assemblage of marine species. This includes a wide array of temperate cold-water species with occasional influxes of warm-water species. The species diversity is directly related to the diversity of habitats and oceanic conditions, and its location within a broad biogeographic transition zone (Point Arena to Año Nuevo). This transition zone provides a complex gradient of changing environments in which the relative proportions of species changes from north to south.

The Point Arena region serves as a source of upwelled, nutrient-rich ocean waters, which are transported by wind driven currents to the Gulf of the Farallones region over a period of five to seven days. Upwelling may be widespread at times, or localized at upwelling centers or "cells" (e.g., Point Arena). Upwelling offshore of Point Arena delivers deep, nutrient-rich cold water to the surface and supports high productivity off of Point Reyes and the Gulf of the Farallones region. San Francisco Bay is another important source of nutrients and organic matter in the Gulf of Farallones region. The nutrient rich waters support high concentrations of phytoplankton in the Gulf of the Farallones region and in turn support zooplankton and higher trophic prey species such as whales, fish and birds. During periods of calm winds, in the fall, surface currents relax and high concentrations of phytoplankton move northward from the Gulf of the Farallones and Cordell Bank region into the expansion area. Seasonal streams and rivers such as Salmon Creek, Russian River, Gualala River, and Garcia River are also important sources of nutrients and organic matter that support high productivity in the region.

The sanctuary also illustrates how important the ocean and its wildlife and habitats are for the economic and social well-being of the region. The sanctuary contains some of the West Coast's busiest shipping lanes. The area supports large commercial fisheries, including a large percentage of the San Francisco fleet. Sport fishing also generates revenue for the party boat fleets operating out of San Francisco Bay, Half Moon Bay, and Bodega Bay. Data from 2000 to 2011 show that about 200 commercial fishing vessels made landings in the ports adjacent to the expansion area on an average annual basis. These are unique vessels, spanning all gear types.

Whale watching, diving, and offshore excursions are other uses that occur in the sanctuary waters.

History of GFNMS

In April 1978, NOAA initiated a proposal to designate the sanctuary. Based on public response and a recommendation by the CA Coastal Commission (CCC) to develop an environmental impact statement, NOAA prepared a draft environmental impact statement (DEIS) which described the proposed action to designate a sanctuary and included draft regulations. The DEIS, including a draft management plan, was distributed for review in March through May 1980 with public hearings. As a result, the Point Reyes – Farallon Island Marine Sanctuary was designated on January 16, 1981.

The boundary expansion for GFNMS was both envisioned in an action plan strategy in the 2008 management plan (strategy RP-9 in that plan), and was also proposed legislatively for eight years by Representative Lynn Woolsey and Senator Barbara Boxer.

The proposal to expand GFNMS approximately 1,520 square miles offshore and north from Bodega Bay to Manchester Beach was initiated with a notice in the *Federal Register* on December 21, 2012. Three scoping meetings were held from Bodega Bay to Point Arena during January and February 2013 to receive public comment on the proposed expansion. A Cordell Bank and Gulf of the Farallones Expansion Draft EIS, draft management plans for both sanctuaries and the proposed rule were published in April 2014 (April 4 for the DEIS and April 14 for the draft management plans and proposed rule). Public comment was accepted from the dates of publication of the documents through June 30, 2014. Four public hearings were held from Point Arena to Sausalito during that period. Public comment could also be submitted online and by mail to the GFNMS Superintendent. NOAA considered the comments in creation of this final management plan, the final environmental impact statement (FEIS) for this action, and the final rule. This management plan has been released with the FEIS, followed by the final rule no less than 30 days later. The final rule would become effective after a review period of 45-days of continuous session of Congress.

Management Plan Reviews

The original management plan, developed in 1981 at the time of designation of the sanctuary, provided guidelines to ensure that all management actions undertaken in the first five years of designation were directed to resolving important issues as a means of meeting sanctuary objectives. Management objectives were considered in three areas: resource protection, interpretation, and research. The management plan also called for promulgation of regulations or prohibitions.

The 1992 congressional legislation that reauthorized the NMSA required that each of the thirteen national marine sanctuaries engage in a management plan review process periodically to reevaluate site-specific goals and objectives, management techniques, and strategies. In 2001 GFNMS embarked on its first management plan review since designation.

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The Office of National Marine Sanctuaries (ONMS) reviewed the management plans of Gulf of the Farallones, Cordell Bank, and Monterey Bay national marine sanctuaries jointly, and published final management plans for each sanctuary in 2008. These sanctuaries are located adjacent to one another, managed by the same program, and share many of the same natural resources and issues. In addition, all three sites share overlapping interest and user groups. It was cost effective for ONMS to review the three sites jointly rather than to conduct three independent reviews.

The management plan review process provided GFNMS with the opportunity to: take a closer look at how the environment had changed over the past twenty years; understand the cause and effect relationship of human activity and natural perturbations on the marine ecosystem; and engage the public in the management decision making process. As a result, GFNMS reshaped its management structure and program areas, and revised its regulations. During public scoping for the 2008 management plan the GFNMS received public comments and petitions to expand the sanctuary. As a result, analyzing boundary alternatives was included in the management plan.

In December 2012, NOAA initiated a public process to expand GFNMS. After receiving public comment on the proposal, NOAA updated the 2008 management plan and produced a DEIS to evaluate the impacts of management and operational strategies, regulations, and programs in the expanded sanctuary. NOAA considered public and agency comments on the draft management plan, DEIS and proposed rule, made edits, and published this final management plan and FEIS.

Biogeographic Assessment

In support of the 2001 management plan review process, NOAA's Biogeography Program developed an assessment to identify important biological zones, time periods and ecological linkages within the three national marine sanctuaries and their encompassing biogeographic region. This geographic information systems (GIS) analysis, focused on the continental shelf and slope from Point Arena in the north to Point Sal in the south, identified key biological areas (e.g., areas of species richness and reproductive areas), time periods, and communities within the area of interest. The results of the biogeographic assessment for birds and marine mammals have been integrated into this management plan.

THE MANAGEMENT PLAN

Vision Statement

The vision, goals and objectives that follow are based on the National Marine Sanctuary Act.

GFNMS' highest priority is ecosystem protection. The sanctuary with its partners protects habitats, biological communities, and ecosystem services. Through the watersheds and out to the sea, GFNMS addresses current management issues and anticipates future challenges in order to maintain and protect a healthy marine environment now and for future generations.

GFNMS Goals and Objectives

GFNMS has clearly defined goals and objectives on which to develop program areas and regulations. These goals and objectives are broad and intended to be for the site as a whole. Specific goals and objectives were also developed for each issue or program area in the management plan. Consistent with the guiding legislation established in the NMSA, the mandate for the thirteen national marine sanctuaries, GFNMS has chosen the following priority goals:

- Improve the conservation, understanding, and wise and sustainable use of marine resources;
- Enhance public awareness, understanding, and stewardship of the marine environment;
- Investigate and enhance understanding of ecosystem processes through continued scientific research, monitoring, and characterization to support ecosystem-based management.
- Maintain for future generations the habitat and ecological services of the natural assemblage of living resources that inhabit these areas;
- Maintain the natural biological communities to protect, and where appropriate, restore natural habitats, populations, and ecological processes;
- Provide authority for comprehensive and coordinated conservation and management of these marine areas, and activities affecting them, in a manner which complements existing regulatory authorities;
- Create models of and incentives for ways to conserve and manage these areas, including the application of innovative management techniques; and
- Cooperate with global programs encouraging conservation of marine resources.

The strategies of the GFNMS management plan are directed to meet these goals and objectives. It should be noted that although the sanctuary goals and objectives are listed discretely, they are overlapping. Collectively, the strategies developed in the management plan address the full range of goals and objectives set forth in the previous paragraph.

Regulations and Program Areas

The GFNMS management plan is made up of two complementary parts: regulatory and non-regulatory. The regulatory component includes site-specific regulations or prohibitions and general regulations that apply to all thirteen national marine sanctuaries (see 15 CFR Part 922 at http://www.ecfr.gov). Regulations are used to control or restrict human behavior that is not compatible with protection of sanctuary resources or qualities. The non-regulatory component of the management plan includes GFNMS' four program areas: Conservation Science; Education and Outreach; Resource Protection; and Administration and Operations. The program areas are supported by an administrative framework which ensures that all ecosystem management activities are coordinated, and provides an appropriate infrastructure needed to help meet the

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goals and objectives set forth by this management plan. Collectively, the above-mentioned parts make up the whole of the management plan and are important tools for effective ecosystem management.

The regulatory and non-regulatory components of the management framework are structured to address the priority ecosystem management issues identified during the last management plan review in 2008, which include the following site-specific issues and programs: Water Quality; Wildlife Disturbance; Introduced Species; Ecosystem Protection; Impacts from Fishing Activities; Vessel Spills; Education and Outreach; Conservation Science; Resource Protection; and Administration. The priority cross-cutting action plans include: Maritime Heritage; Ecosystem Monitoring; Community Outreach; and Administration and Operations.

Addressing Goals and Objectives within an Ecosystem Context

The priority goals and objectives listed above lead GFNMS management to take an ecosystem-based approach to managing a fluid marine environment with great temporal and spatial complexity and diversity. The scientific community, natural resources agencies, and the public have recognized the importance of an integrated ecosystem-based approach to protect marine biodiversity and habitats. The emphasis on marine ecosystem management is consistent with other state and federal agencies' programs and initiatives.



Sanctuary researchers monitor the rocky intertidal of the Farallon Islands. Photo: NOAA

Tools for Effective Management Planning

GFNMS' management plan was designed not only to protect the marine resources and biodiversity, but also to consider maintenance of economic equity, cultural integrity and human social structures. GFNMS management is looking at a wide range of activities that take place in the sanctuary and evaluates them in terms of whether they are compatible with ecosystem protection and protect the structure, function, and diversity of the marine environment. In order to better evaluate human-use activities and their impacts on the ecosystem, GFNMS used three strategic tools in the development of the management plan: science, socioeconomics and local knowledge.

Science

Protection of living and nonliving marine resources is the primary objective of the ONMS, and science serves an important tool for understanding, measuring, and predicting change in the status and health of the marine ecosystem. Scientific inventories, habitat characterization, research, and monitoring provide an important information base for natural resource managers to understand and evaluate effectiveness of management regimes. NOAA collected data from site programs, individual researchers and institutions throughout the region and, where possible, integrated it into GIS to spatially identify significant living and nonliving marine resources, habitats, and physical and geological features. These data were used to describe and define the

ecosystem, identify areas of special significance, and locate important ecosystem support systems.

Socioeconomics

In California, the total gross domestic product from the ocean economy accounted for approximately \$42 billion dollars in 2000. Coastal recreation and tourism alone brings in approximately \$12 billion to California annually. California's 3,427 miles of shoreline and 27.2 million people (76% of the population as of 2007) live in coastal regions. Economic activity is intense in these areas, accounting for 80.7% (11.8 million) of all jobs and 85.8% of the state's Gross Domestic Product in 2007 (Pendleton 2007). These numbers paint an important picture about the need to properly manage the marine resources. A sustainable community recognizes both ecosystem sustainability and economic sustainability as mutually



Commercial fishing has long been an important industry in GFNMS. Photo: NOAA

beneficial. The ONMS not only considers the potential economic cost of management restrictions on income generating activities, but also public benefits derived from long-term protection of nationally significant resources. A cost/benefit analysis may be found in the FEIS to determine socioeconomic impacts and benefits to user groups from any proposed actions in this management plan.

Local Knowledge

Local knowledge represents the voice of direct experience and interaction with the marine environment over time. Many of the community partners involved in the management plan have been linked to its waters prior to them becoming a marine sanctuary. Their knowledge is more extensive and long range than much of the scientific research available for the study area. GFNMS not only honors and incorporates historical knowledge, but also acknowledges that stakeholder groups have a strong connection and knowledge about their environment. These local voices also represent local interests, issues and concerns to be balanced against those from outside interests. The sanctuary advisory council members, local mariners, interest groups, and the public provided valuable input to the sanctuary.

Looking at the Next Five Years and Beyond

Since its establishment in 1972, the ONMS has been building models for better marine ecosystem-based management. But even today, with better knowledge of the natural world and more experience managing human behavior, the program continues to build new models to enhance ecosystem protection. This is why the GFNMS management plan is referred to as a "living document," serving as a dynamic and responsive framework to guide ecosystem-based management.

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GFNMS' "living document" also serves as a proactive tool for planning a sustainable future. To ensure a sustainable future, GFNMS' "living document" will provide a framework for not only addressing ecosystem management issues of the present, but also anticipating those emerging issues of the future.

The emergence of new issues and other unforeseeable factors may affect specific aspects of sanctuary management as described in this plan. However, the overall goals, management objectives, and general guidelines will continue to be relevant. Throughout the next five to ten years of this plan, the aim is to carefully adjust the plan to changing circumstances in light of the experience gained through actual management. Additionally, modifications to the scope and scale of the action plans may have to be made due to unforeseeable changes in levels of funding. However, the goals and objectives of the management plan will remain unchanged.

SANCTUARY SETTING

PHYSICAL SETTING

Location

Gulf of the Farallones National Marine Sanctuary (GFNMS) lies off the California coast, extending west off Mendocino, Sonoma, Marin, San Francisco and San Mateo Counties. The sanctuary includes the waters surrounding the Farallon Islands and along the coast extending north-to-south from the 39th parallel at Manchester Beach in Mendocino County to Rocky Point in Marin County. From east-to-west the Sanctuary extends from the Mean High Water Line, with notable exceptions, to the continental margin at or about the 10,000 foot depth contour. The sanctuary is adjacent to Cordell Bank National Marine Sanctuary (CBNMS) on the north and east sides of CBNMS, and adjacent to the Monterey Bay National Marine Sanctuary (MBNMS) along the northern boundary of MBNMS. Shoreward, the sanctuary includes the Estero Americano, Estero de San Antonio, Tomales Bay and Bolinas Lagoon but does not include the Garcia River Estuary, Arena Cove, Gualala River Estuary, Russian River Estuary, Salmon Creek Estuary or Bodega Harbor.

Geology

The portion of GFNMS that is offshore of San Francisco is characterized by the widest continental shelf on the West Coast of the contiguous United States. In the Gulf of the Farallones region, the shelf reaches a width of 32 nautical miles (59 km) and narrows to a width of 15 nautical miles (28 km) in the Point Arena region. Shoreward of the shelf break and Farallon Islands, the continental shelf is sandy and contains large underwater sand dunes. The shelf slopes gently to the west and north from the mainland shoreline and provides an especially large and relatively shallow (120 meters) foraging and habitat area for coastal and oceanic seabirds, marine mammals, and fish.



Southeast Farallon Island provides a range of habitats for sanctuary inhabitants, including cliffs for seabird nesting, rocky shores for marine mammal haulouts and subtidal areas for fish and invertebrate shelter. Photo: NOAA

The Farallon Islands are seven islands and large rocks, which lie along the outer edge of the continental shelf, between 13 and 19 nautical miles (24 and 35 km) southwest of Point Reyes and roughly 26 nautical miles (48 km) due west of San Francisco. The islands are located on part of a larger submarine ridge that extends for approximately 30 nautical miles between the Farallon Islands and Cordell Bank near the shelf break. The Farallon Islands provide secluded habitat that is essential for seabirds and marine mammals. Submarine rock outcrops surrounding the islands

and extending to Cochrane Bank, Rittenburg Bank, Cordell Bank, and "The Football" provide rich habitat for a diverse rocky reef community.

The GFNMS coast includes sandy beaches, rocky cliffs, open bays (Bodega Bay, Drakes Bay, and Bolinas Bay) and enclosed bays or estuaries (Bolinas Lagoon, Tomales Bay, Estero Americano, and Estero de San Antonio). High-energy waves typical of the winter storm season distribute sediment washed into the sanctuary by rivers and from shoreline erosion and move sand down-coast from beach to beach. The two Esteros are typically closed during summer and fall by seasonally formed sand bars, isolating the estuaries from the ocean. Other rivers are not found within the boundary of the sanctuary but influence conditions within the sanctuary, including those that may seasonally close in some years: Alder Creek, Gualala River, Russian River, and Salmon Creek; and the Garcia River which remains open year-round. Tomales Bay and Bolinas Lagoon, remain open to the ocean year-round. Water and water-borne materials in these rivers, streams, bays and lagoon are exchanged with the open ocean through tidal currents, although inner bay and lagoon waters may take a long time to exchange. The open bays are sheltered from prevailing southerly currents by headlands and points projecting westward and are important nutrient and plankton retention areas. Tomales Bay, Bolinas Lagoon and Bodega Bay lie directly on the San Andreas Fault.

Climate and Oceanography

The Gulf of the Farallones National Marine Sanctuary is located in the California Current, one of the world's four major wind-driven upwelling systems, the other three systems being located along the west coasts of South America, southern and northwest Africa. Northerly winds drive a shallow surface layer that moves offshore due to the Coriolis effect. This offshore (Ekman) transport of surface waters results in the upwelling of cold, nutrient-rich waters from depth into sunlit surface waters to support a food-rich environment and promote the growth of organisms at all levels of the marine food web. The Point Arena region serves as an area that originates upwelled, nutrient-rich waters that are transported to the Gulf of the Farallones region over a period of five to seven days (Halle and Largier 2011). Upwelling may be widespread at times, or localized at upwelling centers (e.g., Point Arena). Upwelling offshore of Point Arena delivers nutrients to the light filled surface waters that are important in supporting high productivity off Point Reyes and into the Gulf of the Farallones region.

San Francisco Bay is another important source of nutrients and organic matter in the Gulf of Farallones region. The result is that high concentrations of phytoplankton are observed in the Cordell Bank and Gulf of the Farallones regions near the water surface, making them available to zooplankton and higher trophic prey species such as whales, fish and birds. During periods of calm winds, specifically during the fall high concentrations of phytoplankton move from the Gulf of the Farallones and Cordell Bank regions northward into the water off of Marin, Sonoma and Mendocino Counties. Seasonal streams and rivers such as Salmon Creek, Russian River, Gualala River, and the Garcia River are also sources of nutrients and organic matter, delivered to the system and support high productivity.

During the spring-summer upwelling season (typically March to August), strong northwest winds drive surface waters offshore and cold deep waters are upwelled to the surface over the continental shelf. The California Undercurrent (also called the Davidson Current) carries cold high-salinity waters north at depth along the shelf-edge and is a source for upwelled waters. These waters are rich in nutrients and feed very high levels of primary production near-surface. The resultant phytoplankton blooms are the foundation of the rich GFNMS food webs, involving zooplankton, benthic and pelagic invertebrates, fish, birds, and mammals.

Spring-summer currents over the middle and outer shelf strongly move southeastward during upwelling, but nearshore flow patterns are mixed. San Francisco Bay and other nearshore outflows are carried both north and south by prevailing coastal currents and eddies. During brief periods of weak winds (relaxation periods), much of the inner and mid-shelf Gulf of the Farallones waters reverse direction and flow north. Phytoplankton levels peak during these relaxation periods.

In the fall, upwelling winds weaken and water temperatures increase. Sometimes known as the oceanic or transition season, this period (typically August to November) is characterized by onshore flow of oceanic surface waters (warmer and lower salinity). Periods of upwelling winds and phytoplankton blooms do still occur during the fall.

Winter in the GFNMS is characterized by rain-bearing cold fronts, accompanied by westerly and southerly winds which drive surface currents northward and downwelling over the shelf. After the fall transition period and the cessation of the upwelling winds, the Davidson Current comes to the surface with a weak northeastward flow. While storm fronts characterize the months of December through March, upwelling winds are equally common and many upwelling events are also observed at this time of year (although lower levels of light in winter produce only weak phytoplankton blooms). During the downwelling events, warm oceanic surface waters move onshore and land runoff is held nearshore. Large plumes of terrestrial runoff from the mainland are also subject to the Coriolis effect, hence San Francisco Bay and Russian River outflow typically remains close to shore. Water originating from San Francisco Bay flows north around Point Reyes after major rain and runoff events. On occasion the influences of the San Francisco Bay outflow extend west to the Farallon Islands. Lowest surface seawater salinities are observed in the GFNMS during the winter runoff season.

Eddies are found both offshore, in the core of the California Current, and in the waters over the shelf. In the coastal waters of the GFNMS, fast flow past headlands like Point Reyes and Bodega Head may create eddies that move through the region. Eddies and open embayments partly retain nutrient-rich, upwelled waters and help explain the high levels of plankton, fish, mammals and birds observed in this region year-round. The sanctuary contains bottom features of higher rugosity (slope variability), and counter-clockwise eddies north and south of Bodega Head, Point Reyes, Pillar Point, and Pigeon Point. As a result, the sanctuary is one of the most productive areas along the California Coast and in the world.

BIOLOGICAL SETTING

Sanctuary Ecosystems

The coast of the Gulf of the Farallones is a complex array of habitats from exposed rocky headlands to protected sandy beaches; from open bays to calm estuaries; from rocky intertidal habitats to productive mudflats; from offshore islands to submerged seamounts; and from the continental slope dissected by numerous submarine canyons to the deep sea.

Rocky Shores

The intertidal habitat between the low and high tides is biologically rich, supporting diverse assemblages of algae, plants and animals. It is characterized by extreme conditions caused by wind, waves, and the fluctuation of tides. Organisms living in the intertidal face many challenges that are unique to living at the edge of the ocean, including threat of desiccation, physical wave action, and limited space. Rocky shores are found throughout the Gulf of the Farallones sanctuary but particularly at Duxbury Reef, Bodega Head, Sea Ranch, Salt Point, and Point Arena.

Four zones of rocky intertidal organisms are traditionally associated with different tidal heights. Species distributions are restricted according to physiological tolerance along the thermal and moisture gradient in the intertidal zone. The splash zone is almost always exposed to air, and has relatively few species. The high intertidal zone is exposed to air for long periods twice a day. The mid-intertidal zone is exposed to air briefly once or twice a day. The low intertidal zone is exposed only during the lowest tides (See Appendix III-H for the rocky intertidal species list).

Splash Zone

The periwinkle, *Littorina keenae*, and the barnacle, *Balanus glandula*, can be used as an indicator of the splash zone. Microscopic algae are common in the splash zone in winter months when large waves produce consistent spray on the upper portions of the rocky shore. Black Oystercatchers and Black Turnstones are the common birds along the rocky shoreline off central and northern California. These birds are most abundant during fall and winter, and during this period, are accompanied by small numbers of Ruddy Turnstones, Surfbirds, and Wandering Tattlers. Black Oystercatchers nest along rocky coasts including the Farallon Islands (Sowls et al. 1980). A variety of species commonly considered land birds also feed along rocky shores, including Black Phoebe, American Crow, Brewer's Blackbird and European Starlings.

High and Middle Intertidal Zones

Perennial macrophytes exhibit conspicuous zonation in the rocky intertidal community. Descending into the intertidal are several zones dominated by (1) fucoid and ceramial algae in the high intertidal; (2) a dense turf of erect coralline and gigartinal algae in the mid-intertidal; and (3) beds of *Postelsia palmaeformis* (sea palm), rhodymenials, and laminarials in the low intertidal zone. Intertidal invertebrates also exhibit conspicuous zonation. In northern

California, the barnacle, *Balanus glandula*, and red algae, *Endocladia muricata* and *Mastocarpus papillatus*, are used as indicators of the high intertidal zone, but these species are also found in other areas of the rocky shore. At wave-exposed sites, the mussel, *M. californianus*, can dominate the available attachment substratum in the mid-intertidal zone. Intertidal predators generally include whelks, sea stars, sea urchins, octopus, fishes, and shore crabs.

Low Intertidal Zone

The low intertidal zone is subjected to nearly constant wave action and exposed only for short periods of time during the lowest tides. The presence of the seagrass, *Phyllospadix* spp., is a good indicator of the mean low water level.

Sandy Beaches

North-central California beaches exhibit classic structure: cliffs or dunes demarcate the upper boundary of the beach; the mean high tide line is generally indicated by a berm; and beach flats, troughs, or sand bars form the seaward side of the beach. Exposed sand beaches are harsh environments subjected to high wave action, wide temperature range, and periodic tidal exposure. Quiet-water beaches of estuaries and bays are protected environments subjected to less wave action.

Species distributions within the sandy beach habitat are strongly influenced by physical factors on exposed sand beaches, whereas biological factors, e.g., competition and predation, influence species distributions on protected beaches of estuaries and bays. Exposed beaches of northern California show distinct patterns of biological zonation defined by the amount of tidal inundation to each region. The biological zones of the sandy beach habitat are: upper intertidal beach zone, mid-littoral beach zone, swash zone, low intertidal beach zone, and the surf zone.

Upper Intertidal Beach

The upper intertidal beach is submerged for a short time and exposed to the widest range of temperatures. It is often sparsely inhabited, because the food supply on sandy beaches is unpredictable. The major sources of food on the sandy beach include plankton, macroalgae, and occasional corpses of fishes, birds, and marine mammals that are washed ashore by waves. As a result, the upper intertidal is primarily dominated by scavengers on beach wrack, such as talitrid amphipods, flies, isopods, and Coleopteran beetles (Berzins 1985). When beach wrack washes ashore, it is colonized first by the highly mobile talitrid amphipods and flies (Diptera).

Eventually, the beach wrack is colonized by terrestrial isopods and Coleopteran beetles. The pill bug, *Alloniscus perconvexus*, burrows into the sand just beneath the surface and emerges at night to feed on beach wrack. During the day, beach hoppers (genus *Megalorchestia*) are usually in shallow burrows or under piles of macroalgae. At night, the hoppers emerge to forage on algae and other detritus.

Mid-Littoral Beach

The mid-littoral beach zone is characterized by a moderate inundation time, but is subject to many of the same rigors as the upper zone (e.g., temperature extremes and fresh water). The mid-littoral beach fauna is dominated by species with high mobility such as the cirolanid isopod, *Excirolana*, which are preyed upon by various shorebirds. The mid-littoral zone fauna must be highly mobile because this zone is subjected to rapid sediment removal during storms.

Swash Zone

The swash zone, where waves break on the beach, is characterized by the highest water movement and is submerged approximately twelve hours per day (Oakeden and Nybakken 1977). Thus, the swash zone is not subjected to extreme temperatures and salinity characteristic of the high- and mid-littoral zones. The dominant species in the swash zone is the sand (mole) crab, *Emerita analoga*, an herbivorous species that forms the basis for much of the sandy intertidal food web.

Low Intertidal Zone

The low intertidal zone is subjected to nearly constant wave action and exposed only for short periods of time during the lowest tides. Most of the inhabitants of the low intertidal are either rapid burrowers or protected against injury. Numerous invertebrate species burrow into superficial sediments and flourish in wave-disturbed sand bottoms (Slattery 1980).

Surf Zone

The surf zone is submerged continuously and experiences constant motion of waves breaking against the sea floor. Many studies suggest that sandy beach surf zones are low diversity environments, dominated by small planktivores and benthic feeding fishes and their predators (Gunter 1958, McFarland 1963, Edwards 1973a, Modde and Ross 1981, Lasiak 1983, McDermott 1983). The trophic structure of surf zone fish communities appears to be controlled primarily by three factors: (1) primary production input to the surf zone; (2) water movement; and (3) geomorphology of the sandy beaches.

Over 180 bird species were observed on beaches between Bodega Head and the northern Santa Cruz County border from October 1993 to September 1999 (Roletto et al. 2000). Sanderlings, Western Gulls, and Brown Pelicans were observed most frequently. Most of the bird species that occur in coastal wetlands (especially Sanderlings, Willets and Marbled Godwits) also occur on outer sand beaches (Davis and Baldridge 1980). Snowy Plovers, which have decreased significantly during the past two decades, nest in coastal dunes.

Breeding populations of pinnipeds are found on sand beaches off northern California. The species most commonly found along Northern California beaches, rocks and mudflats include California sea lions (*Zalophus californianus*) and harbor seals (*Phoca vitulina*).

Estuaries Including Bays, Mudflats, and Marshes

Bays and estuaries are among the most productive natural systems. Their physical, chemical, and biological characteristics are critically important to sustaining living resources (Mann 1982, Weinstein 1979). Bays and estuaries are important nursery areas that provide food, refuge from predation and a variety of habitats. The four main estuaries within the sanctuary are Tomales Bay, Estero Americano, Estero de San Antonio, and Bolinas Lagoon.

Tomales Bay is located between the shores of West Marin and the Point Reyes National Seashore (PRNS). Tomales Bay is an example of a fault-controlled valley along the San Andreas Fault. Lagunitas Creek, which drains into Tomales Bay, supports a run of approximately 10 percent of California's current Coho salmon population. Dense seagrass meadows are found throughout Tomales Bay. Pacific herring use the seagrass beds for spawning. Tomales Bay also supports seasonal populations of salmon, steelhead, sardines, and lingcod. The shallow bay's sandy bottom attracts a variety of bottom-dwelling fish including sole, halibut, skates and rays. Leopard sharks are common in Tomales Bay and occasionally blue sharks are sighted. White sharks, although not found in enclosed bays or estuaries, do hunt for seals and sea lions that frequent the bays to haul out on the sandy beaches and rocks near the mouth of Tomales Bay. Over 20,000 shorebirds and seabirds, including loons, grebes, geese, cormorants, and ducks, spend the winter in Tomales Bay.

The Esteros Americano and de San Antonio are coastal estuaries located on Bodega Bay. Estero Americano drains into Bodega Bay at the Sonoma-Marin County line. South of Estero Americano, Stemple Creek becomes the Estero de San Antonio, also draining into Bodega Bay. Many different habitat types are found in the esteros including mudflats, marshes, rocky shore, coastal scrub, and grasslands. With the variety of habitats, the esteros support many species of plants, invertebrates, fishes, birds, and mammals. They provide essential feeding and resting areas for shore and sea birds. Some common fish species found in the esteros include Pacific herring, staghorn sculpins and starry flounder. The endangered tidewater goby breeds in the shallow waters of Estero de San Antonio.

Seagrass beds occur on the extensive mudflats in Tomales Bay, Bolinas Lagoon and within the esteros. Seagrass supports a unique and diverse assemblage of invertebrates and fishes, including snails, shrimp, nudibranchs and sea hares. The structure of seagrass beds provides protection from predation, especially for juvenile invertebrates and fishes. Pacific herring, invertebrates, and birds depend on seagrass beds in Tomales Bay to spawn and feed.

The soft bottom habitats associated with estuarine environments support large concentrations of burrowing organisms, such as clams, snails, worms, and crabs. Benthic invertebrates in estuaries have a large effect on community structure.

Willets and Marbled Godwits are among the most abundant large shorebirds in northern California estuaries whereas Sanderlings, Western Sandpipers, Least Sandpipers, Dowitchers, and Dunlins are the most abundant small shorebirds in wetlands and the outer coast beaches from Point Reyes to Manchester State Beach. There are some differences within estuaries in the abundances of shorebirds. Horned and Eared Grebes, American Coots, and numerous ducks

(including Buffleheads, Goldeneyes, Pintail, Mallard, and Cinnamon Teal dominate the coastal bird assemblage in shallow, tidal waters of local sloughs and estuaries while egrets and herons use brackish and salt marshes as roosting and feeding habitats during high tides [Davis and Baldridge 1980]). The time of migration and the routes of travel between breeding and wintering grounds seasonally affect the patterns in abundance of shorebird species in northern California (Ramer et al. 1991). Most species of wintering shorebirds move into California from August through March and leave wintering grounds for northern breeding grounds between late March and early May.

Fish assemblages in estuaries of the Gulf of the Farallones and Point Arena regions exhibit similar trophic structure and taxonomic structure. The most abundant estuarine fish are juvenile planktivores or low-level carnivores on infaunal invertebrates (Yoklavich et al. 1991). Fish assemblages exhibit higher abundance and species richness during the summer with the invasion of young-of-the-year marine species (Allen and Horn 1975, Hoff and Ibara 1977, Allen 1982, Onuf and Quammen 1983, Yoklavich et al. 1991). Species richness (diversity of species) and the change in species composition decline with distance from the ocean (Loneragen et al. 1986, Blaber et al. 1989, Yoklavich et al. 1991). The mouths of bays and estuaries are strongly influenced by marine hydrographic processes (Broenkow 1977), and are therefore more accessible to coastal marine species.

Kelp Forests

The rocky nearshore environment of northern California is characterized by dense forests of kelp growing at depths from 2 meters to more than 30 meters (Foster and Schiel 1985). The bull kelp, *Nereocystis luetkeana*, is the dominant canopy-forming kelp north of Santa Cruz to the Aleutian Islands (Foster 1982). The shallow areas inshore of kelp forests are often characterized by canopies of the feather boa kelp, *Egregia menziesii*, and other Laminarials (Foster and Schiel 1985). Extensive kelp forests occur along the Sonoma and Mendecino County coasts.

Kelp forests are spatially complex communities. They alter turbulent flow patterns in the nearshore region through drag generated by their large size and frequently high densities (Duggins 1988). The biological ramifications of this type of hydrodynamic influence are potentially very important to a wide range of nearshore organisms. Disruption of flow by kelp forests is likely to have significant effects on feeding and growth (particularly in suspension and deposit feeders), dispersal and recruitment (Duggins 1988). Food and dispersal stages of many kelp forest organisms are passively dispersed, and their transport and settling characteristics will be determined largely by the movement of water in which they are suspended. Kelp beds may retain larvae released within the bed, and the strong deceleration of flow at the margins of the bed could facilitate settlement of larvae imported from outside the bed (Duggins 1988). The concentration of zooplankton at the upcurrent edge of a kelp bed, and the corresponding higher densities and feeding rates of fish in that area, are probably results of alterations of current flow by kelp (Bray 1981). Predation risk may increase the association between certain species and kelp forests because predation (by fish, birds, and marine mammals) is lower in spatially complex environments such as kelp beds (Gooding and Magnuson 1967, Wickham and Russell 1974).

Kelp forests harbor a large potential source of invertebrate and fish prey for birds (Foster and Schiel 1985). Gulls, terns, Snowy Egrets, Great Blue Herons and cormorants are associated commonly with kelp forests (Foster and Schiel 1985). Other species (e.g., phalaropes) feed on the plankton and fish larvae associated with kelp.

Harbor seals (*Phoca vitulina*) and California sea lions (*Zalophus californianus*) are common in and around kelp forests off northern and central California. Harbor seals feed on fishes in the kelp forest whereas California sea lions probably limit their use of the kelp forests to transitory feeding (Foster and Schiel 1985).

Gray whales (*Eschrichtius robustus*) have been observed entering kelp forests to feed on invertebrates such as mid-water crustacean swarms and to escape predation from killer whales (*Orcinus orca*).

Open Ocean

The habitat covering the largest area within the GFNMS is the open continental shelf and the pelagic (open ocean) habitat. This habitat is strongly influenced by the oceanographic patterns of the northern California coast (for more detail, see Climate and Oceanography section above). The strong upwelling events stimulate the productivity of organisms at all levels of the marine food web. Cool, nutrient-rich, upwelled waters support high primary productivity.

All the food that drives the biology of the deep ocean originates in the very thin, near surface layer, the euphotic zone, which is defined as the zone where sunlight can penetrate. Therefore, the feeding conditions of the ocean floor are linked with that primary production occurring in the euphotic zone. Deep-sea communities depend on the distribution and quantity of primary production, the rate of movement of organic material to the bottom, and the conditions of deposition and transformation of the organic matter in the sediment.

Distribution and abundance of zooplankton are related to the physical dynamics of the California Current system (Reid et al. 1958, Parrish et al. 1981, Huntley et al. 1995). Zooplankton are usually most abundant in neritic and inshore regions (Colebrook 1977), as compared with waters of the offshore California Current. Large populations of zooplankton are associated with subarctic water and intense upwelling along the northern/central coast of California extending to Point Conception (Reid et al. 1958, Loeb et al. 1983a).

Crustacean larvae, euphausiids (or krill), and copepods are dominant groups in the epipelagic zone (Colebrook 1977). Euphausiid swarms often concentrate near Cordell Bank, the Farallon Islands (Rice 1977, Kieckhefer 1995) and in Monterey Bay, due to high local productivity and oceanographic characteristics of the regions (e.g., upwelling, fronts, canyons, and vertical walls). Distributions of the euphausiids, *Euphausia pacifica* and *Thysanoessa spinifera*, vary seasonally in response to both temperature and light availability. Changes in euphausiid behavior can reduce the availability of prey in surface waters to predators such as seabirds (Ainley et al. 1996, Veit et al. 1997) and rorqual whales (Schoenherr 1991, Croll et al. 1998).

California blue whales respond to the seasonal patterns in productivity in foraging areas along the west coast of North America. Blue whales exhibit strong seasonal migration feeding primarily on euphausiids in the Gulf of the Farallones and migrating to the lower latitudes where they feed on "upwelling-modified" waters (Fielder et al. 1998, Croll et al. 1998), mate and give birth (Lockyer 1981). California humpback whales follow similar migration patterns as the blue whales and primarily feed on small schooling fish and euphausiid prey in the Gulf of the Farallones and migrate to Mexican and Central American waters to mate and give birth (Kieckhefer 1992).

The composition of fish species in the pelagic zone varies throughout the year with migration and spawning and from year to year with environmental fluctuations. A small number of migratory pelagic species dominate the fisheries of central and northern California, including northern anchovy (*Engraulis mordax*), Pacific sardine (*Sardinops sagax*), Pacific hake (*Merluccius productus*), and jack mackerel (*Trachurus symmetricus*). These pelagic species spawn in the Southern California Bight and migrate into waters off central and northern California. However, the composition of larval fish species off central and northern California varies with oceanographic conditions.

The deep-sea pelagic invertebrate fauna is dominated by the following Phyla: cnidarians (or coelenterates), ribbon worms (Nemerteans), ctenophores, chaetognaths, mollusks, annelids (including Polychaetes), and crustaceans. The cnidarians include hydroids, sea anemones, corals, jellyfishes, and their relatives. The mollusks include marine snails (Prosobranchia), sea slugs (Opisthobranchias and Pulmonata), clams (Bivalves), chitons (Polyplacophora), squids and octopuses (Cephalopods). The crustaceans include barnacles (Cirripedia), isopods, amphipods, copepods, shrimps (Caridea), ghost shrimps (Macrura), hermit crabs (Anomura), and true crabs (Brachyura).

Continental Shelf and Slope Communities (0-200 meters)

The continental shelf off central and northern California is generally quite gradual, and the bottom substrate is a combination of varying amounts of sand, silt, and clay. Much of the mud and sand on the continental shelf was deposited by rivers that formed during the melting of the glaciers approximately 18,000 years ago (Eittreim et al. 2000). At water depths between about 40 to 90 meters, the continental shelf off central California is covered by a nearly continuous blanket of mud as much as 30 meters thick. In areas of high wave energy, mud and sand may be resuspended and transported away from the shore. A zone of outcropping bedrock and sands is located seaward of the mud accumulation zone, on the far outer shelf where water depth exceeds 90 meters.

Sandy Continental Shelf Communities

Although sandy sediments may appear less productive than rocky reefs and kelp forests, numerous organisms are adapted to the shifting environments on the sandy shelf. Some animals find shelter by living in tubes and burrows. Clams lie permanently buried with their siphons extended to the surface of the sediment. Some crustaceans and mollusks live beneath the sand, emerging at night to forage. Flatfishes are camouflaged on the sandy surface of the sea floor.

Ocean shrimp (*Pandalus jordani*) are found in California from depths of 240 to 750 feet. Spot prawns are found in depths of 150 to 1,600 feet and concentrate in the regions around the Farallon Islands and offshore banks. Many species of fish prey on ocean shrimp, including Pacific hake, arrowtooth flounder, petrale sole, sablefish, and several rockfishes.

Many species of flatfishes (Pleuronectidae and Bothidae) use the soft-bottom habitats along the continental shelf. English sole (*Paraphrys vetulus*) are distributed from northwest Alaska to San Cristobal Bay, Baja California, in waters as deep as 1,800 feet. Spawning of English sole generally occurs over sand and mud-sand bottoms at depths of 200 to 360 feet from September to April (Pearson et al. 2001).

Dungeness crabs (*Cancer magister*) are commonly found in a variety of habitats, but populations are concentrated on sandy to sandy-mud bottoms from the intertidal to a depth of 300 feet. Dungeness crabs are opportunistic feeders, consuming clams, fish, isopods, and amphipods.

Rocky Continental Shelf Communities

Along the northern California coast, rocky reefs support extensive macroalgal growth and associated abalones, sea urchins, and rockfishes. Rocky reefs occur in the nearshore and intertidal environments such as Duxbury Reef, as well as part of offshore, submarine banks and shoals.

Submarine banks and shoals are found near the shelf break along a submarine ridge that extends for approximately 30 nautical miles between the Farallon Islands and Cordell Bank. The vertical structure of Fanny Shoal, Rittenburg Bank, Cochrane Bank, "The Football" (elevated feature on the continental shelf south of Bodega Canyon), and the submerged rocky outcrops surrounding the Farallon Islands provide rich habitat for a diverse rocky reef community.

Juvenile red abalones settle as postlarvae on coralline algae in crevices between rocks (Haaker et al. 2001). Sea urchins are abundant subtidal herbivores that play an important ecological role in the structure of kelp forest communities. Red sea urchins (*Strongylocentrotus franciscanus*) are found on rocky shores of open coasts from the low-tide water line to 300 feet deep. Purple sea urchins (*S. purpuratus*) are found on rocky shores with moderately strong surf from the low-tide line to 525 feet deep.

Fish commonly found in the rocky habitats of the continental shelf include surfperches, rockfish, and cabezon. The surfperches (Embiotocidae) are small abundant fishes found predominantly in temperate eastern North Pacific waters. Many species of rockfish can be found at various depths on the rocky continental shelf. For example, schools of black rockfish (*Sebastes melanops*) frequently occur 10 to 20 feet above shallow rocky reefs. Shortbelly rockfish (*Sebastes jordani*) are found in greatest abundances between the Farallon Islands. The peak abundance of adults is over the bottom at depths of 400 to 700 feet. Adults commonly form very large schools often near or on the bottom during the day. At night, aggregations of shortbelly rockfish may loosen as the fish move up in the water column. Bocaccio (*Sebastes paucispinis*), currently listed as an overfished species can be found in loose schools of 1 to 2 year fish in shallower waters, but then move to deeper more-rocky habitat when they increase in age. Cabezon (*Scorpaenichthys*

marmoratus) are found on hard bottoms in shallow water from intertidal pools to depths of 250 feet. Cabezon are common in subtidal habitats in and around rocky reefs and kelp beds. These rocky habitats also include a wide variety of invertebrates such as deep-sea corals (*Antipathes dendrochristos*, *Chromoplexaura marki*, *Stylaster* spp., *Swiftia* spp., and *Paragorgia* spp.) and sponges (*Iophon piceus* var. *pacifica*, *Halichondria panacea*, *Heterochone calyx*, *Staurocalyptus fasciculatus*, *Xestospongia diprosopea*, and *Acanthascus fasciculatus*).

Continental Slope Communities (200-2000 meters)

At a depth of about 200 meters, the continental slope drops steeply to the sea floor. The deep waters of the continental slope are characterized by extremely low light conditions, nearly freezing temperatures, and very high pressures (Laidig 2002). Continental slope species eat less frequently, are slower at digesting their food, and move more slowly than species in warmer waters. In order to achieve sexual maturity and successful reproduction under conditions of reduced growth, continental slope species may live longer than species in warmer waters.

The invertebrate infaunal and epifaunal communities along the continental slope include many species such as polychaete worms, pelecypod and scaphopod mollusks, shrimp, and brittle stars.

Deep-sea fish on the slope include deep-sea rockfishes such as Cowcod (*Sebastes levis*) and Blackgill rockfish (*Sebastes melanostomus*), thornyheads (genus *Sebastolobus*), sablefish (*Anoplopoma fimbria*), and Dover sole (*Microstomus pacificus*). Many of these species occupy similar habitats. Some of these species support commercial fisherieswhile others are listed as overfished and are rebuilding so fishing is currently prohibited.

Submarine Canyons and Seamounts

To the west of the Farallon Islands and the continental shelf, the seafloor drops precipitously to depths over 6,000 feet. Submarine canyons, gullies and rocky ridges indent the steep continental slope of the Farallones Escarpment. Deep-sea corals and sponges as well as rockfish can be found on the Farallones Escarpment.

Pioneer and Guide Seamounts are found west of the sanctuary. These underwater islands of volcanic origin are home to colorful, long-lived invertebrates and other marine life adapted to living in dark, deep waters. Due to the difficulty in studying these remote habitats, it is possible that these seamounts harbor marine life that is yet unknown to science.



Common Murres breed on the Farallon Islands and other craggy promontories within the sanctuary. They are particularly vulnerable to impacts from oil spills. Photo: NOAA

Marine and Coastal Birds

One of the most spectacular components of the sanctuary's abundant and diverse marine life is its nesting and migratory seabirds (see Appendix III-G for a complete species list). The Gulf of the Farallones supports the largest concentration of breeding seabirds in the contiguous U.S. These birds forage in the Gulf of the Farallones and are highly dependent on the productive waters of the sanctuary. Eleven of the sixteen species of seabirds known to breed along the U.S. Pacific coast have breeding colonies on the Farallon Islands and feed in the sanctuary. Breeding colonies include Ashy and Leach's Storm-Petrels; Brandt's, Pelagic, and Double-crested Cormorants; Western Gulls; Common Murres; Pigeon Guillemots; Tufted Puffins; and Cassin's and Rhinoceros Auklets. The Black Oystercatcher, a moderate-sized shorebird, also nests on the Farallon Islands.







GFNMS was originally designated to protect the seabirds of the Gulf of the Farallones. Here are a few examples. Northern Fulmar (left) forage within the open waters of the sanctuary, Snowy Egrets (center) inhabit the shallow estuarine waters, and Western Gulls and other birds fill the skies above the sanctuary. Photos: NOAA

The sanctuary also protects foraging habitat for aquatic birds such as waterfowl, shorebirds, pelicans, loons, and grebes. These habitats are pristine compared to most coastal wetlands in California and provide habitat for thousands of migrating and wintering birds. More than 170 species of birds use the sanctuary for shelter, food, or as a migration corridor. Of these, over 50 species of birds are known to use the sanctuary during their breeding season.

Four marine and aquatic bird species that are federally listed as threatened or endangered can be found in the sanctuary (May 2013). These include the Marbled Murrelet, Western Snowy Plover, Short-tailed Albatross, and Dark-rumped Petrel.

Marine Mammals

Thirty-six species of marine mammals have been observed in the GFNMS. This includes six species of pinnipeds (seals and sea lions), twenty-eight species of cetaceans (whales, dolphins,







Common marine mammals of the GFNMS include California and Steller sea lions (left), gray whales (center), and longbeaked common dolphins (right). Photo: NOAA

and porpoises), and two species of otter (southern sea otter and river otter). Pinnipeds and cetaceans occur in large concentrations and are dependent on the productive and secluded habitats for breeding, pupping, hauling out, feeding, and/or resting during migration. The Farallon Islands provide habitat for breeding populations of five species of pinnipeds, and support the largest concentrations of California sea lions and northern elephant seals within the sanctuary.

Fish Resources

Fish resources are abundant over a wide portion of the sanctuary. Because of the comparatively wide continental shelf and the configuration of the coastline, the sanctuary is vital to the health and existence of salmon (Chinook and Coho), northern anchovy, rockfish, and flatfish stocks. The extension of Point Reyes and the resulting current patterns tend to retain larval and juvenile forms of these and other species within the sanctuary, thereby easing recruitment pressures and ensuring continuance of the stocks. Sanctuary waters offshore of the Farallon Islands act as a location for shallow and intertidal fishes which further enhance finfish stocks.



The rockfish group of fish (Sebastes spp.) are among the most diverse fish species in the sanctuary nearshore and deep habitats. Photo: NOAA



White sharks migrate to the Gulf of the Farallones in the fall to prey upon the marine mammal populations. Photo: NOAA

The sanctuary includes many diverse habitats, thereby contributing to the region's high productivity. Bays and estuaries are especially important as feeding, spawning, and nursery areas for a wide variety of finfish. Common fish species of the major bays and estuaries include the Pacific herring, smelts, starry flounder, surfperch, sharks and rays, and Coho salmon. The rocky intertidal zone supports a specialized group of fish adapted for life in tidepools, including monkey face eels, rock eels, dwarf surfperch, juvenile cabezon, sculpins, and blennies. Many of these stocks are important as forage for shorebirds and seabirds. Subtidal habitats support large populations of juvenile finfish

(e.g., flatfish, rockfish, etc.). Nearshore pelagic environs are habitat to large predatory finfish such as sharks, tunas, and mackerel. Northern anchovies, Pacific mackerel, and Market squid are abundant and can be commercially valuable. Pelagic fish resources in the study area generally parallel species living in the nearshore subtidal zone. At the mid-depth or meso-pelagic range over sand and mud bottoms, Bocaccio, Chilipepper, Widow rockfish, and Pacific hake are abundant. Kelp beds substantially increase the useable habitat for pelagic species and offer protection to juvenile finfish.

Marine Flora

Significant algal and plant communities within the sanctuary include kelp beds, salt marshes, and seagrass beds. The importance of these plants, algae, and microscopic phytoplankton for habitat and food cannot be overstated.



The intertidal algae the sea palm is a State-species of special concern and is found in pockets along the GFNMS rocky shores

Kelp forests include bull kelp, the primary species forming kelp beds in the sanctuary. The highest concentration of kelp beds in the sanctuary occurs along the mainland coast between Fort Ross and Point Arena. As noted above, these kelp beds provide important habitat and food for many invertebrate and finfish species.

Salt marshes offer food and protected habitat for many coastal species during vulnerable lifecycle stages. For example, some flounders breed near salt marshes to allow juveniles to develop in the marsh system. Herons, sandpipers, duck, rails, and geese are also dependent upon the marsh for feeding and breeding.

Seagrass beds are situated on subtidal estuarine flats, in bays, and coastal inlets. Seagrass beds provide important breeding and nursery habitat for

organisms such as herring, which attach their eggs to eelgrass. Although some marine organisms feed directly on seagrass, the principal food chain supported by seagrass is based on detritus.



Sea urchins are important grazers in the intertidal ecosystem. Photo: NOAA

Benthic Fauna

Benthic fauna communities refer to invertebrates living directly on or in the seafloor. Benthic fauna communities differ according to habitat type and exist in all habitats of the sanctuary (bays and estuaries, intertidal zones, nearshore, and offshore). Generally, each habitat area supports differing benthic assemblages of most classes, e.g., worms, classes.

differing benthic assemblages of most classes, e.g., worms, clams, or crabs. The most conspicuous species include abalone, crabs, and sea urchins. Hundreds of other species (including sea stars, clams, amphipods, and shrimp) are critical links in the food chains of fish, birds, and mammals.

HUMAN SETTING

A wide range of human-use activities occur in and around the waters of the GFNMS. The San Francisco Bay metropolitan area exerts considerable user influence on the scale and intensity of uses (often competitive) occurring in the area. The major near and offshore activities include commercial fishing and aquaculture, commercial shipping, recreation, and



Fishing vessels can be seen plying sanctuary waters for fish throughout the seasons. Photo: NOAA

research. Additional details on the extent of human-use activities in the sanctuary can be found in the introduction of each action plan.

Commercial Fishing and Aquaculture

The most important commercial harvests include salmon, flatfish, albacore, tuna, red urchin, groundfish and Dungeness crab. Most of the commercial catches harvested in the sanctuary are landed in the four port complexes of Fort Bragg, Bodega Bay, San Francisco, and Princeton/Half Moon Bay area ports. Data from 2000 to 2011 show that about 200 commercial fishing vessels make landings in the ports adjacent to the sanctuary on an average annual basis. These are unique vessels, spanning all gear types (California Fishery Information System Database 2013). A number of aquaculture operations in Tomales Bay raise oysters, mussels, and other shellfish.

Commercial Shipping



Large cargo ships daily transit the sanctuary enroute to and from the Port of San Francisco. Photo: NOAA

Three major shipping lanes converge just west of the Golden Gate Bridge at the approach to San Francisco Bay. The northern and western lanes pass through GFNMS. The volume of traffic in and out of San Francisco Bay is large, totaling approximately 8,000 transits of vessels greater than 300 gross registered tons in calendar year 2010. Roughly one-half (~4000 per year) of these transits are in the western shipping lane, which passes south of SE Farallon Island, while one-quarter (~2000 transits per year) are in the northern and southern lanes, respectively (USCG 2010). Almost 60% of the commercial vessel traffic in and out of San Francisco Bay is

from high speed (18-26 knots) container, car carrier and cruise ships, while 30% is from slower (13-16 knots) bulkers and tankers. The remaining 10% is from tug and barge operations (SFMX 2012).

Recreation

The sanctuary is a popular recreation area because of its many outstanding natural features and its proximity to the San Francisco Bay metropolitan area. More than 68 coastal access points in Mendocino, Sonoma, Marin, San Francisco, and San Mateo Counties provide direct access and views of the sanctuary. Most of these access points are located in federal, state, county, and



Kayaking is a popular way to experience the sanctuary. Photo: NOAA

Sport fishing is one of the more popular activities in the sanctuary. King salmon and rockfish are the major species taken. Whale watching, Farallon Islands wildlife viewing, sailing, and oceanic birding excursions account for several thousands of visitors venturing offshore. The major recreational uses include beach-related activities, bird watching, coastal hiking, wildlife viewing, tide pooling,

surfing, kayaking, canoeing, boardsailing, clamming, diving, and surf fishing. On some weekend days, more than 1,000 clam diggers harvest geoduck, gaper, Washington, and littleneck clams

Research and Monitoring

The diversity of physical and biological habitats throughout the sanctuary offers an outstanding opportunity for scientific research on marine and estuarine ecosystems. Several academic

institutions, government agencies and nongovernmental organizations have ongoing monitoring and research programs in the area. Research on the Farallon Islands (Farallon National Wildlife Refuge) is coordinated by the U.S. Fish and Wildlife Service (USFWS), through a Cooperative Agreement with Point Blue. The sanctuary collaborates with these and other institutions on conducting monitoring and research to help characterize the wildlife and habitats of the sanctuary and to help understand natural and human factors responsible for causing changes in the marine environment.



Sanctuary scientists collect data on the rocky shores of the Farallon Islands to answer important resource management questions. Photo: NOAA

Ongoing research and monitoring are performed not only by the sanctuary but other federal, state and regional agencies such as USFWS, National Park Service, California Coastal National Monument, National Marine Fisheries Service, Environmental Protection Agency, State Department of Fish and Wildlife, State Water Quality Control Board, and Sonoma County Water Agency. Non-government groups performing research and monitoring in the sanctuary include: Farallones Marine Sanctuary Association, Point Blue, Ecotrust, The Marine Mammal Center, California Academy of Sciences, Reef Check, State Parks, Stewards of the Coast and Redwoods, Sea Ranch Association and Task Force, Madd River Consulting, City of Point Arena, Mendocino Coast Audubon Society, and Point Arena Lighthouse Keepers. Academic institutions includes: California State University at Monterey Bay, Partnership for Interdisciplinary Studies of Coastal Oceans, Stanford University, San Jose State University, San Francisco State University, and the University of California at Davis, Bodega Marine Laboratory.

JURISDICTIONAL SETTING

Federal

United States Coast Guard (USCG)

The USCG holds broad responsibility for enforcing all federal laws throughout the sanctuary and assists NOAA in the enforcement of sanctuary regulations. USCG provides on-scene coordination with regional response center facilities under the National Contingency Plan for removal of oil and hazardous substances in the event of a spill that threatens sanctuary resource.

National Marine Fisheries Service (NMFS)

The NMFS has responsibility under the Magnuson-Stevens Fishery Conservation Act (MSFCMA), for approving, implementing and enforcing fishery management plans (FMPs) prepared by regional fishery management councils to ensure protection of fishery resources in the Exclusive Economic Zone. NMFS also shares responsibility with the United States Fish and Wildlife Service (USFWS) for the implementation of the Marine Mammal Protection Act (MMPA) and the Endangered Species Act (ESA) to prevent taking of any endangered, threatened or otherwise depleted species.

Environmental Protection Agency (EPA)

The EPA has regulatory responsibilities with regard to sewage outfalls (under the U. S. Clean Water Act [CWA]) via National Pollutant Discharge Elimination System (NPDES) Permits, and ocean dumping (under Title I of the Marine Protection, Research, and Sanctuaries Act) to protect water quality.

Farallon National Wildlife Refuge (USFWS)

The USFWS has responsibility for managing the Farallon National Wildlife Refuge. The refuge includes: North, Middle, and Southeast Farallon Islands; Maintop Island; and Noonday Rock. The refuge is operated primarily as a migratory bird refuge to protect murres, auklets, guillemots, puffins, and other birds, and secondarily, to protect seal, sea lion, and other marine mammal assemblages.

Golden Gate National Recreation Area (GGNRA)

The National Park Service (NPS) is responsible for the management of the GGNRA. The GGNRA manages approximately 80,000 acres within the GGNRA boundary, which includes lands in San Francisco, Marin, and San Mateo counties. Non-federal lands within the GGNRA boundary are managed by other public agencies such as the City and County of San Francisco, California Department of Parks and Recreation, and San Mateo County.

Point Reyes National Seashore (PRNS)

The NPS is responsible for the management of the Point Reyes National Seashore (PRNS). PRNS includes the entire Point Reyes peninsula, with the exception of Inverness, Bolinas and Tomales Bay State Park. In addition, certain tide and submerged lands have been legislatively conveyed by the state to PRNS.

Bureau of Land Management (BLM)

The Bureau of Land Management (BLM) is responsible for managing the California Coastal National Monument that was established by Presidential Proclamation on January 11, 2000, under the authority of the Antiquities Act of 1906. It is comprised of over 20,000 rocks and small islands spread along the 1,100 mile California coastline. The Point Arena-Stornetta Unit includes

1,665 acres of Federal land administered by the BLM along the Northern California coastline, immediately south of Point Arena.

State

California Coastal Commission

The California Coastal Commission (CCC) was established under the California Coastal Act, which gives authority to the commission to establish policy for activities in state waters. In addition, seaward of state jurisdiction, federal development and activities directly affecting the coastal zone must be conducted in a manner consistent with these policies to the maximum extent practicable.

California State Lands Commission (SLC)

The California State Lands Commission (SLC) administers land including the beds of all waterways of the state below ordinary high water mark as well as tidelands (located between the mean high and low tide lines) and submerged lands (located below the mean low tide line and extending 3 nautical miles seaward). These sovereign state lands are held by the state "in trust" for the benefit of the public.

California Department of Fish and Wildlife (CDFW)

The CDFW regulates commercial fishing, including the taking of tidal invertebrates for commercial purposes, under a licensing system. CDFW also regulates sport fishing through license and bag limit systems. A sport fishing license is required for the taking and possession of fish for any non-commercial purpose. CDFW also leases state water bottoms for the purpose of aquaculture.

California Department of Parks and Recreation

California Department of Parks and Recreation manages 280 park units, including over 280 miles of coastline. Responsible for almost one-third of California's scenic coastline, California State Parks manages the state's finest coastal wetlands, estuaries, beaches, and dune systems.

STRUCTURE OF THE ACTION PLANS

This management plan is constructed around a set of action plans that outline how Gulf of the Farallones National Marine Sanctuary (GFNMS) will be managed. Each action plan outlines how different strategies will be conducted and proposes performance indicators as a measure of management effectiveness.

DEVELOPMENT OF ACTION PLANS

The following issues and program areas are addressed in this management plan:

- A. Water Quality
- B. Wildlife Disturbance
- C. Introduced Species
- D. Ecosystem Protection: Impacts from Fishing Activities
- E. Impacts from Vessel Spills
- F. Education and Outreach (Program)
- G. Conservation Science (Program)
- H. Resource Protection (Program)
- I. Administration (Program)
- J. Administration and Operations (Crosscut)
- K. Communication and Outreach (Crosscut)
- L. Ecosystem Monitoring (Crosscut)
- M. Maritime Heritage (Crosscut)

There are three types of action plans. Issue plans focus on a particular issue and require the involvement of more than one GFNMS program. Program plans represent the priority activities for the sanctuary's science, education, resource protection, and administration programs. Crosscut plans involve the three contiguous sanctuaries in central California, Monterey Bay, Cordell Bank, and Gulf of the Farallones.

OUTLINE OF ACTION PLANS

Each action plan is structured so that sanctuary staff and constituents may quickly and easily reference this document. Each action plan is divided into sections that are described in detail below.

Issue Statement/ Program Statement

The issue (or program) statement clearly and concisely provides an introduction about "why" this is an issue to be addressed by the sanctuary in the management plan. It may include a brief description of the current situation or problem, and areas that need attention.

Structure of Action Plans GFNMS Final Management Plan

Issue Description/ Program Description

The issue (or program) description provides a general background on what sanctuary management currently knows or understands about an issue. Program descriptions explicitly describe the types of actions already undertaken by sanctuary management and the general direction it would like to move in the future. It includes the status of natural resources, related human-use activities occurring in the sanctuary, and jurisdictional authorities pertinent to the specific issue.

Goals

The goal describes the desired future state of the sanctuary ecosystem and management relevant to the specific resource management issue or program area. The goal is a broad statement about a long-term desired outcome that may or may not be completely attainable.

Objectives

The objectives are measurable outcomes for evaluating progress and success in moving toward the future desired condition. Objectives will be achieved in a specific time frame to help accomplish the desired goal.

Strategies

This section is a description of how the objectives will be accomplished for the particular issue or program area. Each strategy addresses one or more objectives and is divided into specific activities for the sanctuary staff to carry out. Activities are developed and implemented to achieve the goals and objectives of the issue or program area.

Potential Partners

The potential partners are organizations that have been identified as possible partners and that have shown interest in contributing to the effort. This list does not limit the partners involved, but merely serves as a guide when implementing the action plan. Sanctuary management may partner with other organizations as work on the particular activity progresses.

Performance Measures

Each action plan includes a chart presenting the outcomes expected and the performance indicators that will be used to measure progress toward the outcome. This effort is being undertaken to measure the sanctuary's management effectiveness (e.g., the achievement of a planned effort or activity). The methodology to be used to assess the effectiveness of each strategy in achieving the desired goal is detailed below. The definitions for the performance measure terminology follow.

Strategy The management action to address a particular issue.

Performance Goal The over-arching, very broad target for the action plan.

Desired Outcome (Objective)

The more specific outcomes achieved within the scope of the

performance goal.

Outcome Measure A specific indicator that shows progress towards a desired

outcome.

How Measured Describes exactly how the outcome measure will be measured.

Who Measures Identifies the staff or outside partner who will measure the

outcome measure.

Output Measure A specific product or tool that results from the activities. Its

production demonstrates a completed objective.

IMPLEMENTATION OF THE MANAGEMENT PLAN

This plan is designed to guide management of activities in the sanctuary. Implementation of this management plan will require cooperation and coordination among many federal, state, and local government agencies, as well as private organizations and individuals. Information exchange, sharing facilities and staff, and the coordination of policies and procedures within an ecosystem context are features of this management plan and each of its program areas. As this plan is being implemented, GFNMS management will work to facilitate all public and private uses of those resources that are compatible with the primary objective of resource protection.

Limitations

Although this management plan for GFNMS details the action plans for the four program areas, how these strategies are implemented may be affected by multiple factors. These include: (1) funding – the primary source of funding comes from congressional appropriations that may fluctuate from year to year; (2) the ability to forge new partnerships in which staff, facilities and financial resources may be shared; (3) the need to be responsive to the ever changing impacts on the sanctuary's marine resources from both natural perturbations and human activities; (4) an increased understanding of the complexity of the ecosystem, habitats and living marine resources; and (5) learning better ways to manage the resources through experience, experimentation, and the sharing of knowledge. GFNMS staff, the sanctuary advisory council, the public, and GFNMS' partners will, as appropriate, provide oversight and guidance for redirecting any management plan strategies.

Incremental Implementation Scenarios

Table 1 provides an outline of how the various strategies in the management plan will be implemented. The implementation of the strategies depends on various factors including:

Structure of Action Plans GFNMS Final Management Plan

- 1. Status of strategy implementation
- 2. Priority of strategy implementation
- 3. Coordination level necessary with partners for implementation, and
- 4. Funding source for strategy implementation

The status of the strategy indicates the amount of work completed or the level of implementation of a strategy at the time of the management plan review. Certain strategies and activities have been partially or wholly implemented prior to or during the management plan update. Other strategies are new as part of the updated management plan or may not be initiated until the future.

The priority of a strategy or action plan is indicated by the level of implementation based upon the funding or resources currently available. Full implementation of the management plan exceeds the resources available to the GFNMS therefore requiring some prioritization of the action plan or strategies. As resources become available, a greater level of implementation is possible. Table 1 outlines how much implementation could occur with the existing amount of resources and how increases in resources would affect the amount of implementation possible for each strategy or action plan.

Implementation of most of the strategies in this management plan will require some input or coordination from partners, particularly other government agencies, research institutions and non-government organizations (NGOs). Table 1 outlines the level of involvement expected from partners to achieve full implementation of each strategy. Many action plans and strategies are completely dependent on involvement from other agencies or dependent on research conducted by a research institution.

Funding for implementation of many of the strategies will require a mix of internal Office of Marine Sanctuaries (ONMS) funds as well as funding from external sources such as grants, the Farallones Marine Sanctuary Association (FMSA), or in-kind work from partner agencies. Table 1 highlights the probable source of funding as primarily internal or external or a mix of funding sources.

Table 1. GFNMS Management Plan Implementation Table

Table Legend							
Strategy Status:	Implementation Ranking:	Necessary Partnership Coordination:	Primary Funding Sources:				
● – Existing w/o significant modification	H – High	● – Not possible w/o partners	● – External (e.g., grants)				
■ – Existing w/significant modification	M – Medium	▶ – Significant reliance on partners	▶ – Internal/External				
O – New or future (not yet implemented).	L – Low	O – Little reliance on partners	• Internal (increased budget)				
			O – Internal (base budget)				

Action Plans		Level Funding Scenario 1	Moderate Increase Scenario 2	Substantial Increase Scenario 3	Partnership Coordination	Primary Funding Sources
Issue Area Action Plans						
Water Quality		-	<u> </u>	3.6		
WQ-1: Water Quality Monitoring Coordination	0	L	L	M	•)
WQ-2: Harbor and Marina Water Quality	0	M	Н	Н	•)
WQ-3: Land-based Discharges	0	L	L	M	•	•
WQ-4: ASBS Water Quality	0	M	M	Н	•	
WQ-5: Mussel Watch Monitoring Program	0	M	M	Н	•	•
WQ-6: Water Quality Working Group	0	L	L	M	•	0
WQ-7: Water Quality Staff Support	0	M	M	Н	0	0
WQ-8: Water Quality Bibliography	0	L	M	Н		0
WQ-9: Nonpoint Education for Municipal Officials (NEMO)	0	L	M	Н	•	•
Wildlife Disturbance						
WD-1: Web-Based Database	0	L	M	Н		0
WD-2: Volunteer Monitoring Programs	0	L	M	Н)	0
WD-3: Agency Monitoring Programs	0	L	M	Н	•	•
WD-4: Interpretive Enforcement	0	Н	Н	Н	•	
WD-5: Wildlife Viewing Guidelines	•	Н	Н	Н	•	•
WD-6: Outreach and Media)	Н	Н	Н		
WD-7: Coordinate SPN	•	Н	Н	Н		•
WD-8: White Shark Stewardship	•	Н	Н	Н	•	0
WD-9: MPWC Use	0	M	Н	Н	0	0

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Action Plans	Activity Status	Level Funding Scenario 1	Moderate Increase Scenario 2	Substantial Increase Scenario 3	Partnership Coordination	Primary Funding Sources
Introduced Species						
IS-1: Introduced Species Database	0	Н	Н	Н	•	•
IS-2: Estuarine Detection and Monitoring	0	M	M	Н))
IS-3: Intertidal Detection and Monitoring	0	M	M	Н		0
IS-4: Pelagic Detection and Monitoring	0	Н	Н	Н	•	•
IS-5: Early Detection Outreach Program	0	L	M	M		
IS-6: Technical Advisory Council	0	L	M	M	•	•
IS-7: Rapid Response Plan	0	M	M	M	•)
IS-8: Regulatory Actions	0	Н	Н	Н	0	0
IS-9: Outreach to Prevent Introductions	0	M	M	Н))
Ecosystem Protection: Impacts from Fishing Activities						
FA-1: Resource Characterization)	M	Н	Н))
FA-2: Evaluate Impacts from Fishing Activities	•	Н	Н	Н	•	•
FA-3: Address Impacts from Fishing Activities FA-4: Develop Maritime Heritage Model FA-5: Sanctuary Representation At Fisheries Management Meetings	0 0	H M H	H M H	H H H	•	0
Impacts from Vessel Spills						
VS-1: Expand Drift Analysis Model	•	L	L	L	•	•
VS-2: Refine Spill and Drift Model		L	L	L	•	•
VS-3: Profile Vessel Activity)	L	L	M)	0
VS-4: Evaluate Vessel Routing Changes	•	M	Н	Н		•
VS-5: Refine Resources At Risk Model	•	Н	Н	Н	•	•
VS-6: Participate in Regional Response Team)	Н	Н	Н	•)
VS-7: Revise Internal Emergency Response Plan	•	Н	Н	Н	0	0
VS-8: Integrate Beach Watch Data Into Area's Contingency Plan	•	M	Н	Н	•	•
VS-9: Mariner Outreach	•	M	Н	Н)	D
VS-10: Maritime Trade Advisory Council Seat	0	M	M	M)	0
VS-11: Vessel Spills Working Group Implementation	0	Н	Н	Н	0	0



ISSUE-BASED ACTION PLANS

GFNMS FINAL MANAGEMENT PLAN

- I. Water Quality
- II. Wildlife Disturbance
- III. Introduced Species
- IV. Ecosystem Protection: Impacts from Fishing Activities
- V. Impacts from Vessel Spills



WATER QUALITY ACTION PLAN

ISSUE STATEMENT

Water quality within Gulf of the Farallones National Marine Sanctuary (GFNMS) is generally good due to the rural nature of the coastline and strong currents of the open ocean. Nevertheless, depending on coastal currents, the 8 million people living in the Bay Area and the discharge of the San Francisco Bay Estuary (including agricultural wastes from the Central Valley and residual sediments and metals from historic mining) periodically impact the sanctuary. The coastal waters of the sanctuary, particularly the estuarine habitats of Bolinas Lagoon, Tomales Bay, Estero Americano, and Estero de San Antonio, are vulnerable to land-based nonpoint source pollution. There is also concern that the Garcia, Gualala, and Russian River estuaries which drain into the sanctuary may carry potential pollutants that will impact sanctuary water quality. Sources of concern include nonpoint source runoff, agriculture, marinas and boating activities, mining, and aging and undersized septic systems. Other potential threats to water quality include activities such as diversion of fresh water, spills, dumping, land use changes, and pollutants such as floating debris (e.g., plastics), pathogens, emerging pollutants (e.g., endocrine disrupters), and residual materials such as radioactive waste and chemical contaminants including bioaccumulative legacy pollutants (e.g., DDT, PCBs).

ISSUE DESCRIPTION

Impacts on Estuarine Environments

As with much of California and the nation, the sanctuary is threatened by nonpoint source pollution. Given the rural nature of the sanctuary's coastline, the greatest current threat is not from urban development, but from livestock grazing, agricultural activities, mining activities, and aging and undersized septic systems. Of special concern are the estuarine habitats of Bolinas Lagoon, Tomales Bay, Estero Americano, and Estero de San Antonio where circulation is more restricted than on the open coast and where organisms that rely on estuarine conditions are exposed to the relatively undiluted effects of polluted runoff. Due to restricted circulation, the estuarine environment is especially threatened by accidental spills from ships, land-based tanks or other sources, as well as by poorly regulated small-scale discharges such as oily bilge water, detergents from deck wash, runoff from shipyards, or sewage from boats, septic systems, or leaking sewers. Residual pollutants from past practices such as mining operations and diversion of freshwater have the greatest potential impact in restricted waterways such as estuaries and creeks. Several of these sources of impact have occurred in Tomales Bay, which has been

identified by the State Water Resources Control Board as not in compliance with state water quality standards for mercury (from an abandoned mine), pathogens, sediment, and nutrients.

Impacts on Open Coastal Environments

The open coastal environments of the sanctuary are also threatened by nonpoint source pollution, but the threat is generally considered to be less (than for estuaries) due to the greater distance from most sources (mines, residential runoff, storm water runoff, septic systems, high density grazing) and greater water circulation. Nevertheless, the areas near the mouths of creeks, rivers such as the Russian River or estuaries can be subject to impacts from nonpoint source pollution.

Impacts on Offshore Environments

The greatest protection for the offshore waters of the sanctuary is the designation of the sanctuary itself. The size of the sanctuary and the restrictions placed on its use and protections for water quality provide additional oversight and protections to offshore waters. The offshore areas of the sanctuary are somewhat unaffected by land-based threats to water quality by their distance from the sources of land-based pollutants and runoff, as well as the continuous circulation of the offshore waters at many scales. Nevertheless, water quality in the offshore regions could be threatened or impacted by large or continuous discharges from the shore, spills by vessels, illegal dumping activities, or residual contaminants from past dumping activities. Discharges from sunken vessels and illegal discharges from oil tankers and cargo vessels have been a periodic source of negative impacts to marine organisms within the sanctuary. The threat of an offshore spill is a constant presence in areas near well-used shipping lanes. In the event of an oil spill, the impact to the open coast would mainly be determined by the wind and sea conditions, which could easily overcome protection efforts.

Persistent organic pollutants such as DDT and PCBs were widely used nationwide before the mid-1970s, and residuals of these chemicals still remain in sediments and organisms within the sanctuary. Elevated levels of pollutants have been reported for fish, seabirds, and marine mammals found within the sanctuary. The sanctuary should evaluate these reports to determine if they warrant recommendations for additional water quality protection efforts. Additionally, there are emerging pollutants whose effects should also be considered. Threats and strategies related to oil pollution are addressed under the issue-based action plan for Impacts from Vessel Spills and the program-based action plan for Conservation Science.

Impacts from the San Francisco Bay Area

To the east of the sanctuary there are treated wastewater discharges from the City of San Francisco that can have sewage overflows during large storm events, and outflow from the San Francisco Bay, potentially transporting pollution from the 8 million people living in the Bay Area. These include sewage outfalls, agricultural waste products from the Central Valley, and residual sediments and metals from historical mining. The bay has been identified by the State Water Resources Control Board as not in compliance with state water quality standards for several pesticides, metals, PCBs, and exotic species. The potential for the outflow from the bay to degrade sanctuary water quality needs to be evaluated.

Impacts from Floating Debris (e.g., Plastics)

Marine debris that threatens sanctuary resources may come from the San Francisco Bay outflow and local watersheds that drain into the sanctuary or from across the Pacific Ocean. The impact of plastic debris is a world-wide problem due to the many potential sources of debris, longevity of plastic in the marine environment, and impacts caused by plastics even as they degrade to smaller and smaller particles. Plastic particles may be ingested by marine organisms that select food by sight, filter feeders, or animals that live in the open water who mistake plastic for food. Plastic debris has also been shown to entangle marine wildlife. Sanctuary management should evaluate the potential local efforts that could be taken to reduce the impacts of marine debris on sanctuary wildlife.

JURISDICTIONAL SETTING

California's waters extend three miles seaward from the coastline (including the coasts of its islands). These are considered nearshore waters. Ocean water quality beyond three miles is regulated directly by the EPA, in consultation with the state and regional water boards. Beyond three miles from the mainland or the islands, EPA's water quality standards (for the receiving waters) and effluent limitations are applicable.

The following is an overview of the relevant federal and state laws and regulations that may apply to water quality. This is not a comprehensive review of all water quality related laws and regulations, and additional regulations could apply. The laws and regulations presented in this section are subject to change.

Federal Law

Rivers and Harbors Appropriations Act of 1899, 33 U.S.C §§ 401, 403

USACE acts in accordance with the provisions of the Rivers and Harbors Act, which regulates placement of structures or other work in addition to fill in "navigable waters," and the Clean Water Act (CWA) (Section 404), which governs fill in "waters of the United States," including wetlands. A USACE permit is required if a project would place structures within navigable waters or if it would result in altering waters of the US below the ordinary high water mark in nontidal waters. The USACE does not issue these types of permits in cases where the USACE itself is the lead agency; instead it evaluates the project to determine compliance and acceptability. Typical activities requiring Section 10 permits are construction of buoys, piers, wharves, bulkheads, marinas, ramps, floats, intake structures, cable or pipeline crossings, and dredging and excavation.

Federal Water Pollution Control Act, commonly known as the Clean Water Act, 33 U.S.C § 1251 et seq.

The CWA requires California to submit statewide and basin plans to the EPA for approval.

The CWA differentiates between point source and nonpoint source pollution. Point sources of pollution are those that have a fixed discharge point. For example, sewage treatment plants (also called publicly owned treatment works) or industrial facilities (such as power plants or oil refineries) are considered point sources. The EPA definition is as follows:

POINT SOURCE POLLUTION is any discernible, confined and discrete conveyance, including but not limited to any pipe, ditch, channel, tunnel, conduit, well, discrete fissure, container, rolling stock, or concentrated animal feeding operation from which pollutants are or may be discharged. This term does not include agricultural storm water discharges and return flows from irrigated agriculture.

NONPOINT SOURCE POLLUTION is simply any source of water pollution that is not point source pollution. Nonpoint source pollution results from, but is not limited to, land runoff, precipitation, atmospheric deposition, drainage, seepage, or hydrologic modification. Nonpoint sources of pollution are those that do not have a distinct pipe or other conveyance through which pollutants are discharged. Instead, the pollutants enter water over a large and diffuse area. Examples of nonpoint source pollution include, but are not limited to, air pollution fallout, timber harvesting, agriculture, grazing and small scale animal husbandry, boating and marinas, urban runoff, and hydro modification of streams and wetlands.

One commonly misunderstood category is urban stormwater runoff. Urban runoff has many of the same origins and problems as nonpoint source pollution. Together, nonpoint source pollution and urban runoff are the leading sources of pollution into California's waters. Originally, all urban runoff was considered a form of nonpoint source pollution. However, since 1987 the EPA and the State Water Resources Control Board have considered urban runoff collected in stormwater systems to be point sources of pollution. Urban stormwater systems, while collecting runoff over large and diffuse areas, do eventually drain through pipes or other distinct conveyances into natural water bodies. Hence, urban runoff is regulated as point source pollution.

Point source discharges are illegal under the Clean Water Act unless authorized by a National Pollutant Discharge Elimination System (NPDES) permit. Under CWA Section 402 (33 U.S.C. § 1342), any discharge of a pollutant from a point source (e.g., a municipal or industrial facility) to the navigable waters of the United States or beyond must obtain an NPDES permit, which requires compliance with technology- and water quality-based treatment standards.

CWA Section 312 (33 U.S.C. § 1322) contains regulations protecting human health and the aquatic environment from disease-causing microorganisms that may be present in sewage from boats. Pursuant to Section 312 of the CWA, all recreational boats with installed toilet facilities must have an operable marine sanitation device (MSD) on board. All installed MSDs must be Coast Guard-certified. Coast Guard-certified devices are so labeled except for some holding tanks, which are certified by definition under Section 312 of the CWA (33 U.S.C. § 1322). In 2012, under the authority of the CA Section 312, the USEPA established national No Discharge Zones (NDZs) within which sewage discharges are prohibited from all large passenger vessels (of 300 gross tons or greater) and from large oceangoing vessels (of 300 gross tons or greater)

with available holding tank capacity or containing sewage generated while the vessel was outside of the marine waters of the State of California. In California, NDZs have been created for ten bays and harbors along the outer coast and for all state marine waters (i.e. within three nautical miles of the shore).

Water Quality Impairments

Section 303(d) of the CWA requires the states to submit to the EPA a list of water bodies that do not meet water quality standards for specific pollutants (i.e., are "impaired"). On November 12, 2010, USEPA approved the inclusion of all waters to California's 2010 303(d) list of impaired waters requiring total maximum daily loads (TMDLs) and disapproved the omission of several water bodies and associated pollutants that meet federal listing requirements. On October 11, 2011, USEPA issued its final decision regarding the water bodies and pollutants USEPA added to California's 2010 303(d) List. In the vicinity of the GFNMS, the following areas were identified in the 2010 303(d) List:

- Garcia, Gualala, and Russian Rivers are designated as impaired primarily due to sedimentation/siltation and water temperature. The Lower Russian River and Clam Beach (just north of Fort Ross State Historic Park) are listed for pathogens. Summary of sources listed: pasture and range grazing (upland and riparian), intensive animal feeding operations, manure lagoons, dairies, hydro-modification, removal of riparian vegetation, stream bank modification, erosion/siltation, and other nonpoint sources.
- Bodega Harbor is designated as impaired primarily due to invasive species. The sources are unknown.
- Estero Americano and Estero de San Antonio are designated as impaired primarily due to nutrients and sediment (Stemple Creek is a listed tributary). Summary of sources listed: agriculture and related storm runoff, irrigated crops, land development, pasture and range grazing (upland and riparian), intensive animal feeding operations, confined animal feeding operations (point source), manure lagoons, dairies, hydro modification, channelization, wetland drainage/fill removal of riparian vegetation, stream bank modification, erosion/siltation, natural sources, and other nonpoint source.
- Tomales Bay is designated as impaired primarily due to pathogens, nutrients, mercury, and sediment (Walker and Lagunitas Creeks are listed tributaries). Summary of sources listed: agriculture, surface mining and mine tailings, intensive animal feeding operations, waste storage and disposal, upstream impoundment, and urban runoff/storm sewers.
- Central San Francisco Bay is designated as impaired primarily due to chlordane, DDT, diazinon, dieldrin, dioxin, furan compounds, mercury, PCBs, selenium, and exotic species. Summary of sources listed: industrial and municipal point sources, atmospheric deposition, resource extraction, agriculture, other nonpoint sources, natural sources, and ballast water. Other portions of San Francisco Bay and many tributaries to the bay are also listed, but were not described here for brevity.

Total Maximum Daily Loads

Under the CWA, TMDLs are required to be developed for 303(d) listed water bodies. The purpose of a TMDL is to bring a water body back into compliance with the water quality objective for which it was listed. The development of a TMDL involves the identification of the various sources contributing to the water quality standard exceedance, including both point and nonpoint sources. The TMDL must also take into account the natural background level and a margin of safety. Once a TMDL is developed, it must be approved and included in the Basin Plan. Implementation of the TMDLs will, by necessity, include public involvement and education, since many of our pollution problems are related to nonpoint sources and urban stormwater runoff, which are not regulated activities.

Title I of the Marine Protection, Research, and Sanctuaries Act, also known as the Ocean Dumping Act, 33 U.S.C. §§ 1401-1445

The Marine Protection, Research, and Sanctuaries Act (MPRSA) regulates the dumping of wastes into marine waters. It is the primary federal environmental statute governing transportation of dredged material for the purpose of disposal into ocean waters, while CWA Section 404 governs the discharge of dredged or fill material into all waters of the U.S. In 1983, a global ban on the dumping of radioactive wastes was implemented. The MPRSA and the CWA regulate materials that are disposed of into the marine environment, and only sediments determined to be nontoxic by USEPA standards may be disposed of into the marine environment. The USEPA and the USACE share responsibility for managing the disposal of dredged materials.

Oil Pollution Control Act, 33 U.S.C. § 2701 et seg.

The Oil Pollution Control Act of 1990 requires extensive planning for oil spills from tank vessels and onshore and offshore facilities and places strict liability on parties responsible for oil spills. See Impacts from Vessel Spills Action Plan for more information.

Act to Prevent Pollution from Ships, 33 U.S.C. § 1901 et seq.

The discharge of solid wastes is regulated under the Act to Prevent Pollution from Ships (APPS). The APPS regulates the disposal of plastics and garbage for the United States Annex V of the International Convention for the Prevention of Pollution from Ships, 1973, as modified by the Protocol of 1978 (MARPOL73/78). Under these regulations the disposal of plastics is prohibited in all waters, and other garbage, including paper, glass, rags, metal, and similar materials, is prohibited within 22 km (twelve nm; 14 miles) from shore (unless macerated).

Coastal Zone Management Act, 16 U.S.C. §§ 1451-1466

The Coastal Zone Management Act (CZMA) provides incentives for coastal states to develop and implement coastal area management programs. It is significant with regards to water pollution abatement, particularly concerning nonpoint source pollution.

Under the CZMA, the NOAA Office of Ocean and Coastal Resource Management reviews state coastal nonpoint source control programs developed for approval under the Coastal Zone Act Reauthorization Amendments of 1990. The office also administers grants to states for coastal nonpoint source control program implementation activities. The Plan for California's Nonpoint Source Pollution Control Program, developed by the State Water Resources Control Board and the California Coastal Commission (CCC), received full approval from the U.S. Environmental Protection Agency and NOAA in 2000. The plan provides an outline for nonpoint source pollution management measures.

The CCC has the authority to review federal activities in the coastal zone to ensure consistency with California's coastal zone management program. The CCC also addresses water quality issues through additional programs including:

- 1) Water Quality Unit, which provides technical assistance to district offices and statewide nonpoint source pollution coordination
- 2) Local Coastal Programs
- 3) Interagency Coordination Committee
- 4) Critical Coastal Areas
- 5) Model Urban Runoff Program
- 6) Contaminated Sediments Task Force
- 7) Snapshot Day
- 8) First Flush

Comprehensive Environmental Response, Compensation and Liability Act, 42 U.S.C. §§ 9601-9675

The Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) addresses cleanup of hazardous substances and mandates liability for environmental cleanup on those whose actions cause release into the environment. In conjunction with the CWA, it requires preparation of a National Contingency Plan for responding to oil or hazardous substances release.

Resource Conservation and Recovery Act, 42 U.S.C §§ 6901-6992K

The RCRA addresses hazardous waste management, establishing duties and responsibilities for hazardous waste generators, transporters, handlers, and disposers.

State Law

Porter-Cologne Water Quality Control Act, California Water Code §§ 13000-14958

The Porter-Cologne Water Quality Control Act contains provisions for enforcing water quality standards through issuance of Waste Discharge Requirements. Pursuant to the act, the SWRCB has the primary responsibility to protect California's coastal and ocean water quality. SWRCB has been given the authority by the USEPA to administer the NPDES program for California. The Regional Water Quality Control Boards, in coordination with the SWRCB, issue both state waste discharge requirements and NPDES permits to individual dischargers. Dischargers are required to establish self-monitoring programs for their discharges and to submit compliance reports to Regional Water Quality Control Boards. The SWRCB has established regulations to implement these measures through water quality control plans, including the California Ocean Plan (Ocean Plan), the Regional Water Quality Control Plans (Basin Plans), and the Thermal Water Quality Control Plan (California Ocean Resources Management Program 1995). The Ocean Plan is applicable to nearshore ocean waters, but does not cover enclosed bays and estuaries. The Thermal Plan covers waste heat (e.g., from power plants) into all of the state's coastal waters. The Regional Board Basin Plans are applicable to freshwater bodies (e.g., streams and rivers) as well as enclosed bays and estuaries.

In addition, the state has a Policy for Implementation of Toxics Standards for Inland Surface Waters, Enclosed Bays, and Estuaries of California (State Implementation Policy). The State Implementation Policy includes the measures by which California implements the U.S. Environmental Protection Agency's (EPA) California Toxics Rule. The California Toxics Rule establishes water quality criteria for priority toxic pollutants.

The State Water Resources Control Board adopts the statewide water quality control plans and policies, such as the Ocean Plan, the Thermal Plan, and the State Implementation Policy. The regional boards adopt and submit basin plans to the state board for approval

Permits

Parties identified with point sources of water pollution into surface waters (ocean, bays, streams, and lakes) are required to obtain a National Pollutant Discharge Elimination System (NPDES) permit. In California, the NPDES permits issued by the state and regional boards also double as Waste Discharge Requirements (WDRs). WDRs are required under Porter-Cologne for any discharges into surface or ground waters. Only activities that discharge in groundwater are issued WDRs, since the federal CWA (and therefore NPDES permits) only applies to surface waters. Under federal regulations, nonpoint source discharge into surface waters are also not issued NPDES permits. In California, regional boards may issue WDRs to nonpoint source dischargers. Alternatively, regional boards may allow certain nonpoint source dischargers to operate under conditional waivers.

Metropolitan areas in California having populations in excess of 100,000 people have been issued Phase I stormwater NPDES permits. San Francisco, the largest point source discharger near the GFNMS, is an unusual situation compared to other large California cities in that it has a combined storm sewer system, which handles both stormwater and sewage waste streams.

A draft Phase II general stormwater NPDES permit has been proposed to cover certain designated smaller municipalities in California serving populations of fewer than 100,000

people. Discharge to sensitive water bodies (e.g., Areas of Special Biological Significance) is one of the factors to consider when evaluating a municipality's designation status. There are other stormwater permits in the state as well. The California Department of Transportation (CalTrans) currently operates under a statewide permit covering both municipal and construction related storm water discharges. Statewide general permits also are currently in effect for industrial and construction related storm water discharges.

State Water Resources Control Board and Areas of Special Biological Significance

On March 21, 1974, the State Water Resources Control Board decided that, "The list of Areas of Special Biological Significance (ASBS) will be used to identify for planning purposes, those areas where the regional water quality control boards will prohibit waste discharges..." There are currently a total of 34 ASBSs in California, nine of which are within the GFNMS waters. These are at Saunders Reef, Del Mar Landing, Gerstle Cove, Bodega, Bird Rock, Point Reyes Headland, Duxbury Reef, Double Point, and the Farallon Islands.

An ASBS is a marine or estuarine area that is designed to protect marine species or biological communities from an undesirable alteration in natural water quality. The State Water Resources Control Board is responsible for designating these areas. In an ASBS, point source waste and thermal discharges are prohibited or limited by special conditions. Nonpoint source pollution is controlled to the extent practicable. No other use is restricted by the State in these areas.

The Ocean Plan prohibits the discharge of wastes to an ASBS. Discharges must be located a sufficient distance from an ASBS to ensure maintenance of natural water quality. Limited-term maintenance, repair and replacement activities (e.g., on boat facilities, sea walls, storm water pipes, and bridges) resulting in waste discharges in an ASBS may be approved by a Regional Water Quality Control Board. Such discharges are allowable only if they result in temporary and short-term changes in existing water quality, and do not permanently degrade water quality. All practical means must be implemented in order to minimize water quality degradation. The Ocean Plan does not regulate the discharge of vessel wastes, dredging, or the disposal of dredge spoil materials.

The Thermal Plan requires existing discharges of elevated temperature wastes to comply with limitations necessary to ensure protection of ASBSs. New discharges of elevated temperature wastes must be discharged a sufficient distance from an ASBS to ensure the maintenance of natural temperature in these areas. Additional limitations may be imposed in individual cases if necessary for the protection of ASBSs.

California Health and Safety Code §115880 et seq.

California has established minimum standards for the sanitation of public beaches, including: 1) requiring the testing of the waters adjacent to all public beaches for microbiological contaminants; 2) establishing protective minimum standards for total coliform, fecal coliform, and enterococci bacteria, or for other microbiological indicators; and (3) requiring that the waters adjacent to public beaches are tested for total coliform, fecal coliform, and enterococci bacteria, or for other microbiological indicators if appropriate. Since 2012, testing on beaches that are

visited by more than 50,000 people annually and are located on an area adjacent to a storm drain that flows in the summer is required on a weekly basis from April 1 to October 31, inclusive, of each year.

California Coastal Act, Cal. Pub. Res. Code §30000 et seq.

The California Coastal Act (CCA) defines the "coastal zone" as the area of the state that extends three miles seaward and generally about 1,000 yards (910 meters) inland. The CCA of 1976 mandates protections for terrestrial and marine habitat through its policies on visual resources, land development, agriculture, commercial fisheries, industrial uses, water quality, offshore oil and gas development, transportation, power plants, ports, and public works. The Coastal Commission administers various programs, including Local Coastal Programs and the Water Quality Program, which facilitates the interagency Nonpoint Source Pollution Control Program. Almost all development within the coastal zone, which contains many wetlands, requires a coastal development permit from either the Coastal Commission or a local government with a certified Local Coastal Program.

California Marine Invasive Species Act, Cal. Pub., Res. Code § 71200 et seq.

See Introduced Species Action Plan.

California Ballast Water Regulations, CCR, Title 2, Division 3, Chapter 1, Article 4.6 et seq.

The master, operator, or person in charge of vessels arriving at a California port or place carrying ballast water from another port or place within the Pacific Coast must employ at least one of the following ballast water management practices: 1) exchange the vessel's ballast water in nearcoastal waters (more than 50 nm from land and at least 657 feet deep), before entering the waters of the state, if that ballast water has been taken on in a port or place within the Pacific Coast region; 2) retain all ballast water on board the vessel; 3) use an alternative, environmentally sound method of ballast water management that, before the vessel begins the voyage, has been approved by the CSLC or the United States Coast Guard as being at least as effective as exchange, using mid-ocean waters, in removing or killing nonindigenous species; 4) discharge the ballast water to a reception facility approved by the commission; or 5) under extraordinary circumstances where compliance with the four options above is not practicable, perform a ballast water exchange within an area agreed to by the CSLC in consultation with the United States Coast Guard. "Pacific Coast Region" is defined in Article 4.6 as all estuarine and ocean waters within 200 nm of land or less than 2,000 meters (6,560 feet, 1,093 fathoms) deep, and rivers, lakes or other water bodies navigably connected to the ocean on the Pacific Coast of North America east of 154 degrees west longitude and north of 25 degrees north latitude, exclusive of the Gulf of California.

California Clean Coast Act, Cal. Pub. Res. Code §72400 et seq.

The California Clean Coast Act, which became effective on January 1, 2006, prohibits the release from large passenger vessels (cruise ships) and other oceangoing ships (300 gross tons or

more) of hazardous waste, oily bilge water, other waste, and sewage sludge into the marine waters of the state and marine sanctuaries and sets up notification protocols for release of these substances into state waters or waters of a national marine sanctuary. The Clean Coast Act also prohibits the release of graywater from cruise ships and oceangoing ships with sufficient holding capacity into the marine waters of the state. Furthermore, the Clean Coast Act requires the State Water Resources Control Board to request the appropriate federal agencies to prohibit the release of wastes from cruise ships and oceangoing ships into state marine waters and the four National Marine Sanctuaries in California. The Act is more stringent than federal regulation of cruise ships and also provides the strongest state protections from cruise ship pollution in the United States.

Sanctuary Regulations

The sanctuary site-specific regulations affecting water quality are available for review in the final rule for the expansion of the sanctuary.

WATER QUALITY GOAL

1. Protect and enhance water quality in the estuarine, nearshore, and offshore environments of the sanctuary by engaging in corrective and proactive measures.

WATER QUALITY OBJECTIVES

- 1. Develop a regionally based, cooperative water quality protection plan to address past, present and future point and non-point source water quality impacts.
- 2. Emphasize a watershed/ecosystem approach and address the range of water quality threats from chronic land-based runoff to catastrophic offshore events.

WATER QUALITY ACTION PLANS

IMPACTS ON ESTUARINE AND NEARSHORE ENVIRONMENTS

STRATEGY WQ-1: Develop an umbrella program to coordinate partnerships in implementing a comprehensive and integrated water quality monitoring program in order to track impacts on the estuarine and nearshore environment.

Activity 1.1 A multitude of volunteer and expert-based water quality monitoring programs exist throughout the Marin, Sonoma, and Mendocino county watersheds adjacent to the sanctuary, and in the estuarine and nearshore environments within the sanctuary. Through better coordination, both efficiency and effectiveness could be improved, and monitoring needs and data gaps identified and filled. Steps to be taken include:

A. Inventory and evaluate existing volunteer and expert-based monitoring programs, including data collected, sampling duration and frequency, analyses performed, and ability to detect change over time.

- B. Identify sanctuary water quality monitoring data needs; evaluate against inventoried monitoring programs; and identify data gaps specific to sanctuary management needs.
- C. In partnership with the GFNMS Ocean Climate Initiative evaluate the potential impacts to nearshore water quality from projected sea-level rise.
- D. Develop strategy to fill data gaps, including partners and funding sources.
- E. Coordinate with agencies and water quality monitoring entities to: identify funding opportunities and potential collaborative partnerships; reduce sampling and analysis duplication; ensure quality assurance/quality control; and provide platform for data sharing.
- F. Use data to make informed management decisions specific to sanctuary issues and concerns.
- G. Extend Tomales Bay water quality monitoring program to other estuarine areas not fully monitored.
- H. Establish a forum for bringing together representatives of volunteer water quality monitoring programs in and adjacent to sanctuary watersheds, estuarine, and nearshore environments, to promote continued coordination and maximize program potential.

STRATEGY WQ-2: Address sources of anthropogenic pathogens and pollutants on estuarine and nearshore environments from recreational and commercial boating activities and marinas.

Activity 2.1 Impacts from discharges such as oily bilge water, detergents from deck wash, runoff from shipyards and marinas, and sewage affect Tomales Bay and Bodega Bay. The state is currently evaluating the need for sewage pumpout stations; the sanctuary will:

- A. Track the state's effort to survey and evaluate the need for a sewage waste and oily bilge pumpout station on Tomales and Bodega Bays and other areas along the Sonoma/Southern Mendocino coast.
- B. Become a cooperating partner with the state, harbors and ports and make recommendations, as appropriate, on: where to locate pumpout stations; education and outreach efforts; tracking compliance; and maintenance of facilities.

Activity 2.2 Develop with partners an outreach program on best management practices (BMPs) for recreational and commercial user groups in and around Arena Cove, Gualala River, Russian River, Tomales and Bodega Bays (e.g., campers, kayakers) by taking the following steps:

- A. Inventory and evaluate existing BMPs and outreach programs such as Dock Walkers.
- B. Develop partnerships with state agencies that participate in clean boating programs, such as Boating and Waterways, to develop and implement a BMP/ outreach program.

STRATEGY WQ-3: Coordinate with other agencies to address land-based discharges into the estuarine and nearshore areas of the sanctuary including Areas of Special Biological Significance (ASBS) and Critical Coastal Areas.

Activity 3.1 Land-based discharges from stormwater, aging and undersized septic systems, agricultural runoff, livestock grazing, mining and freshwater diversion and flow are impacting the sanctuary's estuarine and nearshore environments. The sanctuary plans to take the following steps to understand and address impacts from pathogens, sediments, nutrients, residual pollutants, and other contaminants such as pharmaceutical waste, micropollutants and pesticides:

- A. Participate in the Interagency Coordinating Committee (IACC), chaired by the SWRCB, and implement management measures on state's nonpoint source pollution plan.
- B. Identify, cooperate, and exchange information with agencies and authorities that pertain to land-based discharges and impacts on water quality within the sanctuary, such as Tomales Bay, as well as adjacent to the sanctuary such as the Russian River Estuary.
- C. Assess levels of land-based discharges and impacts on sanctuary resources.
- D. Identify water quality issues that are not being addressed adequately or appropriately through enforcement or compliance and communicate to appropriate agencies.

Activity 3.2 There are known industries and specific areas that have been identified as having detrimental impacts on sanctuary water quality. Problematic areas should be addressed and industries that discharge into the watersheds in and adjacent to GFNMS (e.g., dairies, agriculture, marinas, mining facilities), should be encouraged through letters and awards of recognition to employ best management practices (BMPs). Steps to be taken:

- A. Inventory and become familiar with existing BMPs including: SWRCB Non-Point Source Plan, RWQCB's specific BMPs for selected areas, and UC Davis BMPs for dairies.
- B. Profile all activities, users, and areas that may be impacting water quality in estuarine and nearshore environments and establish criteria for compatibility with the sanctuary's primary purpose of ecosystem protection. Use criteria to evaluate those to be awarded and those areas where additional effort is needed.
- C. Coordinate with agencies and entities that have developed BMPs on the implementation and evaluation of effective management practices. Collaborate with agencies and entities

on evaluating and rewarding for successful integration of BMPs in industries potentially impacting sanctuary waters.

Activity 3.3 There are specific developed and developing areas, such as Bolinas Lagoon and Dillon Beach, where land-use activity is increasing. These activities are creating additional pressure in the watersheds adjacent to the sanctuary, potentially impacting the estuarine and nearshore environments within the sanctuary. Steps to be taken to address impacts from land development and encourage the use of BMPs during the planning, development and alteration of upland areas include:

- A. Identify and map specific upland areas adjacent to the sanctuary where development activities are taking place.
- B. Coordinate with agencies and entities that have developed BMPs on the implementation of effective management practices for land-use development. Collaborate with agencies and entities on evaluating and rewarding for successful integration of BMPs in land development adjacent to the sanctuary.
- C. Continue to track and evaluate development activities in watersheds adjacent to the sanctuary.

STRATEGY WQ-4: Evaluate California-designated Areas of Special Biological Significance (ASBS) and make a determination whether to implement a vessel discharge prohibition, without exception, within these areas of concern.

Activity 4.1 Develop a process to make a determination on the need for a prohibition on vessel discharge in California ASBSs within the sanctuary to protect sanctuary wildlife and habitat. Within California-designated ASBSs, point source waste and thermal discharges are prohibited or limited by special conditions and nonpoint source pollution is controlled to the extent practicable. Discharges of vessel wastes are not currently restricted. Additional GFNMS protections could augment the current state ASBS restrictions.

A. GFNMS, in conjunction with the state and Regional Water Quality Control Boards, will initiate a process to evaluate the impacts to ASBSs from vessel discharges and determine whether a prohibition is needed.

IMPACTS ON OPEN OCEAN COASTAL ENVIRONMENT

STRATEGY WQ-5: Ensure the continuation of the long-term data collection efforts under the Mussel Watch program.

Activity 5.1 The Mussel Watch program represents one of the longest term national efforts to track the impacts from nonpoint source pollution on bioaccumulation in the marine environment. Originally spearheaded by NOAA, the state adopted the program and has been a major source of support, although the program has been eroded in recent years by funding cutbacks. Mussel

Watch has supplied critical data on the health of coastal, bay, and estuarine waters of the state. The sanctuary should seek to continue this program by taking the following step:

A. A water quality working group of the sanctuary advisory council should work together with the state to investigate reliable, long-term funding mechanisms to help perpetuate the state's Mussel Watch sampling stations within GFNMS.

ADDITIONAL AREAS TO BE ADDRESSED

STRATEGY WQ-6: Support a potential water quality working group established by the sanctuary advisory council.

Activity 6.1 Support a working group of experts representing other agencies and institutions that can advise the sanctuary advisory council on the development and implementation of a comprehensive and cooperative water quality protection plan. The working group could also provide advice on current, new, and emerging water quality issues. Objectives for the working group include:

- A. Develop specific water quality action plans for issues including: agriculture, urban areas, boating and marinas including siting pump out stations and supporting marine sanitation device (MSD) installation, marine debris, offshore impacts (radioactive materials, shipping, etc.), mining facilities and aquaculture.
- B. Provide advice to the sanctuary advisory council for the sanctuary water quality program on current research, management techniques, and issues.
- C. Provide the sanctuary advisory council with recommendations on addressing such issues as aging septic systems, discharge from live-aboards, urban runoff, moored vessels, total maximum daily loads (TMDLs), Critical Coastal Areas, agricultural runoff, and freshwater diversion.

STRATEGY WQ-7: Develop administrative capacity to support a comprehensive and coordinated water quality protection plan.

Activity 7.1 Hire a full-time water quality specialist/coordinator.

Activity 7.2 Create a water quality seat or ensure representation from water quality interests through agency or other seats on the GFNMS sanctuary advisory council.

STRATEGY WQ-8: Develop an annotated bibliography of water quality research and monitoring programs in and adjacent to the sanctuary to evaluate data and determine the overall water quality of the sanctuary's ecosystem.

Activity 8.1 Inventory all short- and long-term water quality research and monitoring programs throughout the sanctuary including estuarine, nearshore, coastal, and open ocean environments to determine status, data gaps, and sanctuary needs. Monitoring is used to determine where water

quality is threatened, and also to determine compliance with state and federal law from the CWA to the Porter-Cologne Water Quality Control Act.

- A. Evaluate GFNMS' current monitoring programs that have a water quality component and recommend appropriate changes in order to better address water quality data needs.
- B. Integrate the inventory of water quality research and monitoring programs into a Webbased database or SIMoN.
- C. Assess data needs and make recommendations to other agencies and institutions on data collection gaps.

STRATEGY WQ-9: Educate local decision makers on land-based water quality impacts in the sanctuary.

Activity 9.1 GFNMS will partner with the CCC and other agencies and institutions on Nonpoint Education for Municipal Officials (NEMO) to inform decision makers on the link between development/growth and water quality.

- A. Educate elected officials about the link between land use planning and the health of watersheds and coastal waters. Provide up-to-date and accurate information about specific issues and facts that pertain to water quality in the sanctuary.
- B. In areas where development is being planned, facilitate watershed planning and review of local regulations to promote better water quality and watershed protection.

Potential Partners:

Federal: National Park Service (NPS), Army Corps of Engineers, National Marine Fisheries Service (NMFS), United States Coast Guard (USCG), Environmental Protection Agency (EPA), Cordell Bank National Marine Sanctuary (CBNMS), Monterey Bay National Marine Sanctuary (MBNMS), National Oceanographic Data Center (NODC), Office of National Marine Sanctuaries (ONMS), NOAA Coastal Services Center (CSC), Bureau of Land Management (BLM)

State & County: CA Department of Fish and Wildlife (CDFW), CA Office of Oil Spill Prevention and Response (OSPR), CA State Parks (CSP), Regional Parks, CA Coastal Commission (CCC), California Department of Boating and Waterways (CDBW), State Water Resources Control Board (SWRCB), Regional Water Quality Control Boards (RWQCB), State Health Dept. Harmful Algal Bloom (HAB) Program, UC Sea Grant, UC Cooperative Extension (UCCE), City and County of San Francisco, San Mateo County, Marin County, Sonoma County, Mendocino County, Sonoma County Agriculture Commissioner, Sonoma County Water Agency, Marin Resource Conservation District, SF Bay Conservation and Development Commission, Marin Rural Development Council, Marin Used Oil Program, Sonoma County Water Agency, Marin County Storm Water Pollution Prevention Program

Other: Bodega Harbor District, Bodega Marine Lab, Tomales Bay Watershed Council, Bolinas Bay Watershed Council, Bolinas Lagoon Advisory Committee, Sonoma County Water Agency, Dock Walkers, Farallones Marine Sanctuary Association, Surfrider Foundation, Beach Watch, Snapshot Day, First Flush, kayak vendors, Students and Teachers Restoring a Watershed (STRAW)

GFNMS WATER QUALITY

Performance Measures

Strategy Title(s)	Performance Goal	Desired Outcome (Objective)	Outcome Measure	How Measured	Who Measures	Output Measure
Coordinate partnerships in implementing an integrated water quality monitoring program in estuarine and nearshore	to protect and enhance water quality in the estuarine, nearshore and	quality protection plan to address point and	Collect sufficient data to make informed management decisions specific to protecting sanctuary resources.	1) Complete inventory of existing monitoring programs; identify data gaps; and identify sanctuary needs. 2) Establish collaborative partnership with agencies to create consistency, eliminate duplication, and leverage opportunities.	Ecosystem Protection Coordinator	Inventory (database) of water quality monitoring programs
anthropogenic pathogens and pollutants from recreational and	to protect and enhance water quality in the estuarine, nearshore and	watershed/ecosystem approach and address the range of water quality threats from chronic land-	Decrease, and over time, eliminate the discharge of pathogens and pollutants from recreational and commercial boating activities.	1) Become cooperating agency with state addressing the discharge of pathogens and pollutants. 2) Locate sewage waste and oily bilge pumpout stations in strategic locations. 3) Develop education and outreach effort targeting boaters. 4) Track compliance.	Ecosystem Protection Coordinator, Sanctuary Superintendent	1) Kiosk 2) Outreach materials 3) Sewage and bilge pumpout stations

Strategy Title(s)	Performance Goal	Desired Outcome (Objective)	Outcome Measure	How Measured	Who Measures	Output Measure
land-based discharges into the estuarine and nearshore environments	and proactive measures to protect and enhance water quality in the estuarine, nearshore and	Emphasize a watershed/ecosystem approach and address the range of water quality threats from chronic land- based runoff to	Decrease discharge of land-based pathogens, sediments, nutrients and residual pollutants on estuarine and nearshore environments in the sanctuary.	1) Establish formal relationship with water quality agencies and authorities to implement the state's nonpoint source plan. 2) Take corrective action on enforcement issues related to land-based discharges into the sanctuary. 3) Coordinate with agencies and entities that have developed BMPs on the implementation and evaluation of effective management practices.	Sanctuary Superintendent, Ecosystem Protection Coordinator	1) Outreach and recognition materials related to BMPs 2) Successful prosecution of sanctuary discharge violations 3) Decrease in number of violations
Develop an annotated bibliography of water quality research and monitoring programs in and adjacent to the	to protect and enhance water quality in the estuarine, nearshore and other environments of the sanctuary.	based, cooperative water quality protection plan to address point and nonpoint source water	Ensure data is sufficient to determine where water quality is both threatened, and where there is compliance with state and federal standards.	Inventory all short- and long- term water quality research and monitoring programs to determine status, data gaps and sanctuary needs.	Research Coordinator, Ecosystem Protection Coordinator	Comprehensive annotated bibliography



WILDLIFE DISTURBANCE ACTION PLAN

ISSUE STATEMENT

The pressure on marine wildlife continues to grow as the human population increases around coastal areas and access to nearshore and offshore environments becomes easier. Of specific concern to Gulf of the Farallones National Marine Sanctuary (GFNMS) are wildlife disturbances associated with: harvesting and collecting in tide pools and mudflats; trampling of the intertidal zone; impacts from hikers and beach users, dogs, boaters, and kayakers on birds and marine mammals; entanglements; vessel strikes, acoustic impacts; overflights; activities associated with increasing ecotourism; and the use of attractants or chumming.

ISSUE DESCRIPTION

Wildlife disturbance is caused by direct and indirect factors. Wildlife disturbance may be a result of natural events such as storms, fluctuations in water temperature, or physical/chemical changes to water. Wildlife disturbance may also stem from anthropogenic causes. Of these causes, human interaction with wildlife is the most manageable. Ways in which humans can impact wildlife include observing and feeding wild animals; encroachment on breeding areas and rookeries; collecting tide pool inhabitants; and trampling intertidal habitats.

In 2011, nearly 72 million U.S. residents – 23% of the population 16 years old and older – engaged in wildlife-watching activities such as observing or photographing wildlife. Over 6.5 million Californians watch wildlife, and hundreds of thousands of visitors watch wildlife as part of their travels to the state. California continues to attract more wildlife viewers and associated expenditures than any other state in the nation, and exceeded \$3.7 million in expenditures in 2011. Nature tourism activities in the sanctuary include: wildlife viewing from shore or boat, photography (wildlife and scenery), wildlife viewing from aircraft, beach visitation, kayaking and paddling. California and Florida are the top two states for nature tourism and wildlife viewing.

Attractants have been used for several decades around the Farallon Islands to attract white sharks (which seasonally migrate to the islands every fall primarily to feed on elephant seals) closer to vessels for both ecotourism and research purposes. The definition of "attract or attracting" under sections 922.81 and 922.131 of the NMSA, means conducting or attempting to conduct any activity that lures or may lure any animal in the sanctuary by using food, scent, bait, chum, dyes, decoys (e.g., surfboards or body boards used as decoys), acoustics, or any other means, except the mere presence of human beings (e.g., swimmers, divers, boaters, kayakers, surfers). A

number of studies suggest that the presence of chum may be linked to modification of white sharks' normal swimming and/or hunting behaviors. Thus, minimizing potential disturbances to white sharks during this critical feeding time by limiting the permitted use of attractants is a priority issue for the Sanctuary.

Three major shipping lanes converge in the sanctuary just west of the Golden Gate Bridge at the entrance to San Francisco Bay. The volume of traffic in and out of San Francisco Bay has averaged about 7,000-8,000 vessels arriving and departing from San Francisco Bay over the past decade (See Vessel Spills Action Plan for more information). In recent years, the sanctuary is seeing an increase in cruise ship traffic. Cruise ship visitation to San Francisco Bay more than doubled in two years from 44 in 2002 to 91 in 2004. Since 2004, there has been a slight increase. Between 2008 and 2010, a yearly average of over 100 cruise ships transited in and out of San Francisco Bay, many headed north to destinations in the Pacific Northwest, Canada and Alaska. Although partly constrained by the lack of local docking facilities, cruise ship visits to the area are likely to continue to grow as the fleet shifts from international to more domestic cruises, and due to a new cruise ship docking facility in San Francisco Bay that opened in 2014.

Commercial vessel traffic along the west coast of the continental United States may negatively impact large whales, both through chronic exposure to engine and propeller noise and the increased risk of injuring or killing marine mammals through collisions (ship strikes). Vessels can also potentially alter the behavior of marine mammals and seabirds, changing the distribution of the animals or the amount of time that they spend feeding and/or resting. Several large whale species in the North Pacific are listed as endangered under the Endangered Species Act and depleted under the Marine Mammal Protection Act. Between 2001 and 2010, 44 whale strandings or deaths were reported in Central California, with 10 (about 23%) of these deaths from suspected or verified vessel strike. Protecting these species is a priority issue for the National Oceanic and Atmospheric Administration (NOAA).

SIGNIFICANT RESOURCES

This area of North-central California was selected and designated as the GFNMS because of significant concentrations of the following marine fauna and flora: seabirds and other aquatic birds; marine mammals (pinnipeds and cetaceans); fish; marine flora (algae); benthic fauna; and estuarine environments.

The sanctuary has diverse biological communities in close proximity to one another. Habitats within the sanctuary include estuarine, pelagic (open ocean), benthic (sea floor), island, rocky intertidal, and sandy beach. The variety and size of habitats support a high diversity and abundance of species. The sanctuary's habitats are home to a number of species that are federally listed as endangered or threatened. The list includes highly recognized species such as blue and humpback whales, Marbled Murrelets, and Coho and Chinook salmon, as well as lesser-known species such as the tidewater goby and Short-tailed Albatross. Of particular concern to sanctuary management are wildlife disturbance impacts on birds, marine mammals, white sharks, and intertidal organisms.

Seabirds

The nesting seabird population is a significant wildlife resource of the sanctuary. The Farallon Islands support the largest concentrations of breeding seabirds in the contiguous United States. These birds forage in the Gulf of the Farallones, and are highly dependent on the productive waters of the sanctuary. Of the 164 species of birds known to occur in the sanctuary, 12 species of seabirds have breeding colonies on the Farallon Islands and feed in the sanctuary. These include Ashy and Leach's Storm Petrels; Brandt's, Pelagic, and Double-crested Cormorants; Western and California Gulls; Common Murres; Pigeon Guillemots; Cassin's Auklets; Rhinocerous Auklets; and Tufted Puffins. Other birds breeding on the Farallon Islands include Black Oystercatchers, a shorebird, Rock Wren, Common Ravens, and Peregrin Falcons.

Coastal Birds

The sanctuary protects four estuaries, a lagoon, and one large coastal bay that provide foraging habitat for aquatic birds such as waterfowl, shorebirds, pelicans, loons, and grebes. These habitats are pristine compared to most coastal wetlands in California and provide important habitat for thousands of migrating and wintering birds. More than 160 species of birds use the sanctuary for shelter, food, or as a migration corridor. Of these, 54 species are known to use the sanctuary during their breeding season.

Marine Mammals

Thirty-six species of marine mammals have been observed in the sanctuary; six species of pinnipeds (seals and sea lions), twenty-eight species of cetaceans (whales, dolphins, and porpoises), and two species of otter (sea otter and river otter). Many of these animals occur in large concentrations and are dependent on the productive and secluded habitats for breeding, pupping, hauling-out, feeding, and resting during migration. The Farallon Islands provide habitat for breeding populations of five species of pinnipeds, and support the largest concentrations of California sea lions and northern elephant seals within the sanctuary.

Harbor seals breed on the Farallon Islands and on mainland rookeries. The Gulf of the Farallones region contains one-fifth of the California population of harbor seals, which was estimated at 30,000 in 2012. Prior to 1996, northern fur seals had not been known to breed on the Farallon Islands for over 170 years. Since then, the fur seal colony has grown to over 500 individuals, with over 200 pups born on the Farallon Islands every summer. From November to June, thousands of female and immature fur seals migrate through the western edge of the sanctuary along the continental shelf. Of all the marine mammals in the sanctuary, northern fur seals are the most sensitive to oil spills, because they depend largely on their fur for insulation.

Recently delisted from the status of threatened, Steller sea lions occur year-round in the sanctuary. This population has decreased dramatically in the southern part of its range, which includes the Farallon Islands. The decline has amounted to 20 percent of the total population over the past thirty years. The California sea lion is the most conspicuous and widely distributed pinniped in the sanctuary. It is found year-round in the sanctuary with the population increasing

at about 8 to 12 percent each year. The northern elephant seal is the largest pinniped species found in the sanctuary, with a total breeding population in the sanctuary of about 1,700.

Twelve cetacean species are seen regularly in the sanctuary, and, of these, the minke whale, harbor porpoise, Dall's porpoise, and Pacific white-sided dolphin are considered year-round residents. The harbor porpoise is the most abundant small cetacean in the Gulf of the Farallones, with approximately 9,000 porpoises in the central California region.

Gray whales migrate from Alaska southward through the sanctuary from December through February. The northward migration begins at the end of February and peaks in March. A few gray whales remain in the sanctuary during the summer. The sanctuary waters represent critical feeding habitat for endangered species such as blue and humpback whales, which forage here from April through November.

White Sharks

The Gulf of the Farallones region, and in particular the Farallon Islands, is considered to be an important aggregation area for adult and sub-adult white sharks. The waters around Guadalupe Island, which is offshore Baja, Mexico, are the only other location in the northeastern Pacific where adult white sharks are currently known to regularly congregate.

White sharks tend to arrive to the GFNMS management area during the summer months (although white sharks have been sighted year-round throughout the sanctuary) to the nearshore aggregation areas in the vicinity of large pinniped haul-out and breeding colonies between Año Nuevo in San Mateo County, the Farallon Islands, Tomales Point at the north end of the Point Reyes peninsula, and Bodega Headlands in Sonoma County (ONMS, 2010). Around the Farallones and Año Nuevo Island, white sharks primarily feed on pinnipeds (Ainley et al., 1981, Ainley et al., 1985). Near Point Reyes, they appear to be feeding mostly on harbor seals and California sea lions (Anderson et al., 2008).

Generally, white sharks leave the GFNMS management area, migrate into the open ocean during winter months, and tend to remain far offshore into the summer (Boustany et al., 2002; Weng et al., 2007; Jorgensen et al., 2010). It has been found that the sharks from both the north-central California region and from Guadalupe Island tend to regularly migrate to an open ocean region, located between Hawaii and North America and referred to as the "white shark café" or "shared offshore foraging area (SOFA)" (Domeier and Nasby-Lucas, 2008).

Little is known about the white shark's life cycle, particularly when and where they mate, where different populations give birth, and the duration of gestation.

JURISDICTIONAL SETTING

Wildlife disturbance or "harassment" within the sanctuary is governed by a multitude of federal and state laws including the National Marine Sanctuaries Act (NMSA), the Endangered Species Act (ESA), the Marine Mammal Protection Act (MMPA), Migratory Bird Treaty Act, Airborne

Hunting Act and the California Endangered Species Act. Site specific regulations for GFNMS address wildlife disturbance through prohibitions such as: disturbing seabirds or marine mammals by flying motorized aircraft at less than 1,000 feet (location specific); discharging or depositing (with exceptions); and altering the seabed (with exceptions); taking any marine mammal, marine turtle, or bird; and attracting or approaching white sharks. There are also other state site-specific regulations such as California Special Closures that prohibit vessels from close approaches to specific bird and marine mammal colonies.

The following is an overview of the relevant federal and state laws and regulations that may apply to wildlife disturbance. This is not a comprehensive review of all wildlife disturbance laws and regulations, and additional regulations could apply. The laws and regulations presented in this section are subject to change.

Federal Law

Endangered Species Act, 16 U.S.C. §§ 1531-1544

The ESA protects plant, fish and wildlife species (and their habitats) that are listed as endangered and threatened. Species are listed as endangered if found to be in danger of extinction throughout all or a significant portion of their ranges; species are listed as threatened if they are likely to become endangered within the foreseeable future. The ESA also protects designated critical habitat for listed species, which are areas of physical or biological features essential to the conservation of the species and which may require special management considerations. The ESA requires federal agencies to consult with USFWS and/or NMFS, as applicable, before initiating any action that may affect a listed species.

Magnuson-Stevens Fishery Conservation and Management Act, 16 U.S.C. § 1801 et seq.

Under the Magnuson-Stevens Fishery Conservation and Management Act (MSA), the U.S. claimed sovereign rights and exclusive fishery management authority over all fish, and all Continental Shelf fishery resources, within the Exclusive Economic Zone (EEZ) (within 200 nm [230 miles; 370 km] of the shoreline). The MSA established a procedure for authorizing foreign fishing, and prohibited unauthorized foreign fishing within the EEZ.

The MSA also established national standards for fishery conservation and management within the EEZ, and created eight Regional Fishery Management Councils composed of state officials with fishery management responsibility, the regional administrators of NMFS, and individuals appointed by the Secretary of Commerce who are knowledgeable regarding the conservation and management, or the commercial or recreational harvest, of the fishery resources of the geographical area concerned. The Councils are responsible for preparing and amending fishery management plans for each fishery under their authority that requires conservation and management.

Fishery management plans (FMPs) describe the fisheries and contain necessary and appropriate conservation and management measures, applicable to foreign vessels in U.S. waters and fishing by U.S. vessels. The plans are submitted to the Secretary of Commerce, who has delegated to

NOAA approval of the plans. If approved, NMFS promulgates implementing regulations. NMFS may prepare Secretarial FMPs if the appropriate Council fails to develop such a plan.

(For more information on MSA, see Ecosystem Protection: Impacts from Fishing Activities Action Plan.)

Fish and Wildlife Coordination Act and Implementing Regulations, 16 U.S.C. §§ 661-666c

Any federal agency that proposes to control or modify any body of water must first consult with the USFWS or NMFS, as appropriate, and with the head of the appropriate state agency exercising administration over the wildlife resources of the affected state. The USACE has a memorandum of understanding with the USFWS to provide assistance in planning efforts.

Migratory Bird Treaty Act, 16 U.S.C. § 703 et. seq.

The MBTA is a federal statute that implements US treaties with several countries concerning the conservation and protection of migratory birds. The number of bird species covered by the MBTA is extensive and is listed at 50 CFR 10.13. Further, the regulatory definition of a migratory bird is broad and includes any mutation or hybrid of a listed species, as well as any part, egg, or nest of such bird (50 CFR 10.12). Migratory birds are not necessarily federally listed endangered or threatened under the ESA. The MBTA, which is enforced by the USFWS, makes it unlawful "by any means or manner, to pursue, hunt, take, capture [or] kill" any migratory bird except as permitted by regulation. The applicable regulations prohibit the take, possession, import, export, transport, sale purchase, barter, or the offering of these activities, except as permitted by the implementing regulations.

Marine Mammal Protection Act, 16 U.S.C. §§ 1361-1421h

The MMPA protects and conserves marine mammal species by placing a moratorium on harassing, hunting, capturing, or killing any marine mammal or attempting any of these. If a project proponent determines that an action could incidentally harass ("take") marine mammals, the proponent must consult with either the USFWS or NMFS to determine if a permit to take a marine mammal is required. A recent redefinition of "take" of an MMPA-protected species occurred under the FY 2004 Defense Authorization Act (House Bill 1588), where an animal is "taken" if it is harassed, and where harassment is defined as "(i) any act that injures or has the significant potential to injure a marine mammal or marine mammal stock in the wild or (ii) any act that disturbs or is likely to disturb a marine mammal or marine mammal stock in the wild by causing disruption of natural behavioral patterns, including, but not limited to, migration, surfacing, nursing, breeding, feeding, or sheltering, to a point where such behavioral patterns are abandoned or significantly altered" (section 315(f) P.L. 107–314; 16 U.S.C. § 703 note).

Coastal Zone Management Act, 16 U.S.C. §§ 1451-1466

The CZMA encourages states to preserve, protect, develop, and, where possible, restore or enhance valuable natural coastal resources, such as wetlands, floodplains, estuaries, beaches, dunes, barrier islands, and coral reefs, as well as the fish and wildlife using those habitats. To encourage states

to participate, the CZMA makes federal financial assistance available to any coastal state or territory that is willing to develop and implement a comprehensive coastal management program. Federal agencies are required to carry out activities that affect any land or water use or natural resource of a state's coastal zone in a manner consistent with the enforceable policies of an approved state management plan.

State Laws and Regulations

California Endangered Species Act, California Fish and Game Code §§ 2050-2111.5

The CESA places the responsibility for maintaining a list of threatened and endangered species on the CDFW. The CDFW also maintains a list of candidate species that are under review for addition to either the list of endangered species or the list of threatened species. Pursuant to the requirements of CESA, an agency reviewing a proposed project within its jurisdiction must determine whether any California-listed endangered or threatened species may be present in the project area and determine whether the proposed project will have a potentially significant impact on such species. In addition, the CDFW encourages informal consultation on any proposed project that may affect a candidate species.

Fish and Wildlife Protection and Conservation, California Fish and Game Code §§ 1600-1616

The state's authority in regulating activities in wetlands resides primarily with the CDFW and the SWRCB. The State of California regulates wetlands through the CDFW, which provides comment on USACE permit actions under the Fish and Wildlife Coordination Act. The CDFW may develop mitigation measures and require the preparation of a streambed alteration agreement if a proposed project would obstruct the flow or alter the bed, channel, or bank of a river or stream in which there are fish or wildlife resources, including intermittent and ephemeral streams.

The California legislature gave the Fish and Game Commission the authority to establish State Marine Reserves, State Marine Conservation Areas State Marine Parks, State Marine Recreational Management Areas, and Special Closures as a result of the California Marine Life Protection Act of 1999. The California Fish and Game Commission also has the authority to prohibit or restrict activities that may harm resources, including fishing, collecting, swimming, boating, and public entry. The CDFW also conducts oil spill response, damage assessment, and restoration through its Office of Spill Prevention and Response.

California Code of Regulations, Title 14 Division 1

The Fish and Game Commission has broad authority under Title 14 to establish regulations that afford protection to marine organisms and habitats. Of particular relevance to this DEIS is the eleven Existing Marine Protected Areas (MPAs) in the study area (Title 14, Section 632). MPAs in the study area have been in effect since May 1, 2010.

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There are a total of nine State Marine Reserves in GFNMS region: Point Arena, Del Mar Landing, Stewarts Point, Gerstle Cove, Bodega Head, Point Reyes, Estero de Limantour, North Farallon Islands and Southeast Farallon Island. In a state marine reserve, it is unlawful to injure, damage, take, or possess any living, geological, or cultural marine resource, except under a scientific collecting permit or specific authorization from the California Fish and Game Commission for research, restoration, or monitoring purposes.

There are eleven state marine conservation areas in GFNMS: Point Arena, Sea Lion Cove, Saunders Reef, Stewarts Point, Salt Point, Russian River, Bodega Head, Drakes Estero, Point Reyes, Duxbury Reef and Southeast Farallon Island. In a state marine conservation area, it is unlawful to injure, damage, take, or possess any living, geological, or cultural marine resource for commercial or recreational purposes, or a combination of commercial and recreational purposes except as specified. The California Fish and Wildlife Commission may issue scientific collecting permits or specifically authorize research, education, and recreational activities, and certain commercial and recreational harvest of marine resources, provided that these uses do not compromise protection of the species of interest, natural community, habitat, or geological features.

There are three State Marine Recreational Management Areas in GFNMS: the Russian River, Estero Americano and Estero de San Antonio. In a state marine recreational management area, it is unlawful to perform any activity that would compromise the recreational values for which the area may be designated. Recreational opportunities may be protected, enhanced, or restricted, while preserving basic resource values of the area. No other use is restricted unless specified.

California Marine Invasive Species Act, Cal. Pub. Res. Code § 71200 et seq.

(See Introduced Species Action Plan)

California Code of Regulations, Title 2, Division 3, Chapter 1, Article 4.6

Article 4.6 was designed to move the state toward elimination of the discharge of nonindigenous species into the waters of the state or into waters that may impact the waters of the state, based on the best available technology economically achievable. The provisions of Article 4.6 apply to all vessels arriving at a California port or place from another port or place within the Pacific Coast Region. All such vessels shall (1) exchange ballast water in near-coastal waters (more than 50 nm from land and in water at least 200 meters [656 feet, 109 fathoms] deep) before entering the waters of the State if that ballast water was taken on in a port or place within the Pacific Coast Region, (2) retain all ballast water on board, (3) discharge the ballast water to a reception facility approved by the California State Lands Commission (CSLC) or (4) use an alternative, environmentally sound method of ballast water management that has been approved by the CSLC or the USCG.

California Species of Special Concern (CSC): It is the goal and responsibility of the CDFW to maintain viable populations of all native species. The department has designated certain vertebrate species as "species of special concern" because declining population levels, limited ranges, and/or continuing threats have made them vulnerable to extinction. The goal of

designating species as CSC is to halt or reverse their decline by calling attention to these threats and addressing the issues of concern early enough to secure the species' long-term viability.

California Fully Protected Species: Fully protected species may not be taken or possessed without a permit from the California Department of Fish and Wildlife and/or the CDFW.

WILDLIFE DISTURBANCE GOAL

1. Reduce or eliminate impacts on sanctuary marine wildlife and their habitats by encouraging responsible human behavior.

WILDLIFE DISTURBANCE OBJECTIVES

- 1. Continually evaluate levels and sources of impacts on wildlife and habitats.
- 2. Address human behavior that is impacting wildlife and habitats.

WILDLIFE DISTURBANCE ACTION PLAN

STRATEGY WD-1: Create easily accessible centralized web-based spatial database to house information pertaining to wildlife disturbance.

Activity 1.1 Analyze the information from the wildlife disturbance database to determine sources and level of disturbance to sanctuary wildlife. Coordinate with partners on addressing identified sources of disturbance.

STRATEGY WD-2: Through the use of volunteer monitoring programs, observe and record impacts from human activities on marine wildlife and key habitats of the sanctuary.

Activity 2.1 Develop volunteer-based intertidal monitoring program to evaluate human impacts on the intertidal habitat of the sanctuary and measure recovery rates of closed areas. This program will fall under a coordinated and complementary set of volunteer outreach and monitoring programs.

Activity 2.2 Develop volunteer-based coastal and offshore monitoring program to report location and numbers of whales at risk of possible ship strikes. This program will fall under a coordinated and complementary set of volunteer outreach and monitoring programs.

A. The volunteer-based coastal and offshore whale monitoring program will be implemented through the development of a mobile device application technology to allow stakeholders and the general public to report whale observations to NOAA in near real-time. These observations may be incorporated with a suite of data sets on whale sightings, abundance and distribution, to assist management when establishing potential whale advisory zones or dynamic management areas to better protect whales from ship strike by commercial vessels.

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STRATEGY WD-3: Coordinate with other agencies, institutions and programs to better understand and address anthropogenic noise, light, visual and physical impacts on wildlife...

Activity 3.1 In coordination with partners, identify types and frequency of impacts on wildlife from motorized and non-motorized aircraft and vessels both inside and outside restriction zones. Close approaches by vessels and low flying aircraft are known to create behavioral changes in wildlife including flushing, stampeding, and abandonment. Information from monitoring programs will help to identify key geographical areas with high disturbance frequency to be targeted for needed outreach and enforcement. Of particular concern are seabird colonies at Point Reyes Headlands, Bolinas Lagoon, Farallon Islands, Bird Rock, and Bodega Rock, Russian River Colony Complex, Fish Rocks, and Gualala Point Island and white sharks around Southeast Farallon Island.

Activity 3.2 In coordination with partners, create a regional monitoring program to better protect whales from commercial vessels in and around the shipping lanes at the entrance to San Francisco Bay. Endangered blue, fin and humpback whales feed within sanctuary waters. Large commercial vessels utilize an internationally approved traffic separation scheme (TSS) when they transit through sanctuaries, heading to and from ports in San Francisco Bay and other major ports in the Pacific Rim. The co-occurrence of these two global populations (whales and ships) in space and time creates an elevated risk of vessel strike, and thus mortality, to whales. High densities of vessel traffic also expose marine mammals to chronic underwater engine and propeller noise. Exposure to this underwater noise can impact the ability of whales to communicate with each other, navigate and forage.

- A. Increase and strengthen partnerships with regional research institutions and management agencies whose programs focus on gathering accurate whale observations, and expedite interpretation of data findings which can be used to support management decisions (i.e. the creation of whale advisory zones, dynamic or seasonal management areas) to reduce the risk to whales in sanctuary waters.
- B. Augment current shoreline and offshore sanctuary monitoring programs that gather data on baleen whale sightings, behavior, age abundance and distribution, so that findings can be rapidly interpreted to support management decisions to reduce the risk of ship strikes to whales in the sanctuary.
- C. Develop data management, interpretation and dissemination protocols to gather, review interpret data from various levels of expertise, e.g. data gathered by the general public, trained naturalists, and marine mammal scientists. Management of data gathered and interpreted from various data sets, e.g. data collected through the mobile application, data gathered from scientists on the Farallon Islands, through sanctuary monitoring programs, and data collected from CDFW and NMFS aerial surveys.

- D. Convene workshop of West Coast marine mammal scientists and managers to recommend criteria for whale advisories and implementation of management measures.
- E. Assess compliance with advisories or regulations to reduce vessel speed and use of dynamic management areas and determine the need for regulatory actions.
- F. The sanctuary and its partners will seek to secure funding to support these programs. Potential funding sources include the Pacific Merchant Shipping Association (PMSA), Chamber of Shipping of America (CSA), private foundations, and others.

Activity 3.3 GFNMS will take an active role in reviewing project proposals that have the potential to introduce harmful levels of sound into the sanctuary environment and will work with project proponents to mitigate impacts and protect sanctuary resources. Impacts on marine resources from noise are of increasing concern with over 6,000 container ships and bulk product carriers passing through the sanctuary on an annual basis; the use of seismic surveys for oil and gas exploration; identification of earthquake faults and activities; and the use of side scan sonar for research. Sound travels approximately five times faster in water than in air, with low frequency sounds traveling the farthest. Low frequency sounds (below 1,000 Hz) are generated by many human activities. Communication by many marine mammals and fish also falls within this range of frequency. Individually and cumulatively, the sound produced by these activities may have significant impacts on the living marine resources of the sanctuary. GFNMS would like to have a better understanding of the long-term and cumulative impacts on marine mammals, fishes and invertebrates.

Activity 3.4 Through the use of permit conditions, reporting requirements, workshops, and/or tracking systems, the sanctuary will identify wildlife disturbance-related research and monitoring programs taking place in the sanctuary and collaborate with these researchers to collect data on wildlife disturbance in the sanctuary.

- A. Coordinate with research partners at CBNMS, Point Blue, USFWS and PRNS to document, while in the field, wildlife disturbance from vessels and low flying aircraft.
- B. Through SIMoN, identify institutions, principal investigators and actual location of data collection efforts taking place in the sanctuary.
- C. Inform researchers about responsible wildlife interactions, seasonal restrictions, and GFNMS' and other agency regulations.
- D. Use SIMoN to identify potential partnerships and opportunities to collect data on wildlife disturbance.
- E. Develop standardized data reporting system, including standardized protocols, for researchers to record wildlife disturbance observations and combine with data from

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monitoring programs (see also Activity WD-3.1C).

F. As appropriate, request data sets from researchers to include in SIMoN for use by natural resource managers in addressing wildlife disturbance issues, to be submitted through an on-line reporting system.

Activity 3.5 Evaluate emerging scientific studies delineating the impacts of anthropogenic noise, light and visual and physical disturbance including vessel traffic, seismic surveys for hydrocarbon exploration and other commercial, industrial and governmental activities impacting sanctuary resources.

- A. Conduct a literature search, including grey literature, and develop an annotated bibliography.
- B. Coordinate with research partners to document anthropogenic noise, light and visual and physical disturbance in the Sanctuary.

STRATEGY WD-4: Through outreach and law enforcement efforts, address human behavior that may adversely impact wildlife.

Activity 4.1 Using existing volunteer outreach and monitoring programs, develop a coordinated and complementary set of interpretive outreach efforts to address human behavior and its impacts on sanctuary wildlife. Interpretive outreach is intended to be a proactive and a preventative method to avert potential negative impacts from human behavior before they occur.

- A. Create a new interpretive outreach program to address impacts from human trampling and harvesting on rocky intertidal habitats. Based on Fitzgerald Marine Reserve's (FMR) Roving Intertidal Docent Program, a similar volunteer-based program will be expanded to address trampling and harvesting on sensitive and high traffic areas such as Salt Point, Sea Ranch, Duxbury Reef and Pillar Point beach in MBNMS.
- B. Develop and distribute wildlife viewing and interaction guidelines (posters, informational cards, brochures, waterproof guides) to target audiences including: kayakers; whale watching boats; motorized personal watercraft users, and private boaters (including recreational and commercial passenger boats).
- C. Continue interpretive outreach program targeting pilot organizations, flight schools, flight clubs, aviation publications and airports.

Activity 4.2 Develop a coordinated and cooperative Protected Resource Enforcement Plan to ensure sufficient patrol presence in the sanctuary.

A. Through the development of partnerships and interagency cooperation, assess the potential to create a cross-deputization program with the CDFW, U.S. Fish and Wildlife Service (USFWS), National Oceanic and Atmospheric Administration (NOAA)

Fisheries, and the National Park Service (NPS).

- B. Train enforcement officers on sanctuary regulations.
- C. Maintain an active enforcement relationship with the United States Coast Guard (USCG), the United States Coast Guard Air Patrol Auxiliary and the Civil Air Patrol (CAP).

STRATEGY WD-5: Develop wildlife viewing guidelines to reduce disturbance to wildlife from human interactions.

Activity 5.1 Conduct an assessment of target audiences to determine appropriate messaging, products and avenues for communicating to wildlife viewers about responsible interactions with wildlife. Wildlife viewing guidelines will be developed in concert with NOAA's *Responsibly Watching California Marine Life* handbook and the National Ocean Etiquette program. The Ocean Etiquette program is a partnership between NOAA, other federal and state agencies, and non-profit organizations. This program is directed at the public and commercial operators to educate them about safe and responsible wildlife viewing, pertaining specifically to marine species and habitats. Other wildlife viewing models to be considered include: Paddler's Etiquette, The Marine Mammal Center's Stranded Mammal Etiquette and Marine Mammal Viewing Guidelines, and Audubon's Standards for Bird Viewing.

- A. Develop viewing guidelines and outreach materials for boaters based on species-specific behavioral responses and vessel approach and speed guidelines (to be consistent with whale watching guidelines and the National Ocean Etiquette Program).
 - 1. Develop volunteer program based on *Dockwalkers* model to reach boaters at harbors and marinas.
 - 2. Develop kiosk at key harbors to display wildlife viewing guidelines and animal identification cards.
 - 3. Reach boaters through vessel registration with Department of Motor Vehicles and through harbors and marinas.
- B. Develop wildlife watching guidelines based on the National Etiquette program and Hawaiian Islands Humpback Whale National Marine Sanctuary's guidelines for commercial operators.
 - 1. Hold workshops for wildlife watching operators.
 - 2. Develop responsible wildlife viewing certification program for wildlife watching boats.
- C. Continue and expand distribution of Paddler's Etiquette and develop complementary outreach tools such as signage and animal identification cards.
 - 1. Hold workshops for kayak vendors.

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- D. In coordination with the Ocean Etiquette program, develop wildlife viewing and interaction guidelines for shoreline observers addressing shorebirds, marine mammal strandings, and trampling and harvesting in the rocky intertidal zone.
- E. Develop guidelines for wildlife interactions for researchers conducting research in the sanctuary.
 - 1. Include outreach materials in research permit package.
 - 2. Distribute outreach materials to other agencies and institutions conducting research in the sanctuary that does not require a permit.
 - 3. Review permit conditions for consistency with wildlife viewing guidelines.

STRATEGY WD-6: Maximize media venues to augment directed outreach efforts and increase public awareness of wildlife disturbance issues.

Activity 6.1 In conjunction with partners, develop a media communications plan to address wildlife disturbance issues.

- A. Identify target audiences.
- B. Work with partners on joint media messaging.
- C. Develop boilerplate messaging format for planned media communications and to be prepared for unplanned/emergency events (reactive) media coverage.
- D. Develop wildlife disturbance media kit.
- E. Identify opportunities for cooperative marketing efforts with other agencies and organizations.

STRATEGY WD-7: Coordinate the Seabird Protection Network aimed at improving the survival and recruitment of seabird colonies by reducing and eliminating human disturbances at seabird breeding and roosting sites throughout California.

Activity 7.1 In coordination with partners, provide appropriate education and outreach to government agencies and ocean and coastal users on the macro level by targeting organized events, association meetings, conferences, air and boat shows and ecotourism vendors; and on the micro level with individuals including pilots, researchers, rangers, sea kayakers, coastal recreational users, commercial and recreational fishermen, whale watchers and students. Breeding and roosting seabird populations are significant wildlife resources of the California coast and the protection of seabird populations and habitats were a critical consideration in the sanctuary's designation.

- A. Use colony monitoring and surveillance data to identify key audiences and venues.
- B. When necessary, request working groups to advise the Seabird Protection Network on any of its primary project components (Education, Coordinated Management and Enforcement, and Monitoring).

STRATEGY WD-8: Coordinate the White Shark Stewardship Program to protect and conserve the white shark population that utilizes the sanctuary.

Activity 8.1 Through the use of permit conditions, reporting requirements, naturalist trainings and workshops, various monitoring programs, and community outreach the sanctuary will identify potential disturbances to white sharks and work with partner agencies, researchers, and the community to minimize these disturbances, including:

- A. Review current statutes, authorities, regulations and agency jurisdictions pertaining to managing and protecting white sharks, determine what regulations need better enforcement and what geographic areas are subject to regulations, and whether or not additional or amended regulations are required.
- B. Require naturalist trainings for white shark tour operators and conduct public and boater outreach efforts to foster stewardship of the local population of white sharks and enhance compliance with sanctuary regulations.
- C. Evaluate emerging scientific information on potential impacts of anthropogenic activities on white sharks (such as using attractants) by conducting literature reviews and coordinating with other resource management agencies and the scientific community in order to better evaluate management decisions within the sanctuary.
- D. Maintain long-term monitoring to document disturbance and/or effectiveness of regulatory action and enforcement program.
- E. Work with enforcement agencies on the federal, state and local level to encourage active enforcement of laws and regulations that protect white sharks, and to promote a coordinated law enforcement effort.
- F. Develop national and international partnerships and agreements with other regions that have significant white shark populations to better understand potential disturbances and management concerns.

STRATEGY WD-9: Evaluate current motorized personal watercraft (MPWC) use and potential impacts to sanctuary wildlife resources, and develop a plan and options for establishing a regulatory system for sanctuary waters north of Bodega Head.

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Activity 9.1 Use summary of public comments received during boundary expansion to scope options for a potential MPWC regulatory framework and potential future proposed rule, and produce a report or a scoping document for the sanctuary advisory council.

Activity 9.2 Propose a sanctuary advisory council MPWC working group. Support a working group of stakeholders that can advise the sanctuary advisory council on the development of recommendations for MPWC management north of Bodega Head. Objectives for the working group include:

- A. Reviewing MPWC use patterns and activities and potential impacts to sanctuary wildlife resources; and developing recommendations to address current use; and
- B. Providing advice to the sanctuary advisory council on MPWC management, including recommendations on the scope of regulations and permit conditions.

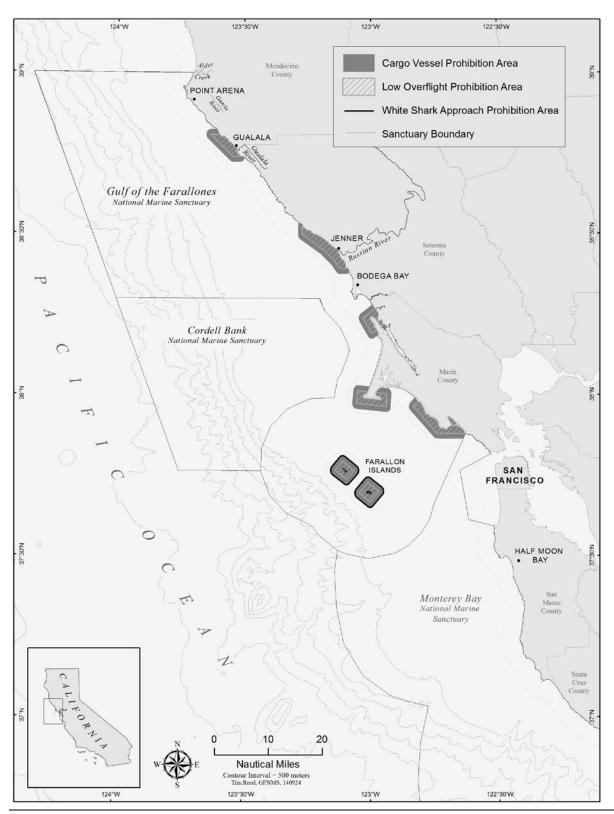
Potential Partners:

Federal: NOAA Coastal Services Center, Office of National Marine Sanctuaries (ONMS), Golden Gate National Recreation Area (GGNRA), Point Reyes National Seashore (PRNS), United States Fish and Wildlife Service (USFWS), US Coast Guard, Monterey Bay National Marine Sanctuary (MBNMS), Cordell Bank National Marine Sanctuary (CBNMS), National Marine Fisheries Service, NOAA Office of Law Enforcement, Federal Aviation Administration, Bureau of Land Management.

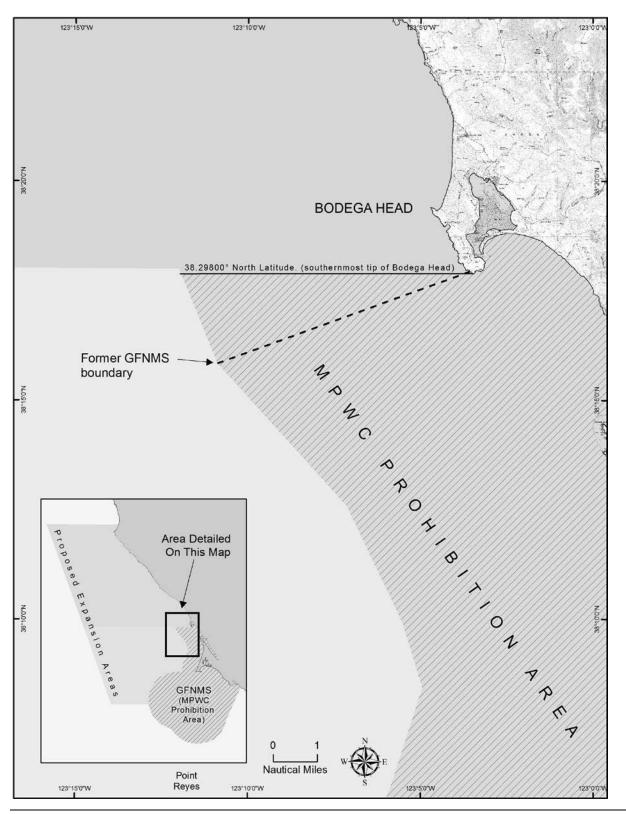
State & County: CA Dept. of Fish and Wildlife, Fitzgerald Marine Reserve (FMR), Bodega Marine Laboratory (BML), CA State Parks, California Department of Boating and Waterways (CDBW).

Other: Farallones Marine Sanctuary Association (FMSA), Earth NC/Conserve IO, Pacific Merchant Shipping Association (PMSA), Chamber of Shipping of America (CSA), Point Blue, The Marine Mammal Center (TMMC), Harbor Patrol, Coast Guard Auxiliary, San Francisco (SF) Ad Council, Stewards of the Coast and Redwoods, Surfrider Foundation —Sonoma Coast Chapter, Save our Seashore, Sea Ranch Task Force, and the Reserva de la Biosfera Isla Guadalupe.

Cargo Vessel, Low Overflight, & White Shark Approach Prohibition Areas



Motorized Personal Watercraft (MPWC) Map



GFNMS WILDLIFE DISTURBANCE

Performance Measures

Strategy Title(s)	Performance Goal	Desired Outcome (Objective)	Outcome Measure	How Measured	Who Measures	Output Measure
programs, observe and record impacts from human activities on marine resources and key habitats such as the	the living marine	Continually evaluate levels and sources of impacts on wildlife and habitats.	Increase sanctuary management and the public's understanding of the effects of human disturbance on key habitats and recovery rates. Increase recovery of trampled intertidal habitat.	implementation of volunteer monitoring program to evaluate impacts and recovery rates. 2) Use results of	Research Coordinator, Education Coordinator,	Report on intertidal monitoring program findings
Through the use of interpretive and law enforcement efforts, address human behavior that may be adversely impacting sanctuary	the living marine resources of the	Address human behavior that is impacting wildlife and habitats.	I) Increase awareness and change behavior of humans to lessen impacts while interacting with wildlife. Reduce the number of disturbances to wildlife.	interactions with wildlife to determine effectiveness of outreach and		1) Technical data summaries 2) Fine-scaled seasonal distribution maps 3) Annual report of observed wildlife disturbances and sources of disturbance

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INTRODUCED SPECIES ACTION PLAN

ISSUE STATEMENT

Introduced species have been identified in and around Gulf of the Farallones National Marine Sanctuary (GFNMS) waters and have the potential to cause ecological and economic degradation to the affected coastal areas. If detection, prevention, and eradication efforts are not taken, further introduction and spread of introduced species will continue in and adjacent to the sanctuary and potentially impact sanctuary wildlife and habitats. Current levels, in terms of abundance and diversity of introduced species are not well documented; nor are the impacts, existing or potential, well understood.

ISSUE DESCRIPTION

In the context of GFNMS, introduced species in the marine/estuarine environment are defined as (1) a species (including any of its biological material capable of propagation) that is non-native to the ecosystem(s) protected by the sanctuary; or (2) any organisms into which genetic matter from another species has been transferred in order that the host organism acquires the genetic traits of the transferred genes. GFNMS is close to San Francisco Bay, which is considered the most invaded aquatic ecosystem in the world, with over 255 introduced species. Indications are that introduced species are the greatest threats to rare, threatened, or endangered species in this country, thought to be second only to habitat destruction. In general, introduced species in the marine/estuarine environment alter species composition; threaten the abundance and/or diversity of native marine species; interfere with the ecosystem's function; and disrupt commercial and recreational activities. Although several introduced species have been identified in the bays and estuaries throughout the range of GFNMS, a complete inventory is currently underway and has not been completed.

Nearshore discharge of ballast water is a common source of introduced species. Many organisms carried in ballast water are in the larval or diapause stage of their life cycle. Once discharged, estuaries and harbors provide optimal environments for the growth of these organisms. Viruses, bacteria, and other pathogens have also been identified in ballast water. With over 45,000 commercial cargo ships (4,000 vessels entering or exiting San Francisco Bay per year) transporting 10 billion tons of ballast water around the globe every year, the rate of introduced species will be certain to grow if efforts to prevent introductions do not occur.

Introduced species may also be transported on commercial and recreational vessel hulls, rudders, propellers, intake screens, ballast pumps, and sea chests. Other vectors for the spreading of

introduced species include recreational and research equipment, debris, dredging and drilling equipment, dry docks, and buoys. Organisms transported or used for research, restoration, educational activities, aquarium activities, live bait, aquaculture, biological control, live seafood, and rehabilitated and released organisms also have the potential for accidental or intentional release into the marine/estuarine environment. Of additional concern are genetically modified species that either escape or are released into nearshore or open ocean environments.

JURISDICTIONAL SETTING

The following is an overview of the relevant federal and state laws and regulations that may apply to introduced species. This is not a comprehensive review of all laws and regulations related to introduced species, and additional regulations could apply. The laws and regulations presented in this section are subject to change.

International Law

Guidelines for the Control and Management of Ships' Ballast Water to Minimize the Transfer of Harmful Aquatic Organisms and Pathogens Resolution A.868(20)–Nov. 20, 1997

These guidelines were developed by the International Maritime Organization (IMO), and outline the techniques for minimizing introductions from cargo ship ballast discharge.

International Council for Exploration of the Sea (ICES) Code of Practice Concerning Introductions and Transfers of Marine Species

A regulatory framework for member states to use in managing the introduction of non-native species. This Code of Practice is continually modified to incorporate new scientific knowledge.

Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES)

CITES was developed by the United Nations and signed by the U.S. in 1975. It is designed to restrict trade in listed species to protect depletion in the habitat of origin.

International Plant Protection Convention (IPPC)

IPPC was developed by the United Nations and signed by the U.S. in 1972 with 94 other countries. It is designed to prevent the introduction and spread of agricultural pests.

Federal Law

Executive Order 13112, February 1999

This Executive order directs federal agencies to prevent the introduction of invasive species and provide for their control; establishes the Invasive Species Council and directs them to write an invasive species management plan within eighteen months.

National Invasive Species Act, P. Law 104-332

NISA requires open water exchange (OWE) of ballast water and mandatory ballast management plans and reporting. It also required the development of voluntary ballast management guidelines for all ships entering US waters. The law also requires all vessels that enter US territorial waters (with certain exemptions) to manage ballast water according to prescribed measures. NISA also required the US Coast Guard (USCG) to evaluate the effectiveness of the voluntary ballast management program three years after implementation. In 2004, voluntary guidelines were determined to be ineffective, and thus USCG initiated mandatory ballast management for all ships entering U.S. waters from outside the Exclusive Economic Zone (EEZ) of the United States.

At the federal level, both the USCG and the EPA regulate ballast water discharges. Both agencies currently require ballast water exchange for the majority of vessels operating in U.S. waters. However, the USCG issued a final rule in 2012 establishing performance standards for ballast water discharges that will be implemented during the remainder of this decade. These performance standards are currently aligned with the IMO standards contained within the BWM Convention and include a standard that operators must avoid exchanging ballast water within a National Marine Sanctuary. The EPA regulates ballast water under the Vessel General Permit for Discharges Incidental to the Normal Operation of Vessels (VGP), through authority contained within the Clean Water Act.

At the U.S. state level, several states have used their authority under the Clean Water Act to add additional requirements into the VGP when vessels operate in their state waters, however California has been granted the authority by their state legislature to regulate ballast water independent of the Clean Water Act. The California regulations provide additional protections against the introduction of introduced species through the release of ballast water throughout GFNMS waters.

Title 50, U.S. Code of Federal Regulations; 58976-58981, 1993

This law is enforced by U.S. Fish and Wildlife Service, Dept. of Interior, prohibiting importation of specific disease agents of salmonid fish.

Federal Noxious Weed Act of 1974 (amended 1990), Federal Plant Pest Act (1957) and Plant Quarantine Act (1912)

These Acts give the U.S. Dept. of Agriculture the authority to regulate the movement of plants, plant products, plant pests, and their vectors. Also regulates the introduction of genetically engineered organisms.

State Law

California Marine Invasive Species Act, Cal. Pub., Res. Code § 71200 et seq.

The California Marine Invasive Species Act of 2003 applies to all vessels, United States and foreign, carrying, or capable of carrying, ballast water into the coastal waters of the state after operating outside of the coastal waters of the state, except vessel of the armed forces or a foreign vessel merely traversing the territorial sea of the United States and not entering or departing a United States port, or not navigating the internal waters of the United States, and that does not discharge ballast water into the waters of the state, or into waters that may impact waters of the state. It requires mid-ocean exchange or retention of ballast water for vessels coming from outside the EEZ and requires vessels coming from other west coast ports to minimize ballast water discharge. Record-keeping and other compliance measures apply to all vessels entering California waters.

California Code of Regulations, Title 2, Division 3, Chapter 1, Article 4.6

Article 4.6 was designed to move the state toward elimination of the discharge of nonindigenous species into the waters of the state or into waters that may impact the waters of the state, based on the best available technology economically achievable. The provisions of Article 4.6 apply to all vessels arriving at a California port or place from another port or place within the Pacific Coast Region. All such vessels shall (1) exchange ballast water in near-coastal waters (more than 50 nm from land and in water at least 200 meters [656 feet, 109 fathoms] deep) before entering the waters of the State if that ballast water was taken on in a port or place within the Pacific Coast Region, (2) retain all ballast water on board, (3) discharge the ballast water to a reception facility approved by the California State Lands Commission (CSLC) or (4) use an alternative, environmentally sound method of ballast water management that has been approved by the CSLC or the USCG.

Hundreds of federal programs, state organizations, international organizations and non-profit organizations have established databases, and community outreach, monitoring, eradication, research and education programs. Additionally, industry is working on a number of physical, biological and chemical means of treating or controlling organisms in ballast water.

INTRODUCED SPECIES GOALS

Maintain an abundance and diversity of native marine/estuarine species:

- 1. Prevent future introductions of introduced species in the sanctuary.
- 2. Detect, manage, and where feasible, eradicate new and established introduced species in the sanctuary.

INTRODUCED SPECIES OBJECTIVES

- 1. Understand the current extent of introduced species in GFNMS.
- 2. Create a new program and/or coordinate with existing programs to detect and monitor new introductions.
- 3. Develop management actions to eradicate and/or control existing and new introductions.
- 4. Identify and control current and potential pathways to prevent new introductions.

INTRODUCED SPECIES ACTION PLAN

STRATEGY IS-1: Develop a native and introduced species inventory and database specifically for GFNMS and areas adjacent to the sanctuary.

Activity 1.1 Although efforts are being made by California Department of Fish and Wildlife (CDFW), Smithsonian, and others to create a centralized database, there has been no effort to profile and maintain a database specifically on the extent of introduced species in and adjacent to GFNMS. In order to understand the current extent of introduced species in the sanctuary, the following steps will be taken:

- A. As a component of STRATEGY FA-1, update current species list and integrate introduced species into this list. Perform a species abundance and distribution assessment, and an all-taxa inventory (species inventory) through a meta-analysis (identifying existing literature, specimens, and data).
- B. Perform an introduced species inventory literature search (mostly grey literature) and develop an annotated bibliography. Where possible, collect documents and catalog in library.
- C. Identify data gaps for native and introduced species (areas surveyed) inventories, particularly focusing on the outer coast. Address data gaps by working with researchers and partner organizations.

Activity 1.2 Develop an easily accessible and queriable database to be used by sanctuary superintendent, staff, researchers and other agencies and institutions.

- A. Create a centralized Web-based spatial database on SIMoN or as a PDF portfolio for mapping species abundance and distribution and spatial extent of introduced species, focusing on areas of concern such as Estero Americano and Estero de San Antonio. Database will identify potential areas of highest likelihood of invasion.
- B. Ensure compatible database protocols by investigating existing database structures.

STRATEGY IS-2: In coordination with existing monitoring programs, develop a program to detect introduced species in estuarine environments of the sanctuary.

Activity 2.1 Currently, there are no formal introduced species monitoring programs for estuaries in the sanctuary (Bolinas Lagoon, Tomales Bay, Estero de San Antonio, and Estero Americano). Monitoring efforts are taking place in estuarine environments in and around the sanctuary, such as PRNS's all-taxa inventory of Tomales Bay, although not specifically focused on introduced species. GFNMS will work with other agencies and institutions to incorporate introduced species identification and monitoring into existing monitoring programs. Ensuring continuous monitoring in coordination with other agencies will include the following steps:

- A. Formalize partnerships with agencies/institutions currently conducting monitoring programs in Tomales Bay and Bolinas Lagoon.
- B. Develop an introduced species monitoring program for Estero Americano and Estero de San Antonio (in conjunction with other sanctuary monitoring programs, such as water quality, to be developed).
- C. Adopt standardized protocols from Smithsonian Environmental Research Center (SERC).
- D. Consult with the sanctuary Introduced Species Technical Advisory Council (see STRATEGY IS-6) for advice on frequency of monitoring. Also, conduct random characterization on rotational basis.
- E. Feed data into sanctuary's centralized database (STRATEGY WD-1), as well as other regional and national databases.

Activity 2.2 Develop guidelines for new estuarine monitoring programs for introduced species, such as:

- A. Target known invasives, new invasives, and those with likelihood of being established.
- B. Conduct an annual survey of representative areas, high profile areas (high visibility), and conservation areas.
- C. Track other areas in the region to identify potential future introduced species.
- D. Understand the life history and tolerances of already introduced species in the region.

STRATEGY IS-3: Develop a monitoring program to detect and monitor introduced species in the rocky intertidal areas of the sanctuary.

Activity 3.1 Ongoing since 1992 (with the exception of two years), the GFNMS' rocky intertidal monitoring program's goals are to: (1) monitor trends in population dynamics of selected indicator organisms; (2) determine normal levels of variation; (3) discover abnormal conditions; and (4) measure the effects of management actions. Data indicate changes from natural events

such as El Nino on the study species, the varied distribution of species, and the influences that habitat has on the abundance of species. The study includes island and mainland sites. GFNMS' rocky intertidal monitoring program can be modified to identify and track introduced species as follows:

- A. Identify additional representative coastal sites to be monitored for introduced species.
- B. Adopt standardized protocols from SERC and Partnership for Interdisciplinary Studies of Coastal Oceans (PISCO) for monitoring introduced species.
- C. Consult with sanctuary Introduced Species Technical Advisory Council for advice on frequency of monitoring. Also, conduct random characterization on rotational basis.
- D. Feed data into the sanctuary's centralized database (see Strategy WD-1), as well as other regional and national databases.

Activity 3.2 In adding onto GFNMS' existing intertidal monitoring program to look for introduced species, and in coordinating with other agencies' rocky intertidal monitoring programs, the following steps will be taken:

- A. Target known invasives, new invasives, and those with the likelihood of being established.
- B. Conduct an annual survey of representative areas, high profile areas, and conservation areas.
- C. Track other areas in the region to see what is being introduced, and what to start watching for possible new introductions into the sanctuary.
- D. Understand the life history and tolerances of already introduced species in the region.
- E. Identify the top ten introduced species the sanctuary would like other intertidal monitoring programs to target.
- F. Coordinate with other agencies on protocols.

STRATEGY IS-4: Develop a monitoring program to detect and monitor introduced species in the pelagic environment of the sanctuary.

Activity 4.1 Introduced plankton species entering San Francisco Bay (and potentially adjacent areas) may already be present in the open ocean (presumably, primarily from ballast water). Although this does not necessarily mean that plankton present in the open water will establish itself in the bay (as some species are benthic while others pelagic), it may provide an indication of the presence of an introduced species. One component of the GFNMS' Sanctuary Ecosystem Assessment Surveys (SEA Surveys) is to assess biological productivity (chlorophyll-a;

phytoplankton species inventory; euphausiid abundance and distribution; distribution/ abundance of jellyfish; assessment of drift algae). Without any additional effort by the sanctuary, SEA's plankton tows and Harmful Algal Bloom assessments will be used to sample for introduced species.

A. Since plankton samples are already being collected, detection of introduced species would not require modifications to the sampling protocol, but would require additional analysis to identify introduced species within the sample. GFNMS will coordinate with San Francisco State University's (SFSU) Romberg Tiburon lab to analyze plankton samples and identify introduced species.

STRATEGY IS-5: Develop an outreach and monitoring program to improve early detection of introduced species.

Activity 5.1 Since most introduced species are accidental finds, GFNMS will develop an early detection program to widely disseminate information about introduced species to local citizens and visitors who frequent areas of the sanctuary where invaders could become established. Using Elkhorn Slough National Estuarine Research Reserve's (ESNERR) *Least Wanted Aquatic Invaders Programs* model, the sanctuary will partner with other agencies to develop a similar program. Steps to develop this program include:

- A. Identify other agencies with which to develop a cooperative partnership.
- B. Identify two dozen "least wanted" invaders. These are species that are not yet present in GFNMS, but have successfully invaded other coastal regions; are colonizing and increasing in abundance; and are spreading rapidly. Species will be chosen based on significance of size and obvious characteristics that provide the ability for them to be easily identified by non-experts.
- C. Develop outreach materials with clear messaging and photos or illustrations for easy identification of the top twelve potential invaders.
- D. Develop agency staff training program so outreach and field personnel may effectively engage the public in early detection of introduced species.

STRATEGY IS-6: Develop partnerships with other agencies and organizations that are involved in issues related to introduced species to advise the sanctuary.

Activity 6.1 Develop a Technical Advisory Committee of agency experts to advise the sanctuary on coordinated introduced species management issues. This group would meet on an as needed basis and may coordinate with a sanctuary advisory council working groups as needed.

Activity 6.2 Work with the West Coast Region to identify a regional representative of the California sanctuaries (GFNMS, CBNMS, MBNMS, CINMS) to sit on CalFed's Non-native Invasive Species Advisory Committee (NISAC). The regional representative's role is to communicate the sanctuaries' interests, needs, and efforts in addressing introduced species

issues. The representative will also be in attendance to listen and learn from experts in the field of introduced species and identify potential partners.

STRATEGY IS-7: Develop a rapid response plan and streamlined permit process in order to respond in a timely manner to necessary eradication or control efforts in the sanctuary.

Activity 7.1 Take the lead in coordinating with other agencies in the development of a rapid response plan to eradicate or control existing or new introductions in, or in areas adjacent to, the sanctuary.

- A. Examine existing models such as the Western Regional Plan or Southern California Caulerpa Action Team (SCCAT) to use as a template for developing a rapid response plan.
- B. Establish a rapid response team consisting of agency representatives actually responsible for responding in an emergency situation.
- C. Develop and execute mock training exercises.
- D. Develop a manual that outlines a rapid response fire alarm approach.
 - 1. Identify twelve new likely invaders (habitats, pathways, probable sites)
 - 2. Develop a separate response plan for each species
 - 3. Test the notification scheme (phone tree)
 - 4. Clarify and have approval on the "authority to act" agency ownership
 - 5. Identify stakeholder team, how will they be engaged, and who will notify them
 - 6. Identify the pool of experts (needs to be large), who, where, what kind of availability and expertise (eradication, management, biology, habitats, etc.)
 - 7. Formalize each part of the plan as a document and identify lead agency
 - 8. Form intervention team to carry out eradication or control effort in the field
- E. Review relevant laws, regulations, and policies to determine necessary permits that might be required in order to perform.
- F. Test all components of the rapid response plan.

STRATEGY IS-8: Take action to control new introductions of introduced species.

Activity 8.1 Work with the State Water Resource Quality Board to include in the definition for "impaired waters" those areas where introduced species have been identified. Section 303(d) of

the Clean Water Act requires the states submit to EPA a list of water bodies that do not meet water quality standards for specific pollutants (i.e., are "impaired").

Activity 8.2 Request the reporting of all research activities in the sanctuary to determine: (1) the types of activities taking place that might accidentally introduce invasive species; and (2) understand who may be doing research or monitoring of introduced species.

STRATEGY IS-9: Through outreach efforts, inform targeted audiences and industry about pathways through which introduced species may enter the sanctuary and educate those targeted audiences on prevention methods.

Activity 9.1 Develop a targeted prevention program (other than the shipping industry, as ballast water is already being targeted).

- A. Identify and categorize potential vectors associated with introductions within and adjacent to the sanctuary.
- B. Identify audiences including: recreational and commercial boat users and fishermen; landscapers; adjacent residential homeowners; restaurants; aquarium stores; aquaculture industry; and bait shops.
- C. Identify and incorporate applicable features of existing outreach programs (e.g., Great Lakes Sea Grant) into the development of a program for the sanctuary.
- D. Develop messaging and method of delivery and integrate into other sanctuary outreach materials and education programs.

Potential Partners:

Federal: Point Reyes National Seashore (PRNS), Golden Gate National Recreation Area (GGNRA), NSF Integrative Graduate Education and Research Traineeship Program (IGERT) Intern Program, The National Centers for Coastal Ocean Science (NCCOS), National Marine Fisheries Service (NMFS), United States Fish and Wildlife Service (USFWS), San Francisco Bay National Estuarine Research Reserve (SFBNERR), Farallon National Wildlife Refuge, U. S. Environmental Protection Agency (EPA), United States Coast Guard (USCG), Office of National Marine Sanctuaries (ONMS), MBNMS Sanctuary Integrated Monitoring Network (SIMoN), BOEM (MARINe)

State & County: CA Department of Fish & Wildlife, SWRCB, Regional Water Quality Control Board (RWQCB), Marin Open Space, California Coastal Conservancy, University of California Davis (UCD), California State Lands Commission (CSLC), Sonoma County Water Agency, Sonoma State University, Sonoma County Water Agency, Sonoma Coast State Parks, CalFed, Bodega Marine Laboratory (BML), PISCO, SFSU, Marin County Parks, Bolinas Lagoon Advisory Council, SFSU Romberg Tiburon Center, State Department of Public Health

Other: Audubon, Smithsonian, Point Reyes National Seashore Association (PRNSA), Point Blue, California Academy of Sciences, Berkeley Herbarium, Monterey Bay Aquarium Research Institute (MBARI)

GFNMS INTRODUCED SPECIES

Performance Measures

Strategy Title(s)	Performance Goal	Desired Outcome (Objective)	Outcome Measure	How Measured	Who Measures	Output Measure
STRATEGY IS-1: Develop a native and introduced species inventory.	Maintain an abundance and diversity of native marine/estuarine species: Detect, manage, and where feasible, eradicate new and established introduced species in the sanctuary.	Understand the current extent of introduced species in GFNMS.	To develop a spatial distribution of native species and introduced marine and estuarine species.	1) Complete native and introduced species inventory. 2) Maintain a database on the extent of introduced species in and adjacent to GFNMS. 3) Effectively use inventory as management decision-making tool to control further introductions.	Research Coordinator, Sanctuary Superintendent, Ecosystem Protection Coordinator	1) Native species inventory and introduced species inventory 2) Spatial Web-based database and GIS map of invasives
STRATEGY IS-2: Develop a program to detect introduced species in estuarine environments of the sanctuary. STRATEGY IS-3: Develop a monitoring program to detect introduced species in the rocky intertidal areas of the sanctuary. STRATEGY IS-4: Develop a monitoring program to detect introduced species in the sanctuary.	Maintain an abundance and diversity of native marine/estuarine species: Detect, manage, and where feasible, eradicate new and established introduced species in the sanctuary.	Create a new program and/or coordinate with existing programs to detect and monitor new introductions.	To detect, and thus improve ability to prevent, colonization or spatial expansion of introduced species.	Incorporate identification and monitoring of introduced species into existing monitoring programs, particularly in representative or high profile areas and targeting: known invasives, new species, and those with a likelihood of being established.	Research Coordinator, Education Coordinator, Ecosystem Protection Coordinator	1) Triennial summary reports of monitoring programs 2) GIS map of invasives

Strategy Title(s)	Performance Goal	Desired Outcome (Objective)	Outcome Measure	How Measured	Who Measures	Output Measure
STRATEGY IS-7: Develop a rapid response plan and streamlined permit process to respond to eradication or control of introduced species.	Maintain an abundance and diversity of native marine/estuarine species: To detect, manage, and where feasible, eradicate new and established introduced species in the sanctuary.	Develop management actions to eradicate and/or control existing and new introductions.	1) Improve ability to rapidly respond to, and eradicate or control existing or new introductions in the sanctuary or areas adjacent to the sanctuary. 2) Effective rapid response should prevent the establishment or spread of introduced species.	Stablish a rapid response plan with partner agencies and institutions, including preparedness training. In coordination with other agencies, participate in a streamlined permit process.	Ecosystem Protection Coordinator, partners	1) Rapid response plan manual 2) Permits for pre-approved plans
STRATEGY IS-9: Outreach to targeted audiences on prevention methods.	Maintain an abundance and diversity of native marine/estuarine species: To prevent future introductions of introduced species in the sanctuary.	Identify and control current and potential pathways to prevent new introductions.	Decrease the number of pathways for, and sources of introduced species. Control spreading of already established introduced species.	1) Develop a targeted prevention program directed at user groups and industry in and around sanctuary waters. 2) Through monitoring programs track numbers of new introduced species to determine effectiveness of outreach efforts. See Performance Measures for IS-1-4.	Ecosystem Protection Coordinator, Education Coordinator	1) Outreach materials 2) Best management practices identified in GFNMS special permit conditions

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ECOSYSTEM PROTECTION: IMPACTS FROM FISHING ACTIVITIES ACTION PLAN

ISSUE STATEMENT

Although fishing activities may have impacts on living marine resources, habitats, and ecosystem dynamics, specific impacts to Gulf of the Farallones National Marine Sanctuary (GFNMS) from fishing activities in and around sanctuary waters are not well understood.

Some of the issues related to fishing or harvesting activities to be explored include: (1) impacts from trampling and harvesting of invertebrates in the intertidal; (2) gear impacts on habitats and living resources; (3) impacts on trophic levels from localized depletion of bait fish; and (4) region-wide declines in fish populations.

ISSUE DESCRIPTION

The diversity and abundance of fish and invertebrate species within the sanctuary are largely due to the variety of habitats, including intertidal mudflats, estuaries, rocky shorelines and deeper subtidal areas including shoals, banks, ridges, and the continental slope. The intertidal mudflats support large concentrations of burrowing organisms such as clams, snails, and crabs. Eelgrass beds occur on the more extensive flats of Tomales Bay and within the Esteros. Pacific herring and invertebrates depend on eelgrass beds in Tomales Bay to spawn and feed. The shallow, protected waters of the bays and estuaries are critical habitat for salmon and several species of perch and flatfish. In their journey from the ocean through Tomales Bay and into Lagunitas Creek, the federally listed, threatened Coho salmon depend on clear water, riparian vegetative cover, and a certain size gravel to complete their reproductive process. Accurate characterizations of the deeper subtidal habitats of the sanctuary are limited. Rocky banks in deep water are inhabited by large populations of rockfish, more than fifty species of which occur in the sanctuary. Sablefish and flatfish such as sole, sandab, and halibut are found on offshore soft-bottom habitats. Concentrations of sardines, northern anchovies, krill, and Pacific herring are also found in the sanctuary.

King salmon and rockfish have been the primary target species for sport fishing in GFNMS. On some weekend days, more than 1,000 clam diggers harvest gaper, geoduck, Washington and littleneck clams. The most important commercial harvests within GFNMS have included Pacific herring, salmon, rockfish, and Dungeness crab although currently the Pacific herring fishery only occurs outside GFNMS in San Francisco Bay. Prawn and shrimp harvesting also take place in the area. Most of the commercial catches harvested in GFNMS are landed in San Francisco, Bodega Bay, Oakland, Half Moon Bay, and Sausalito. The tidal community includes a wide

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variety of invertebrates such as barnacles, limpets, black turban snails, mussels, sea anemones, abalone, and urchins, which may be harvested as well. Gear types used in the GFNMS include hook and line, long lines, gill nets, seines, traps, bottom trawlers, and mid-water trawlers.

Management of commercial and recreational fisheries, which generally occur in state waters (0-3 nautical miles), is the responsibility of the California Department of Fish and Wildlife (CDFW. The National Oceanic and Atmospheric Administration (NOAA) National Marine Fisheries Service (NMFS) generally manages fisheries that occur in federal waters (3 to 200 miles). Fisheries management plans produced by each of these agencies may cover both state and federal waters. In contrast, GFNMS does not manage fisheries, but it does have a mandate to protect the entire sanctuary ecosystem and has authority to manage human uses that may impact sanctuary wildlife and habitats.

JURISDICTIONAL SETTING

The following is an overview of the relevant federal and state laws and regulations that may apply to fishing activities. This is not a comprehensive review of all laws and regulations related to fishing activities. Additional fishing regulations apply. The laws and regulations presented in this section are subject to change. For the most recent and applicable information refer to the Commercial Fish Laws and Licensing Requirements, the California Ocean Sport Fishing Regulation Book, the CA Code of Regulations, Title 14 § 632, and Code of Federal Regulations Title 50 § 660.

Federal Law

Magnuson-Stevens Fishery Conservation and Management Act, 16 U.S.C. §§ 1801-1882

General Provisions

The Magnuson-Stevens Fishery Conservation and Management Act, commonly referred to as the Magnuson-Stevens Act (MSA), is the primary federal law governing marine fisheries management in the United States. The MSA was enacted in 1976 and has been amended many times over the years with a notable revision in 1996 including provisions to minimize bycatch (the incidental harvest of non-target species), and promote protection of essential fish habitat and catch and release in recreational fishing. The 1996 MSA revision is often referred to as the Sustainable Fisheries Act or SFA. Revisions in 2006 required an end to overfishing and to prevent overfishing through annual catch limits and accountability measures. The 2006 MSA revision is commonly referred to as the Magnuson-Stevens Reauthorization Act or MSRA.

The PFMC is one of eight regional fishery management councils established by the MSA. Over the last 30+ years, the PFMC has developed four fishery management plans (FMPs) and has addressed a wide range of fisheries issues through amendments to those plans. The four FMPs are focused on groundfish, salmon, coastal pelagics and highly migratory species. The Groundfish FMP covers 90 species of rockfish, flatfish, roundfish, sharks, skates, and others. Chinook and coho are the primary salmon species addressed in the Salmon FMP, while northern anchovy, market squid, Pacific sardine, Pacific mackerel, and jack mackerel are specified in the Coastal

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Pelagic Species FMP. Finally, the Highly Migratory Species FMP authorizes the PFMC to actively manage tunas (north Pacific albacore, yellowfin, bigeye, skipjack, and northern Bluefin), sharks (common thresher, pelagic thresher, bigeye thresher shortfin make and blue) billfish/swordfish (striped marlin, Pacific swordfish) and other highly migratory fishes (dorado). The PFMC also participates in international fishery management organizations such as the International Pacific Halibut Commission, and international commissions tasked with managing migratory tunas (albacore, yellowfin and other highly migratory species).

Coastal Pelagic Species Management

In 2006, the PFMC adopted Amendment 12 to the Coastal Pelagic Species Management Plan, which resulted in a complete ban on commercial fishing for all species of krill in West Coast federal waters. Amendment 12 also specified essential fish habitat for krill, an action that makes it easier to work with other federal agencies to protect krill. State laws prohibit krill landings by state-licensed fishing vessels in California, Oregon, and Washington. This broader prohibition applies to all vessels in PFMC managed waters.

Groundfish Management

The Groundfish FMP contains the rules for managing the groundfish fishery. It outlines the areas, species, regulations, and methods that PFMC and NMFS must follow to make changes to the fishery. A biennial management process was implemented in 2003 through an amendment 17 to the FMP. The biennial cycle implements management measures for a two-year period, rather than just for one year. Separate harvest specifications (allowable biological catch and optimum yield) are identified for each year in the two-year period. This cycle provides more time for PFMC and NMFS to work on other critical groundfish issues, and more time for public comment (NOAA 2006).

Groundfish are managed through numerous management measures including harvest guidelines, quotas, trip and landing limits, area restrictions, seasonal closures, and gear restrictions (such as minimum mesh size for nets and small trawl footrope requirements for certain areas. The trawl sector of the groundfish fishery recently shifted to an individual fishing quota (IFQ) system and harvest co-operative program that was implemented in 2011. This program is expected to reduce harvest capacity in the fishery, to make the fishery more efficient, and to lower bycatch in the fishery. All sectors of the groundfish fishery are currently constrained by the need to rebuild groundfish species that have been declared overfished (widow rockfish, canary rockfish, yelloweye rockfish, darkblotched rockfish, bocaccio, Pacific ocean perch, and cowcod). Rebuilding plans have been developed to help these species recover. Because of the low available harvest of species managed under rebuilding plans, the overall groundfish harvest has been significantly reduced.

Since 2003, several groundfish conservation areas have been implemented through regulation by NMFS to reduce overfishing on various groundfish species (NOAA 2006). A groundfish conservation area is defined by NMFS as "any closed area intended to protect a particular groundfish species or species group or species complex." The Rockfish Conservation Areas (RCA) are the only groundfish conservation areas in the study area. The RCAs are large area

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closures intended to protect overfished shelf rockfish species (e.g. canary and yelloweye rockfish). The RCAs have boundaries defined by specific latitude and longitude coordinates that approximate depth contours over the shelf and differ between gear types, for example trawl, non-trawl and recreational RCA, which vary throughout the year with cumulative limit periods. A core area has protected a region over the shelf for more than a decade.

Based on recommendations within amendment 19 of the Pacific Coast Groundfish fishery management plan, in 2006 NMFS implemented essential fish habitat (EFH) for groundfish. To minimize impacts on ecologically important habitats of groundfish EFH, NMFS implemented areas closed to bottom trawl gear or all bottom contact gear (trawl and other bottom tending gear). There are currently 50 such closed areas on the west coast and four bottom trawl closed areas within GFNMS: Point Arena North, Point Arena South and Fanny Shoal/Farallon Island Closed Areas, and portions of the Bottom Trawl Footprint Closure. The latter covers all areas westward of the 1280 m (700 fathom) contour out to the 3500 m (1914 fathom) contour, within the EEZ. The Bottom Trawl Footprint Closure was designed to minimize adverse fishing effects on EFH, by freezing the footprint of where trawling occurred in 2004. The PFMC is currently in the process of reviewing current groundfish EFH designations.

State Law

Marine Life Management Act

California's Marine Life Management Act (MLMA) regulates the harvest of California's marine living resources, including commercial fisheries. The fishery management system established by the MLMA applies to four groups of fisheries.

- 1. The nearshore finfish fishery and the white seabass fishery;
- 2. Emerging fisheries new and growing fisheries that are not currently subject to specific regulation;
- 3. Those fisheries for which the Fish and Game Commission held some management authority before January 1, 1999. Future regulations affecting these fisheries will need to conform to the MLMA; and
- 4. Those commercial fisheries for which there is no statutory delegation of authority to the Fish and Game Commission and Department (CDFG 2004a).

The California Aquaculture Development Act

The California Aquaculture Development Act of 1979 established the California Department of Fish and Wildlife (CDFW) as the lead agency for aquaculture in the state. In 1982, legislation was passed that provided guidelines and authority for aquaculture regulations developed by the Fish and Game Commission. These guidelines and authority for aquaculture regulations are in California Code of Regulations, Title 14, Natural Resources: Division 1. Fish and Game Commission – Department of Fish and Wildlife. These regulations are referred to as Title 14.

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CDFW is responsible for issuing leases and permits for specific aquaculture activities and coordinating with two committees, the Aquaculture Development Committee and the Aquaculture Disease Committee, which exist for the purpose of interaction among sectors of the aquaculture industry and government regulatory agencies.

There are several other state agencies that have regulatory authority over certain aspects of aquaculture. They include the California Departments of Health Service and Food and Agriculture (disease and health), the State Lands Commission (leased lands), the Coastal Commission (coastal uses and public recreation and access), and the State Water Resources Control Board (water quality).

In federal waters NOAA, US Army Corps of Engineers, EPA, DOI, USDA and the US Department of Health and Human Services all have various jurisdictional oversight over aquaculture facilities and operations. There is also pending legislation relating to aquaculture in offshore waters.

California Code of Regulations, Title 14 Division 1

The Fish and Game Commission has broad authority under Title 14 to establish regulations that restrict both sport and commercial fishing and otherwise afford protection to marine organisms and habitats. This includes the establishment of a network of marine protected areas (MPAs) in California waters to protect habitats and preserve ecosystem integrity. California MPAs within GFNMS have been in effect since May 1, 2010 and include three types of MPA designations: 1) state marine reserves, in which it is unlawful to injure, damage, take, or possess any living, geological, or cultural marine resource, except under a scientific collecting permit or specific authorization from the California Fish and Wildlife Commission for research, restoration, or monitoring purposes; 2) state marine conservation areas, in which it is unlawful to injure, damage, take, or possess any living, geological, or cultural marine resource for commercial or recreational purposes, or a combination of commercial and recreational purposes except as specified; and 3) state marine recreational management areas, in which it is unlawful to perform any activity that would compromise the recreational values for which the area may be designated. Recreational opportunities may be protected, enhanced, or restricted, while preserving basic resource values of the area. No other use is restricted unless specified.

Restricted Access Fisheries

Restricted access programs in fisheries limit the quantity of persons, vessels, or fishing gear that may be engaged in the take of a given species of fish or shell fish. Restricted access may also limit the catch allocated to each fishery participant through harvest rights such as individual or community quotas. A primary purpose of restricted access programs is to balance the level of effort in a fishery with the health of the fishery resources. In most situations, except harvest rights, this involves setting an appropriate fishery capacity goal.

California's Fisheries Management Programs

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In 1977, California focused its first limited access program on the abalone fishery, followed in 1979 with legislation requiring salmon limited entry permits. In the 1990s, industry began to demand more restricted access programs, so the California Department of Fish and Wildlife (CDFW) began to address restricted access in a comprehensive manner. In 1996, a limited entry review committee was formed to develop a standard restricted access policy for the Fish and Game Commission. The commission approved the restricted access policy in June 1999.³

Since the passage of the Marine Life Management Act (MLMA) of 1998, which became law on January 1, 1999 and the commission's subsequent adoption of the restricted access policy in 1999, more restricted access program responsibility has shifted from the legislature to the commission and CDFW, including the management of nearshore finfish and Market Squid. The CDFW works closely with constituent advisory committees and task forces to carefully design and evaluate restricted access plans for submission to the commission. The commission then conducts hearings for further public input. The plan is then returned to the CDFW and advisory groups for any necessary revisions before going to the commission for final approval. The legislature is involved and informed with fisheries that require legislation to implement restricted areas, such as the Dungeness crab fishery.

ECOSYSTEM PROTECTION: FISHING ACTIVITIES GOALS

Maintain an abundance and diversity of native marine/estuarine/intertidal species:

- 1. Better understand the impacts from fishing activities on sanctuary ecosystems.
- 2. Support fishing that is compatible with sanctuary goals and ecosystem protection.

ECOSYSTEM PROTECTION: FISHING ACTIVITIES OBJECTIVES

- 1. Based on the best available scientific and socioeconomic information, the sanctuary will facilitate the evaluation of the status and trends in marine populations (and their causes) in sanctuary waters; and identify and evaluate impacts on sanctuary ecosystems from fishing activities.
- 2. GFNMS will facilitate the protection of cultural resources and wildlife and habitats in its boundaries; and strive to maintain native biodiversity and the health and balance of the sanctuary ecosystem.

ECOSYSTEM PROTECTION: FISHING ACTIVITIES ACTION PLAN

STRATEGY FA-1: Develop an ecosystem characterization of the sanctuary to better understand types and distributions of habitats, species, and processes.

Activity 1.1 Modify the Applied California Current Ecosystem Assessment Studies (ACCESS) and develop additional research components as necessary to build a baseline characterization and regional monitoring of the sanctuary including habitat, physical, and biological characteristics.

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- A. ACCESS will systematically survey and assess the distribution and abundance of marine birds, sea turtles and marine mammals. The study will simultaneously assess ocean habitat, and biological productivity. Additional components will include:
 - Habitat characterization including mapping substrate type/bathymetry (static);
 - Biological characterization including species abundance and distribution, spatial and temporal; and
 - Physical characterization including oceanographic features (spatial and temporal) and pelagic (dynamic).
- B. Use GIS as a tool to characterize sanctuary habitats, species, and processes.

Activity 1.2 Conduct workshops to develop a coordinated plan for regional monitoring and ocean observing system activities to supplement the NMFS five-year surveys (per recommendations developed during the marine mammal/seabird workshop in December 2002). These workshops will develop a plan to expand appropriate methodologies for monthly and annual ocean observing and trophic structure surveys across all five West Coast sanctuaries.

Activity 1.3 Based upon available ship time, facilitate expansion of California Cooperative Oceanic Fisheries Investigations (CalCOFI) transect lines through the five West Coast sanctuaries.

STRATEGY FA-2: Evaluate impacts from fishing activities on sanctuary resources.

Activity 2.1 Evaluate types and levels of impacts from fishing activities. Consider the following factors when conducting an evaluation:

- 1. Habitat impacts (physical)
- 2. Habitat impacts (biological)
- 3. Levels of bycatch (invertebrates, biogenic habitat, shellfish and crabs, finfish, sharks, marine mammals, seabirds and sea turtles, juvenile life stages)
- 4. Impacts associated with species' life history (such as aggregated behavior during spawning)

STRATEGY FA-3: Develop policy recommendations or management action(s) to address impacts from fishing activities on sanctuary resources.

Activity 3.1 If there is an indication of potential significant negative impacts on sanctuary resources from fishing activities, then evaluate and make recommendations on actions the sanctuary should take to address impacts from specific activities. A stakeholder-based, working group could be convened by the sanctuary advisory council, which could include: resource management agencies, interest groups, user groups, fishermen representing different gear types,

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and the scientific community. The working group could make recommendations to the sanctuary advisory council based on best available scientific and socioeconomic data.

STRATEGY FA-4: Develop public awareness about the value and importance of the historical and cultural significance of maritime communities and their relationship and reliance on healthy sanctuary waters.

Activity 4.1 Develop a maritime heritage and fishing community model.

- A. Identify an appropriate marina or harbor to profile as a living maritime community.
- B. Work together with the fishing community, businesses, chambers of commerce and local government to develop a marketing and outreach plan to profile the fishing community, the associated working harbor, and their relationship to the sanctuary and its healthy marine resources. The plan may include workshops, signage, kiosks, events, attractions, and activities. The plan will also articulate clear and consistent messages.
- C. Educate the community about local fishing practices and the role of consumers. Work with the fishing community to promote compatible fishing practices in the sanctuary.

STRATEGY FA-5: Maintain consistent and coordinated region-wide sanctuary representation at the Pacific Fishery Management Council and Fish and Game Commission meetings.

Activity 5.1 Maintain a regional sanctuary representative to attend Pacific Fishery Management Council (PFMC) and Fish and Game Commission (FGC) meetings and participate as appropriate.

- A. Inform and update the PFMC and FGC on current activities and emerging fishing issues in GFNMS as appropriate.
- B. Listen and track issues PFMC and FGC are addressing.
- C. Create briefing packets, as appropriate, for the PFMC and FGC on sanctuary activities.

Potential Partners:

Federal: National Park Service (NPS), National Marine Fisheries Service (NMFS), Bureau of Ocean Energy Management (BOEM), United States Geological Survey (USGS), National Oceanographic Data Center (NODC), Southwest Environmental Response Management Application (ERMA)(SHIELDS), Office of Law Enforcement (OLE), The National Centers for Coastal Ocean Science (NCCOS), sanctuary advisory council, Sea Grant, NOAA MPA Center, Naval Postgraduate School (NPS)

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State & County: CA Department of Fish and Wildlife, California Department of Boating and Waterways (CDBW), Central California Ocean Observing Systems (CeNCOOS), Partnership for Interdisciplinary Studies of Coastal Oceans (PISCO), Moss Landing Marine Laboratories (MLML), California Species of Special Concern (CSC)

Other: Pacific Fisheries management Council (PFMC), fishing community, visitors bureaus, tourism industry and business community, Farallones Marine Sanctuary Association (FMSA), Point Blue, Center for Integrated Marine Technology (CIMT), various marine laboratories and research institutions, commercial and recreational fishing interests, conservation community, agricultural landowners, the STRAW Project, Friends of the Esteros, Environmental Action Committee of West Marin, Sonoma Land Trust, MALT

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GFNMS ECOSYSTEM PROTECTION: IMPACTS FROM FISHING ACTIVITIES

Performance Measures

Strategy Title(s)	Performance Goal	Desired Outcome (Objective)	Outcome Measure	How Measured	Who Measures	Output Measure
STRATEGY FA-1: Develop an ecosystem characterization of the sanctuary to better understand types and distributions of habitats, species and processes.	and diversity of native marine/estuarine/ intertidal species: 1) Better understand the impacts from fishing activities on sanctuary resources.	Based on the best available scientific and socio- economic information, the sanctuary will: 1) facilitate the evaluation of the status and trends in marine populations (and their causes) in sanctuary waters; and 2) identify and evaluate impacts on sanctuary resources from fishing.	communities of the sanctuary.	Complete site characterization including: detailed oceanographic climatology; clear delineation of habitat types and distribution; and relative abundance and distribution of species.	Research Coordinator, Ecosystem Protection Coordinator	Oceanographic climatology report with effective maps and graphics; fine scale bathymetric and habitat maps; technical data summary on species distribution and abundance
STRATEGY FA-3: Evaluate impacts from fishing activities on sanctuary resources. STRATEGY FA-4: Develop policy recommendations or management action(s) to address impacts.	and diversity of native marine/estuarine/ intertidal species: 1) Better understand the impacts from fishing activities on sanctuary resources. 2) Allow for fishing that is compatible with sanctuary goals and ecosystem protection.	Based on the best available scientific and socioeconomic information, the sanctuary will: 1) facilitate the evaluation of the status and trends in marine populations (and their causes) in sanctuary waters; 2) identify and evaluate impacts on sanctuary resources from fishing, and 3) identify and develop appropriate actions to address any negative impacts from fishing activities on sanctuary resources.	carry out a consistent and systematic evaluation of impacts	Develop series of management or policy response categories	Sanctuary Superintendent, Ecosystem Protection Working Group, sanctuary advisory council	Compatibility index matrix

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Strategy Title(s)	Performance Goal	Desired Outcome (Objective)	Outcome Measure	How Measured	Who Measures	Output Measure
Bring public awareness to the value and importance of maritime communities.	and diversity of native marine/estuarine/ intertidal species: 1) Allow for fishing that is compatible with sanctuary goals and ecosystem protection.	The sanctuary will seek to facilitate the management of fisheries resources within its	fishing communities in and around the sanctuary.	heritage and fishing community model plan.	Sanctuary Superintendent, Education Coordinator, sanctuary advisory council	Signs, kiosks, workshops, attractions, events and activities



IMPACTS FROM VESSEL SPILLS ACTION PLAN

ISSUE STATEMENT

There is a continuing risk of vessel spills that could impact marine mammals, seabirds and other natural resources in and around Gulf of the Farallones National Marine Sanctuary (GFNMS). Recognizing that spills and discharge of materials can occur from any transiting vessel as they all carry crude oil, bunker fuel, and/or other hazardous material or cargo, GFNMS will take every opportunity to enhance prevention and improve response efforts to offset impacts from potential cumulative and catastrophic events.

ISSUE DESCRIPTION

The volume of large vessel traffic in and out of San Francisco Bay is significant. According to USCG unpublished data from the USCG Automatic Identification System (AIS) Vessel Traffic Service, in 2012 a total of 7,450 vessels transited in and out of San Francisco Bay. AIS vessel traffic patterns in and out of San Francisco Bay capture information on all vessels over 300 gross tons, which includes tugs, tanker ships, cruise ships, container vessels, military craft and research vessels.

In the past decade, the sanctuary has seen an increase in cruise ship traffic (see Wildlife Disturbance Action Plan for more information). In 2012 California ports handled an estimated 700 cruise ship port calls. The Port of San Francisco experienced steady gains in cruise ship traffic, from 44 calls and 56,968 passengers in 1994 to 65 calls and 195,000 passengers in 2012 (SFPORT 2013). Itineraries from San Francisco include round trip cruises to Alaska and Mexico.

Historically, the total number of spills from large transiting vessels is small, but the potential impacts are enormous, given the number and volume of vessels and the hazardous cargo lane's proximity to the Farallon Islands and major seabird and marine mammal populations. Large commercial vessels (LCVs) are of particular concern for spills because in addition to their cargo, they can carry up to 1 million gallons of bunker fuel, a heavy, viscous fluid similar to crude oil, which they use for fuel. According to the 2012 Preliminary Report of California Oil and Gas Production Statistics, published by the California Department of Conservation Division of Oil, Gas, and Geothermal Resources, California produced approximately 197.5 million barrels oil in 2012 (California Department of Conservation, 2013). In addition to this significant amount of oil production, California refines an even larger amount of oil annually, thus, there is considerable risk of vessel spills from oil tankers transiting through California waters.

Large cruise ships can also be a source of vessel discharge. Cruise ships are regulated by state and federal laws and regulations aimed at reducing air pollution, graywater, sewage, sewage sludge, and hazardous waste. However, a cruise ship spill could result in discharge of large volumes of untreated sewage and untreated graywater into the Sanctuary.

Smaller vessels, including recreational vessels or commercial fishing vessels smaller than 300 gross tons also pose a threat. In many cases the harm is localized to a particular location or set of isolated locations. However, when small spills happen in the vicinity of a particularly sensitive environment such as a rocky reef, estuary or shallow bay including areas like Duxbury Reef, Bolinas Lagoon or Tomales Bay, the impacts can be substantial. Data from 2000 to 2011 show that about 200 commercial fishing vessels make landings in the ports adjacent to the sanctuary on an average annual basis. There are thousands of recreational vessels in marinas, harbors, and moorings within and adjacent to the sanctuary that can also transit sanctuary waters.

SIGNIFICANT RESOURCES AND IMPACTS FROM VESSEL SPILLS

GFNMS was designated in 1981 to protect significant concentrations of seabirds and aquatic birds; marine mammals (pinnipeds and cetaceans); fish; marine flora (algae); benthic fauna; and estuarine environments.

The sanctuary has diverse biological communities in close proximity to one another. Habitats within the sanctuary include rocky intertidal, sandy beach, estuarine, pelagic (open ocean), benthic (sea floor), and islands. The variety and size of habitats support a high diversity and abundance of species. The intertidal mudflats support large concentrations of burrowing organisms such as clams, snails, and crabs. Seagrass beds occur on the more extensive flats of Tomales Bay, Bolinas Lagoon and also within the Esteros. Pacific herring and invertebrates depend on seagrass beds in the Bay to spawn and feed. The shallow, protected waters of the bays and estuaries are critical habitat for salmon and several species of perch and flatfish. In their journey from the ocean to the Russian River, Tomales Bay and into Lagunitas Creek, the federally-listed, threatened Coho salmon depend on clear water, riparian vegetative cover, and a certain size gravel to complete their reproductive process.

The tidal community includes a wide variety of invertebrates and marine plants and algae, such as barnacles, limpets, black turban snails, mussels, sea anemones, abalone, and urchins, which may be harvested. The intertidal zone is an important breeding ground, spawning and feeding area for many marine organisms. Impacts from oil and other spills including cargo vessel containers in the intertidal zone may include smothering of benthic biota, and fouling or poisoning of organisms.

Accurate characterizations of the various habitats of the sanctuary are limited. Rocky banks in deep water are inhabited for the most part by large populations of rockfish, more than fifty species of which occur in the sanctuary. Sablefish and flatfish such as sole, sandab, and halibut are found on offshore soft-bottom habitats. Concentrations of sardines, Northern anchovies and Pacific herring are also found in the sanctuary. A spill resulting in a surface slick could affect upper water biota such as squid, Northern anchovy, Jack Mackerel, and the pelagic portion of the

planktonic food chain. Heavier oils and chemicals that sink could affect shellfish such as crabs or lobster and finfish such as flounders and sole.

Sensitive Species of the Sanctuary

The sanctuary's habitats are home to a number of species that are federally-listed as endangered or threatened. The list includes highly recognized species such as blue and humpback whales, Marbled Murrelets, and Coho and Chinook salmon, as well as lesser-known species such as the Tidewater Goby and Short-tailed Albatross. Of particular concern to the GFNMS are impacts on seabirds and marine mammals from potential vessel spills.

Seabirds

The nesting seabird population is a significant natural resource of the sanctuary. The Farallon Islands support the largest concentration of breeding seabirds in the contiguous United States. These birds forage in the Gulf of the Farallones, and are highly dependent on the productive waters of the sanctuary. Of the 164 species of birds known to occur in the sanctuary, 12 species of seabirds have breeding colonies on the Farallon Islands and feed in the sanctuary. These include Ashy and Leach's Storm-Petrels; Brandt's, Pelagic, and Double-crested Cormorants; Western and California Gulls; Common Murres; Pigeon Guillemots; Cassin's Auklets; Rhinocerous Auklets; and Tufted Puffins. Other birds breeding on the Farallon Islands include Black Oystercatchers (a shorebird), Rock Wren, Common Ravens, and Peregrin Falcons.

Floating oil affects seabirds through ingestion, inhalation, irritation of eyes and membranes, and fouling of feathers. Feather contamination is the primary cause of immediate mortality because of the resulting inability to fly, avoid predators, and forage underwater. It also lowers body temperature due to loss of insulation. Birds may also ingest oil while preening or grooming contaminated feathers. Vulnerability of different species of birds to surface oil is based on several factors, including their likeliness to dive in the water and flock on the surface. To some extent, all marine birds that breed in large colonies are vulnerable to contact with floating oil during the nesting season due to their large congregations. Indirect effects to birds may include accumulation of toxic components from their food, exposure to secondary chemicals (dispersants), and destruction of habitat or prey resources.

Marine Mammals: Pinnipeds

Thirty-six species of marine mammals have been observed in GFNMS, including six species of pinnipeds (seals and sea lions). Many of these animals occur in large concentrations and are dependent on the productive and secluded habitats for breeding, pupping, feeding, hauling-out, and resting during migration. The Farallon Islands provide habitat for breeding populations of five species of pinnipeds, and support one of the largest concentrations of California sea lions and northern elephant seals within the sanctuary.

Harbor seals breed on the Farallon Islands and in mainland rookeries. The Gulf of the Farallones region contains one-fifth of the California population of harbor seals, which was estimated at 30,000 in 2012.

For more than 170 years prior to 1996, northern fur seals (*Callorhinus ursinus*) had not been known to breed on the Farallon Islands, but in recent years, a colony has resumed breeding on the South Farallon Islands during the summer. As of August 2012, this colony was estimated to contain 521 individuals, 201 of which were pups. From November to June, thousands of female and immature fur seals migrate through the western edge of the sanctuary along the continental shelf. Of all the marine mammals in the sanctuary, fur seals are the most sensitive to oil spills because they depend largely on their fur for insulation.

Recently delisted from the threatened status, Steller sea lions occur year-round in the sanctuary. This population has decreased dramatically in the southern part of its range, which includes the Farallon Islands. The decline throughout the Gulf of the Farallones and California has amounted to 80 percent over the past thirty years. The California sea lion is the most conspicuous and widely distributed pinniped in the sanctuary. It is found year-round in the Gulf with the population increasing at about 8-12 percent each year. The northern elephant seal is the largest pinniped species in the sanctuary, with a total breeding population in the sanctuary of about 1,700 individuals².

Impacts to pinnipeds from floating oil include inhalation, fouling of fur, ingestion, and irritation of eyes and membranes. Particularly detrimental to pinnipeds is the contamination of fur that may cause loss of buoyancy and impairment of normal thermal regulation.

Marine Mammals: Cetaceans

Twelve cetacean species are seen regularly in the sanctuary, and of these, the minke whale, harbor porpoise, Dall's porpoise, and Pacific white-sided dolphin are considered year-round residents. The harbor porpoise is the most abundant small cetacean in the Gulf of the Farallones, with approximately 9,000 porpoises in the central California region.

Gray whales and other large baleen and toothed whales migrate from Alaska southward through the sanctuary. The northward migration of gray whales begins at the end of February and peaks in March. A few gray whales remain in the sanctuary during the summer. An increasing number of other species have been seen feeding in the sanctuary between April and November, including humpback and blue whales, representing one of the largest congregations of whales in the Northern Hemisphere.

Although the effects of oil on cetaceans are not well understood, it is believed the oil could cause both short- and long-term impacts. For example, because baleen whales are filter feeders, they are susceptible to direct ingestion of oil, oil-covered substances, and oil spill remediation chemicals such as dispersants and bioremediation agents. It is also thought that oil may irritate

National Park Service, 2013, unpublished data

² Berger, R. W. 2013. Population Size and Reproductive Performance of northern elephant seals on the South Farallon Islands, 2012-2013. Unpublished report to the US Fish and Wildlife Service. PRBO Conservation Science, Petaluma, California. PRBO Contribution Number 1932.

the eyes of whales and possibly interfere with breathing. Some whales, such as grey whales, have been seen avoiding slicks, while others have been found with oiled baleen.

Socioeconomic, Cultural, and Historical Resources Impacts

A large oil spill could pose a potentially serious threat to commercial and recreational industries such as fishing, especially in or near valuable fishing areas; and wildlife viewing/tourism, including whale watching, shark tourism, and diving. The type and extent of impacts depend on timing with respect to spawning season, migration patterns, oil type (solubility or toxicity), and prevailing weather conditions. A large spill can also impact historical resources including submerged archaeological sites, such as underwater shipwrecks.

Dispersants

During an oil spill, responding agencies may choose to use chemical dispersants after an evaluation of environmental tradeoffs for all potentially impacted resources in the spill zone. Chemical dispersants are used to accelerate the natural dispersion of oil into the water column in order to reduce environmental impacts associated with surface slicks (e.g., impacts to marine mammals, seabirds, marshes), to enhance removal of oil from the environment through biodegradation, and to rapidly reduce toxicity through dilution. The potential impact from the use of chemical dispersants on wildlife is a complex issue and more research needs to be done. Generally, the use of chemical dispersants introduces higher total concentrations of petroleum hydrocarbons into the water column than naturally dispersed oil (e.g. from wind and wave action). This higher concentration may have a larger footprint and potentially impact a wider range of species that would not likely have been exposed or affected by the surface oil slick. Dispersed oil can expose fish in the water column to potential toxic effects. Nearly all chemicals are toxic at some concentration. Assessing the toxicity of oil at the surface or chemically dispersed oil in the water column depends upon environmentally-relevant concentrations. It is likely that a dispersed oil plume generated by an offshore dispersant operation will rapidly be diluted to concentrations not expected to be problematic to most species within the water column or bottom habitats. The negative impacts on certain species may be localized; however, given their wide larval distribution there may not be long-term/regional impacts or population-level effects from local dispersant use.

Different organisms and life stages have varying sensitivities. Both embryo-larval stages and early juvenile life stages of wildlife are generally more sensitive to chemicals than are adults of the same species. Many California endemic species have been used in toxicity studies involving oil and dispersants (including red abalone, giant kelp, mysid shrimp, Chinook Salmon, and Top Smelt). Species of concern found in the Gulf of the Farallones that have not had toxicity test data include black abalone and Dungeness crab. Most zooplankton populations are not likely to be permanently affected by oil spills and are expected to recover due to their high population numbers and wide distribution.

Water containing dispersed oil droplets and oil that reaches the gills of fish can also potentially cause effects through ingestion and respiration. Juvenile out-migrating salmon are potentially

more vulnerable to oil and dispersed oil due to increased residency time in the Gulf of the Farallones and generally slower swim speeds. Rockfish are found wherever suitable habitat is located in the sanctuaries. Rockfish do not move widely and are considered more vulnerable to oil spills locally, but are generally found at depths that provide significant dilution for dispersed oil.

There is much information on the potential effects of oiling on birds but little information on the effects of dispersants or chemically dispersed oil on feathers or ingestion at environmentally-realistic concentrations. Indirect effects to birds may include accumulation of toxic components from their food, exposure to secondary chemicals (dispersants), and destruction of habitat or prey resources.

JURISDICTIONAL SETTING

The following is an overview of the relevant federal and state laws and regulations that may apply to vessel spills. This is not a comprehensive review of all laws and regulations related to vessel spills, and additional regulations could apply. The laws and regulations presented in this section are subject to change.

Federal Law

Oil Pollution Control Act, 33 U.S.C. § 2701 et seq.

The Oil Spill Prevention Act (OPA) regulates discharges of oil or oily mixtures from vessels. Except for discharges from machinery space bilges, tankers subject to the OPA may not discharge oil or oily mixtures unless they are 50 nautical miles from the nearest land and the total quantity of oil discharged cannot exceed 1/15,000 of the total cargo capacity. In addition, an oil discharge by any vessel regulated by the OPA must be made while the vessel is en route. The instantaneous discharge rate must not exceed 60 liters per mile.

The USCG is the federal government's primary maritime law enforcement agency. The USCG's missions include maritime law enforcement, national security, maritime safety, and marine environmental protection. For ocean and coastal activities, the USCG manages maritime transportation activities in order to minimize loss of life and injury to the environment. The USCG has historically held the primary responsibility for ensuring cleanup of any oil spill or other pollutants in the marine environment. The USCG requires vessels to have approved response plans detailing owner and operator response to an oil spill and ensuring proper response activities. Vessels are also required to have salvage and firefighting plans in place, these are necessary to prevent incidents from becoming spills. To avert oil spills and promote safety, the USCG inspects vessels carrying oil and other hazardous materials. Pursuant to OPA, which defines ground rules for dealing with oil pollution events and recommends pollution prevention measures, the USCG has responsibility for preparing most of the regulations necessary to implement OPA. Additionally, the USCG must be consulted in the development of oil spill contingency plans for marine oil and gas facilities and terminals. OPA allows for natural resource damage assessment recoveries and subsequent restoration by federal, state and tribal and state resource trustees.

Ports and Waterways Safety Act 33 U.S.C. §§ 1221 et seq.

The Ports and Waterways Safety Act (PWSA) is designed to promote navigation and vessel safety and the protection of the marine environment. The PWSA authorizes the USCG to establish vessel traffic services and systems for ports, harbors, and other waters subject to congested vessel traffic. The San Francisco Vessel Traffic Separation Schemes (VTSS) are designed to prevent vessel collisions by separating vessels going in opposite directions. Outside the traffic lanes, vessels may proceed in any direction consistent with good seamanship.

State Law

Lempert-Keene-Seastrand Oil Spill Prevention and Response Act

The Office of Spill Prevention and Response (OSPR) was created within the California Department of Fish and Wildlife (CDFW) as the lead state agency charged with oil spill prevention and response. The OSPR Administrator has substantial authority to direct spill response, cleanup, and natural resource assessment activities in state waters. Although OSPR is the lead state agency for oil spill prevention and response, this responsibility is shared with twenty-two agencies represented on the State Interagency Oil Committee. OSPR is involved in a variety of programs to prevent spills in the marine environment. One of the most important prevention programs is the harbor safety committee process established to reduce risk of marine vessel accidents within or on approach to the major harbor facilities. In conjunction with navigation safety, OSPR is also working with the USCG regarding evaluation of vessel traffic routing and other safety measures to reduce pollution incidents off the coast of California.

LARGE VESSEL OIL SPILLS IN THE GULF OF THE FARALLONES

1971	Two vessels collide under Golden Gate Bridge (840,000 gallons of Bunker C oil)
1984	T/V <i>PUERTO RICAN</i> (1.4 million gallons of oil, stern sunk with 8,500 barrels of bunker fuel, estimated 2,873 birds killed, including 1,856 Common Murres)
1986	T/V <i>APEX HOUSTON</i> (oil barge, 25,500 gallons of oil between San Francisco and Long Beach, 9,000 birds including 6,000 Common Murres killed)
1990	Two mystery spills from San Francisco to Monterey County, source and amounts undetermined
1996	S/S CAPE MOHICAN (estimated 39,890 gallons of oil, 7,000 birds killed)
1997-8	S/S JACOB LUCKENBACH/ Point Reyes Tarball Incident (oil washes onto beaches from Salmon Creek to Pillar Point), later determined to be part from the S/S JACOB LUCKENBACH which sunk in 1952

- 1998 T/V *COMMAND* (3,000 gallons heavy crude or bunker oil, estimated 11,193 birds killed, 75 percent of which were Common Murres)
- 1990-2002 S/S *JACOB LUCKENBACH* (clean up and removal of approximately 100,000 gallons, (2,380 bbl), occurred summer of 2002, however, it is estimated that more than 300,000 gallons of bunker fuel oil chronically leaked into the sanctuary from the sunken vessel between 1953 and 200.) 2) An estimated 29,000 gallons remains on board in inaccessible areas.
- 2007 M/V *COSCO BUSAN* (53,000 gallon bunker oil spill in San Francisco Bay that spread into the sanctuary.)

VESSEL SPILLS GOAL

1. Reduce the risk to sanctuary natural resources from spills.

VESSEL SPILLS OBJECTIVES

- 1. Assess level of risk from vessel traffic and determine whether improvements can be made to reduce risk.
- 2. Develop long-term monitoring programs within the sanctuary to identify trends and take proactive measures to reduce risk from vessel spills.
- 3. Review current response programs and identify areas of improvement, focusing on sanctuary resources at risk.
- 4. Develop outreach program for maritime industry, fishing, and recreational boating communities based on risk assessment and long-term monitoring results.
- 5. Provide for continuous evaluation and leverage opportunities for improvement in coordination with partners.

VESSEL SPILLS ACTION PLAN

STRATEGY VS-1: Expand Monterey Bay National Marine Sanctuary (MBNMS) drift analysis model to include Point Arena and Mendocino.

Activity 1.1 Expand MBNMS drift analysis model north to Point Arena/Mendocino using existing data. The current model of vessel drift rates and tug response times only extends as far north as San Francisco Bay. Seasonal variability and coverage north to Mendocino is necessary to protect GFNMS.

A. Work with the Naval Postgraduate School (NPS) in Monterey (producers of the current model) and investigate feasibility of extending the model north and including seasonal

variability and consult with NOAA Office of Response and Restoration (ORR) to ensure compatibility with NOAA's GNOME and TAP spill trajectory models.

STRATEGY VS-2: Refine oceanographic data used in existing spill and drift model to increase accuracy of risk assessments.

Activity 2.1 Revise existing oceanographic circulation model to reflect the unique fine-scale features of the Gulf of the Farallones and consult with NOAA ORR to ensure compatibility with NOAA's GNOME and TAP spill trajectory models.

A. Work with NOAA ORR, MBNMS, USCG, and other relevant partner agencies to develop recommendations for installing current meters at the appropriate sites.

STRATEGY VS-3: Evaluate recent vessel routing changes.

Activity 3.1 Evaluate how the vessel routing adjustments have affected GFNMS, what lessons have been learned, and what improvements could be made.

- A. Examine current Vessel Traffic System (VTS) data from USCG, collect information from Automated Identification System (AIS), determine if revised lanes are being used correctly and, if not, then determine if a correction needs to occur (e.g., education, send information to Port Access Route Studies [PARS]).
- B. Make recommendations to USCG based on findings of the evaluation.

STRATEGY VS-4: Track distribution and numbers of species of concern and habitats in relation to probable spill trajectories.

Activity 4.1 Refine resources-at-risk analysis for Gulf of the Farallones. The resources-at-risk assessments define the seasonal distribution and numbers of sensitive species and habitats in relation to probable spill trajectories.

Activity 4.2 Modify ACCESS and develop additional research components as necessary to build a baseline characterization and to monitor sanctuary habitats and physical and biological characteristics. This information will also be used for natural resource damage assessment and restoration of pelagic species, including trophic levels, spill response and the use (applicability) of dispersants and in-situ burning.

- A. ACCESS will: (1) systematically survey and assess the distribution and abundance of marine birds, mammals, and krill; (2) simultaneously assess ocean habitat; and (3) simultaneously assess biological productivity. Additional components to include:
 - 1. Habitat characterization including mapping substrate type/bathymetry (static)
 - 2. Biological characterization including species abundance and distribution, spatial and temporal

- 3. Physical characterization including oceanographic (spatial and temporal), and pelagic (dynamic) features
- 4. Monitoring to detect changes in spatial and temporal oceanographic features and biological sentinel species for historic comparison with injury assessment

STRATEGY VS-5: Participate in Area Contingency Planning and engage in NMSA consultation during the revision of the Area Contingency Plans (ACPs) in the region to address risks to sanctuary resources.

Activity 5.1 Review Regional Response Plan (RRP) and ACPs, including location of Oil Spill Response Organization (OSRO) pre-positioned response equipment.

A. Participate in SF Bay Area Contingency Meetings and Wildlife Operations meetings.

Activity 5.2 Review and compile all available information from reports, management/response plans, and literature on the potential effects of various Applied Response Technologies (ARTs) (such as dispersants) on sanctuary resources.

A. Develop GFNMS policies and recommendations (e.g. potential monitoring protocols) regarding the use of ARTs in the event of an oil spill. Solicit input from the Vessel Spills Working Group, sanctuary advisory council, emergency response agencies, and other applicable local, state, and federal trustee agencies.

STRATEGY VS-6: Periodically review and revise, as necessary, GFNMS in-house emergency response plan.

Activity 6.1 Revise tasks and responsibilities for GFNMS in the event of a vessel spill, both small and large, in the sanctuary (also see Administration recommendations).

- A. Participate in ACP drills and test in-house communication and response equipment including database connections and GIS mapping capabilities.
- B. Hold annual GFNMS meeting to provide refresher training on in-house emergency response plan and to ensure staff understands their individual roles in the event of a spill.

STRATEGY VS-7: Continue to improve integration of GFNMS Beach Watch and ACCESS data into Area Contingency Plan.

Activity 7.1 Enhance Integration of Beach Watch and ACCESS data into the ACP and Webbased GIS in the Southwest Environmental Response Management Application (ERMA). Regularly integrate updated GFNMS data to strengthen the ACP and ERMA and allow for more accurate decision making by incident command.

- A. GFNMS will participate in ACP meetings including meetings of the Wildlife Operations and Planning sub-committees.
- B. Provide Beach Watch and ACCESS data to incident command on a real-time basis, as needed, to inform decision making during a spill and to help assist any associated NRDA operations and ensure that data are also incorporated into ERMA.

STRATEGY VS-8: Conduct outreach to mariners to increase stewardship of the sanctuary, including voluntary compliance with Vessel Traffic System (VTS) and sanctuary regulations.

Activity 8.1 Develop outreach plan based on results of vessel activities profile, risk assessment, and resources-at-risk assessment to increase voluntary compliance with VTS and sanctuary regulations (container ships, bulk carriers, chemical carriers, military vessels, research vessels, cruise ships, and tugs).

- A. Ensure GFNMS regulations are listed accurately in the *Coast Pilot*. Update as needed.
- B. Review vessel activities profile, risk assessment, and resources-at-risk assessment and identify high-risk vessels and circumstances (target audiences).
- C. Identify pathways for reaching target audiences.
- D. Develop and distribute appropriate materials and programs.

STRATEGY VS-9: Increase regular communication between GFNMS and maritime trade industry.

Activity 9.1 Recruit maritime trade industry member for GFNMS Advisory Council. The maritime trade council member would represent the industry's interest at the sanctuary advisory council meetings and report sanctuary activities to the industry.

STRATEGY VS-10: Participate in regional forums for addressing vessel traffic issues.

Activity 10.1 A sanctuary representative will attend regional meetings, including the area committee meetings, harbor safety meetings, and ad hoc panels. Sanctuary participation will include, but not be limited to:

- A. Provide information for the geographic response plans.
- B. Participate in discussion on use of dispersants.
- C. Develop a strategy diagram for all sensitive areas as a part of ERMA and regional monitoring programs such as ACCESS.

D. Conduct outreach to appropriate local, state, and federal agencies and political representatives to discuss boater insurance and liability issues.

STRATEGY VS-11: Continue to implement recommendations of the vessel spills working group and seek regular input from the sanctuary advisory council.

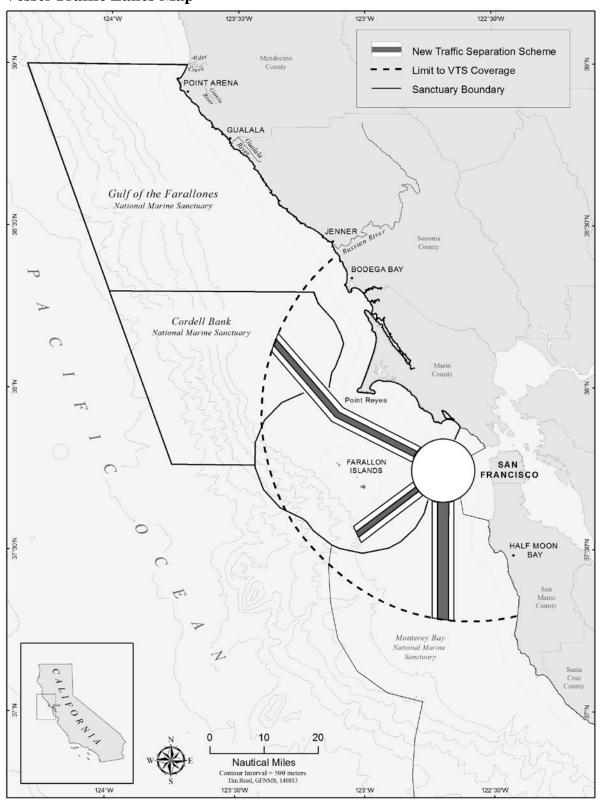
Potential Partners:

Federal: National Park Service (NPS), US Coast Guard (USCG), National Oceanic and Atmospheric Administration (NOAA) modelers/Office of Response and Restoration (ORR), Emergency Response Division (ERD), National Ocean Service (NOS) charting, NOAA Scientific Support Coordinator, United States Geological Survey (USGS), NOAA Coastal Services Center, Office of National Marine Sanctuaries (ONMS), NOAA Coast Survey, NOAA Scientific Support Coordinator, US Fish and Wildlife Service (USFWS), NOAA Office of Response and Restoration (ORR), National Centers for Coastal Ocean Science (NCCOS), Bureau of Ocean Energy Management (BOEM), National Marine Fisheries Service (NMFS), National Oceanographic Data Center (NODC), MBNMS/Sanctuary Integrated Monitoring Network (SIMON)

State & County: California Department of Boating and Waterways (CDBW), CA Office of Spill Response (OSPR), California Coastal Conservancy, CA Department of Fish & Wildlife (CDFW), California Department of Boating and Waterways (CDBW) (licensing info), California Coastal Commission (CCC), Central California Ocean Observing Systems (CeNCOOS), SF Harbor Safety Committee, Moss Landing Marine Laboratories (MLML), Bodega Marine Laboratory (BML), San Francisco State University (SFSU)

Other: Farallones Marine Sanctuary Association (FMSA), Fleet Numerical, Maritime trade industry, fishing industry, Monterey Bay Aquarium Research Institute (MBARI), Scripps Institute of Oceanography, Point Blue, The Marine Mammal Center (TMMC), Glen Ford Consulting, Marine Exchange, Port of Oakland, Port of San Francisco, Marine Mammal Commission, Coast Guard Auxiliary, California Academy of Sciences (CAS), Oiled Wildlife Care Network, (OWCN), Center for Integrated Marine Technology (CIMT), Regional Response Team, Area Committee, Harbor Safety Committee

Vessel Traffic Lanes Map



Performance Measures

Strategy Title(s)	Performance Goal	Desired Outcome (Objective)	Outcome Measure	How Measured	Who Measures	Output Measure
STRATEGY VS-1: Expand MBNMS drift analysis model north to Point Arena/Mendocino using existing data. STRATEGY VS-2: Refine spill and drift model to increase accuracy of risk assessments. STRATEGY VS-3: Evaluate recent vessel routing changes.	Minimize the risk to GFNMS' natural resources from spills, while allowing for the continuation of safe, efficient and environmentally sound transportation.	risk and determine whether improvements can be made to reduce	event of a vessel collision or grounding, based on understanding oceanographic processes and response time.	from transiting vessels by understanding: a) Vessel activity profile	Sanctuary Superintendent, Ecosystem Protection Coordinator, Research Coordinator	Updated and expanded drift analysis model Vessel activities profile Risk assessment report
	GFNMS' natural resources from spills, while allowing for the continuation of safe,	monitoring programs within GFNMS to identify trends and take proactive measures to reduce risk from vessel			Superintendent,	1) Update model, and Report C 2) Regular maps depicting distribution and abundance of sentinel species and vessel type and activity

Strategy Title(s)	Performance Goal	Desired Outcome (Objective)	Outcome Measure	How Measured	Who Measures	Output Measure
STRATEGY VS-5: Participate in Area Contingency Planning and engage in NMSA consultation during the revision of the ACPs in the region to address risks to sanctuary resources. STRATEGY VS-6: Revise GFNMS in-house emergency response plan. STRATEGY VS-7: Continue to improve integration of Beach Watch and SEA Surveys data into Area Contingency Plan and Southwest ERMA.	GFNMS' natural resources from spills, while allowing for the continuation of safe, efficient and environmentally sound	identify areas of	-	1) Build into the Area Contingency Plan specific strategies to increase probability of protection of sanctuary resources during a catastrophic event. On an annual basis review, and as appropriate, revise GFNMS in-house plan. 2) Provide on-going training and practice drills for staff. 3) Provide regular updates of GFNMS data and information to Southwest ERMA and as needed to ICP.	Protection Coordinator	1) Technical data summary 2) Peer reviewed articles 3) ACP post-drill report



PROGRAM AREA ACTION PLANS

GFNMS FINAL MANAGEMENT PLAN

- I. Education and Outreach
- II. Conservation Science
- III. Resource Protection
- IV. Administration



EDUCATION AND OUTREACH ACTION PLAN

PROGRAM STATEMENT

Gulf of the Farallones National Marine Sanctuary (GFNMS) requires a long-term strategy to fulfill the over-arching education goal of the sanctuary, which is: "to educate and engage residents and visitors in the Gulf of the Farallones National Marine Sanctuary watersheds about their connection to the sanctuary and to develop a sense of personal responsibility to protect the marine environment."

PROGRAM DESCRIPTION

Education programs are designed to enhance public awareness, understanding and appreciation of the sanctuary and its resources, and build stewards to take on the responsibility of protecting these special places. Our education programs are in direct alignment with the ONMS education vision and mission.

ONMS Education Vision: An ocean-literate public making informed environmental decisions.

ONMS Education Mission: To inspire ocean and climate literacy and conservation through National Marine Sanctuaries.

The development of effective and coordinated education programs is a priority for all national marine sanctuaries. GFNMS has developed a long-term education strategy to raise the public's awareness of the local and regional marine environment and how they can become involved in the sanctuaries. These education programs complement the sanctuary's broad-based community outreach efforts by focusing on targeted audiences such as students, teachers, families, adults and youth. GFNMS and Cordell Bank National Marine Sanctuary (CBNMS) will collaborate to service common audiences.

The Farallones Marine Sanctuary Association (FMSA) works collaboratively with GFNMS to implement education, interpretation, and volunteer programs. GFNMS, in cooperation with FMSA, sponsors student classroom and field programs, teacher trainings, summer camps, public lectures and excursions, family workshops and other education programs. FMSA and GFNMS are developing and implementing a comprehensive K-12 program that includes field-work, trips and classroom activities as well as multicultural programs with the San Francisco Recreation and Parks Department. GFNMS will expand its partnerships and develop additional working relationships with other government agencies, institutions, and organizations.

GFNMS uses education as a resource management tool to address specific priority ecosystem protection issues identified during the management plan review process. Education is essential to achieving many of the sanctuary's management objectives. In addition, education is used to both complement and promote other sanctuary programs such as research, monitoring, and enforcement by communicating information about these programs.

EDUCATION AND OUTREACH GOALS

- 1. Use education as a management tool to help protect the sanctuary's habitats, wildlife and cultural resources.
- 2. Ensure that education complements and promotes other sanctuary programs such as research, monitoring, enforcement and resource protection.
- 3. Continually reach broader audiences to create an ocean literate, informed and connected public.

EDUCATION AND OUTREACH OBJECTIVES

- 1. Address critical human impact issues to habitats, wildlife and cultural resources by developing education and outreach programs that involve sanctuary research, resource protection and education programs, to communicate to key users how to lessen their impacts.
- 2. Develop all education programs with input from sanctuary research, resource protection and monitoring programs to promote ocean literacy.
- 3. Expand and increase strategic partnerships to continually reach and engage diverse and new audiences.

EDUCATION AND OUTREACH ACTION PLAN

SCHOOL PROGRAMS - To connect the next generation of scientists, managers, educators and leaders with the ocean's influence on them and their influence on the ocean

STRATEGY ED-1: Educate K-8 students about the sanctuary through visitor center, classroom, and field activities.

Activity 1.1 Update K-8 Crissy Field visitor center programs about the sanctuary to align with state and national science standards. Expand to include national ocean and climate literacy principles. Develop activities that incorporate emerging marine issues in the sanctuary and correlate to school curricula.

- A. Develop theme-based field trip programs in the sanctuary for specific grade levels that correlate to ocean and climate literacy principles and science standards.
- B. Develop outreach programs targeting a diverse cross section of elementary schools. These programs will incorporate hands-on activities, emerging sanctuary issues and teachers' needs.

STRATEGY ED-2: Educate high school students and teachers about the sanctuary through classroom and field activities.

Activity 2.1 Expand LiMPETS (Long-term Monitoring Program & Experiential Training for Students) Program to a four-tiered program including curriculum, student monitoring, stewardship projects, and teacher professional development.

- A. Continue high school sandy beach and rocky intertidal monitoring program by incorporating newly developed techniques based on new science standards.
- B. Expand high school program to include a stewardship component in which students volunteer for the sanctuary as a part of Education STRATEGY ED-5.
- C. Develop a water quality, introduced species, and climate change component of the LiMPETS programming, including curricula and monitoring, in collaboration with other West Coast sanctuaries.
- D. Increase enrollment by working to reach a broader, more diverse audience by targeting multiple school districts in San Francisco, San Mateo, Marin, Sonoma, and southern Mendocino Counties.
- E. Integrate the LiMPETS rocky intertidal monitoring component with the Sanctuary/California Academy of Sciences Rocky Shore Stewardship Project.

STRATEGY ED-3: Educate culturally diverse inner city children about the sanctuary through summer camp experiences that are highly experiential and field based.

Activity 3.1 Expand Sanctuary Explorers Camp to reach a broader audience.

- A. Increase capacity and duration of the camp program by incrementally expanding the camp to six weeks with simultaneous sessions to reach a broader audience.
- B. Adapt curriculum to increase stewardship ethic by providing examples for how students may become more involved in sanctuary activities.
- C. Include high school LiMPETS Program students as camp counselors in training to ensure a continuum of sanctuary experiential learning opportunities.

D Incorporate Crissy Field visitor center and other Bay Area summer programs into the Visitor Center Field Trip Program.

STRATEGY ED-4: Educate teachers about the resources and programs of the sanctuary by providing professional development programs.

Activity 4.1 As a component of the education program, develop a set of professional development programs for teachers.

- A. Invite teachers to biannual research symposium to learn about sanctuary research activities.
- B. Participate in local, regional and national teacher development venues. As part of this attendance, develop a series of K-12 teacher workshops that provide participants with classroom activities and introduce them to sanctuary programs. Possible venues include: The Presidio Teachers Night; County Math and Science Council conferences; CSTA (California Science Teachers Association); NSTA (National Science Teachers Association); NMEA (National Marine Educators Association); NAEE (National Association of Environmental Education); NAI (National Association of Interpretation).
- C. Utilize volunteer corps to maintain GFNMS resource center to make it accessible to sanctuary constituents such as teachers, other volunteers, students, staff, and partners. Resource center contents include classroom lending kits, marine-related books, PowerPoint shows, videos, and research library. Develop a marketing plan and check-out system for center use.

STEWARDSHIP – To involve the community in understanding their relationship to the ocean and in caring for its future

STRATEGY ED-5: Provide stewardship opportunities for high school students.

Activity 5.1 Develop GFNMS high school volunteer internship program.

A. Recruit students in grades 10-12 from local and regional high school education programs to volunteer for summer camp, the visitor center, field research, volunteer program, and other opportunities.

STRATEGY ED-6: Create stewards of the sanctuary by engaging middle and high school students in a large-scale, long-term monitoring project.

Activity 6.1 Participate in LiMPETS, a collaborative program of the West Coast sanctuaries to work with teachers and students to learn how to collect long-term monitoring data while increasing awareness of the sanctuaries.

- A. Implement teacher workshops to increase the number of teachers who teach LiMPETS monitoring protocols to their middle and high school students. These workshops can be hosted in conjunction with Cordell Bank, Monterey Bay, and Channel Islands National Marine Sanctuaries.
- B. Maintain network of teachers and students to support their monitoring efforts.
- C. Maintain online databases as part of the overall LiMPETS network protocol.
- D. Expand monitoring program to include other key species and/or habitats.

VOLUNTEER PROGRAMS – To offer experiences to inspire an ocean conservation ethic

STRATEGY ED-7: Expand the reach of GFNMS education and outreach programs by enhancing volunteer program training to foster volunteers to educate about the sanctuary at various events and locations.

Activity 7.1 Recruit, train, and manage a diverse team of volunteers to engage and educate visitors about the sanctuary at the GFNMS visitor center, summer camps, schools, and outreach events (lectures, fairs) as well as in the field at high use areas.

- A. Maintain and grow program for training volunteer naturalists to lead sanctuary programs at the visitor center and schools.
- B. Maintain and grow the Rocky Intertidal Stewardship program at Duxbury Reef to be a collaboration with the California Academy of Sciences and LiMPETS and be replicated at strategic rocky reefs in the sanctuaries, such as Pillar Point Reef.
- C. Develop a speakers' bureau to provide sanctuary trained speakers for schools and community groups on sanctuary related topics.
- D. Maintain and grow program for training volunteers to represent the sanctuary at outreach fairs and events.
- E. Train staff and docents to work successfully with diverse and multicultural audiences by providing cultural sensitivity training and multilingual materials appropriate for each audience.

Activity 7.2 Develop GFNMS naturalist certification program to train volunteers and professional naturalists to present basic sanctuary information to multiple audiences.

A. Train professional naturalists on sanctuary-specific information and certify them as sanctuary Certified Naturalists.

B. Train and certify volunteers and staff of other marine interpretation organizations as sanctuary Certified Naturalists.

PUBLIC PROGRAMS – To instill greater public understanding of our dependence upon a healthy ocean ecosystem and how the sanctuary is an integral part of that system.

STRATEGY ED-8: Increase awareness and knowledge of the sanctuary through lectures.

Activity 8.1- Raise the profile of the GFNMS lecture series by taking an innovative approach to increase attendance and new potential audiences.

- A. Increase collaboration with partners by developing a list of targeted potential locations based on attendance. Then work with the targeted partner to host the invited speakers for an overall larger reach.
- B. Increase effective use of media and press by tracking which venues program participants use to find their information on sanctuary events.
- C. Hold lectures in new and diverse communities not already reached (e.g., East Bay, Bodega Bay).
- D. Investigate potential sponsorship possibilities.

STRATEGY ED-9: Increase awareness and build knowledge of the sanctuary through educational programs and exhibits at the Sanctuary and partner visitor centers.

Activity 9.1 Maintain engaging educational exhibits and activities at the GFNMS Crissy Field visitor center and partner exhibits.

- A. Improve and expand visitor center exhibits. This will include renovating existing exhibits and creating new exhibits and activities based on sanctuary cultural resources, habitats and wildlife, and ecosystem protection.
- B. Continue scheduled drop-in programs such as "Creature Feature" to attract new and return visitors. These programs will be scheduled during high visitation periods (summer, holidays, and weekends).
- C. Increase attendance at the Crissy Field visitor center by marketing its programs and services in conjunction with the Crissy Field Environmental Center. As part of this marketing plan, ensure that the drop in visitor activity schedule is coordinated at both sites.

STRATEGY ED-10: Increase sanctuary awareness and reach to larger audiences through the production and distribution of videos on the sanctuary and its resources.

Activity 10.1 Produce videos and distribute to appropriate audiences.

- A. A. Develop video plan—targeted to a general audience (7th grade and above), and for children (7th grade and below).
- B. Develop distribution and marketing plan to reach desired audiences across the region and state such as environmental education centers and county offices of education.
- C. Purchase video production software and train staff to produce short videos for the sanctuary website, visitor center, education program, and for general distribution.

STRATEGY ED-11: Increase awareness of GFNMS by using effective media and marketing techniques.

Activity 11.1 Implement awareness campaign to raise the profile and recognition of the GFNMS.

- A. Internally develop new image, messages, and determine targeted diverse audiences. Designate a media/public affairs point of contact to maintain campaign outcomes.
- B. Utilize marketing in television, radio, print and online media based on audience needs.
- C. Establish relationships with key local reporters (collaboratively with MBNMS and CBNMS, where media markets overlap) and develop talking points for press releases.
- D. Identify key publications for sanctuary articles.
- E. Develop annual media and communication plan and release schedule.
- F. Develop best practices (including logo and web site) for all publications, online and printed materials.
- G. Develop shared outreach materials/products/programs with CBNMS and MBNMS based on established priorities that inspire stewardship.

Activity 11.2 Maintain a strong and favorable public identity.

A. Submit articles on a quarterly basis for NOAA publications (e.g. NOAA Report, Sanctuary Watch).

- B. Develop PowerPoint presentation for GFNMS and specific programs.
- C. Revamp and refine image library.
- D. Develop series of boilerplate press releases.
- E. Highlight GFNMS in national level press releases and publications.
- F. Participate in targeted outreach events.
- G. Improve GFNMS Web presence.
- H. Develop and implement a GFNMS social media plan.

Activity 11.3 Increase reach and success of all sanctuary programs by increasing distribution of GFNMS education and outreach messages through other environmental education groups.

- A. Increase GFNMS brochure and flyer distribution list to include online listservs, newsletters and blogs. Target specific groups including: Students and Teachers Restoring a Watershed (STRAW), Marine Activities, Resources, and Education (MARE), Point Reyes National Seashore Association (PRNSA), California State Parks, County Parks, The Marine Mammal Center (TMMC), Crissy Field Environmental Center, Nature Bridge and GGNRA.
- B. Work individually with partners (including those listed above) to incorporate sanctuary messages into their materials/programs and vice versa. Prioritize organizations and aim for two collaborations per year.

Activity 11.4 Increase reach and success of all sanctuary programs by effectively marketing, distributing, and evaluating all sanctuary programs and products.

A. Develop strategy for marketing, distributing, and evaluating existing and new programs and products.

STRATEGY ED-12: Increase audience by building a larger visitor center with increased exhibits, programs, and opportunities to learn about and support GFNMS.

Activity 12.1 Create a new visitor center that showcases the Office of National Marine Sanctuary (ONMS) with exhibits, lecture hall, and classroom/lab facilities, providing a gateway to the GFNMS and beyond. The center will be a destination for greater ocean literacy and community stewardship in the 21st century.

STRATEGY ED-13: Increase awareness of the sanctuary through interpretive signage and exhibits at strategic locations.

Activity 13.1 Develop a coordinated network of signs throughout the sanctuary.

- A. Install and maintain interpretive signs at strategic locations along the coast including sites of high traffic and high educational value.
- B. Develop a sanctuary multi-use and/or vehicular trail along the coast linking signs, wayside exhibits, museum exhibits, and interactive kiosks.
- C. Coordinate and collaborate with CBNMS and MBNMS on sanctuary-sponsored signage and displays along the coast.

Activity 13.2 Develop a coordinated network of exhibits throughout the sanctuary. Existing and potential outreach and interpretive exhibit venues being considered include:

- A. Bear Valley Visitors Center at Point Reyes National Seashore (PRNS) headquarters has an exhibit on GFNMS and CBNMS. The visitor center has 450,000 visitors per year from school children to local and recreational users.
- B. The PRNS lighthouse visitor center has space for a display about the national marine sanctuaries. GFNMS will partner with CBNMS and NPS to design an exhibit highlighting the natural history of the two sanctuaries and seashore.
- C. Bodega Marine Laboratory (BML) is the marine research arm of UC Davis (UCD), and the center of marine research on the north coast. GFNMS, in partnership with CBNMS, is proposing to update and expand its partnership with BML, including enhancing interpretive panels at the lab and potentially partnering with Bodega Marine Lab and Sonoma County Regional Parks on a new visitor facility in Bodega Bay.
- D. Fort Ross State Park celebrates the Russian presence in northern California in the 19th century during the heyday of the Russian-American Company. It also tells the story of local Native American tribes who fished and hunted in the area. GFNMS is proposing to develop wayside signage themed on wildlife watching, including tide pool etiquette and marine mammal viewing.
- E. Bodega Head State Park is the best vantage for getting a perspective on GFNMS and CBNMS. This is a popular whale and sunset watching location. GFNMS and CBNMS propose to build a permanent whale watching station in partnership with California State Parks.

- G. GFNMS will partner with the California Academy of Sciences to maintain and update as needed the Northern California Coast exhibit.
- H. GFNMS will build a premier ocean learning and experiential visitor center at its headquarters location. The visitor center will feature hands-on, interactive exhibits on the marine environment, maritime history features, ocean climate, and exhibits for the ONMS. It will also have a theater for films, lectures, telepresence and seminars, as well as classrooms.
- I. GFNMS will update the maritime exhibit at the Aquarium of the Bay. This exhibit an interactive kiosk highlights maritime information in San Francisco Bay and beyond.
- J. GFNMS and MBNMS will update interpretive displays at the Pigeon Point Lighthouse. These displays highlight the maritime heritage of the area, including shipwrecks and lighthouse keepers. There will also be a panel on watchable wildlife.
- K. GFNMS will support the CBNMS exhibit at the Oakland Museum. The exhibit features CBNMS but includes information about GFNMS.
- L. GFNMS will open a visitor center in Half Moon Bay and investigate potential additional visitor centers in Sonoma and southern Mendocino County.
- M. GFNMS will partner with the Randall Museum in San Francisco during the renovation of its exhibit hall to feature San Francisco's ocean environment.
- N. GFNMS will partner with the San Francisco Zoo on exhibits about local marine wildlife.

STRATEGY ED-14: Outreach to residents and visitors in inland areas of the GFNMS watersheds and educate them about their connection with the sanctuary.

Activity 14.1 Develop a traveling exhibit on sanctuary watersheds to bring the sanctuary to inland communities.

- A. Develop storyboard and exhibit plan featuring the connection between inhabitants of watersheds and the GFNMS. Contact potential venues for guidance on sizes and content (including curriculum needs). Potential venues include schools, libraries, and community locations in the Bay Area and Central Valley.
- B. Develop curriculum and/or activities related to exhibit.
- C. Build and circulate exhibit and curriculum around the Bay Area. Particular focus may be placed on the exhibit during Oceans week.

Potential Partners:

Federal: National Park Service (NPS), Point Reyes National Seashore (PRNS), Golden Gate National Recreation Area (GGNRA), Cordell Bank National Marine Sanctuary (CBNMS), Olympic Coast National Marine Sanctuary (OCNMS), Monterey Bay National Marine Sanctuary (MBNMS), Channel Islands National Marine Sanctuary (CINMS), sanctuary advisory council, The Presidio Trust, United States Fish and Wildlife Service (USFWS), Bureau of Land Management

State & County: California Department of Fish and Wildlife (CDFW), California State Parks, SF Bay Conservation and Development Commission, county Parks, CA Coastal Conservancy, San Francisco Recreation and Parks Department, University of California Santa Cruz (UCSC), Bodega Marine Laboratory (BML)

Other: Farallones Marine Sanctuary Association (FMSA), Crissy Field Environmental Center, Fitzgerald Marine Reserve, Audubon Canyon Ranch (ACR), Stewards of the Coast and Redwoods, California Academy of Sciences (CAS), Randall Museum, Aquarium of the Bay, Oceanic Society, Ocean Conservancy, Point Blue, California Coastal Trail, Green Belt Alliance, Oakland Museum, Maritime Museum, Aquarium of the Bay, The Bay Model, Exploratorium, PRNSA, Marine Activities, Resources, and Education (MARE), Bay Area Science Alliance (BASA), San Francisco Zoo, Southwest Marine and Aquatic Educator's Association (SWMEA), Environmental Education Council of Marin (EECOM), city visitor centers, chambers of commerce, Convention Bureau, TV, radio, print and online media, Libraries, community centers, other Bay Area marine science education organizations, teachers, local research institutions, Bay Area schools, other marine interpretation organizations

GFNMS EDUCATION AND OUTREACH

Performance Measures

Strategy Title(s)	Performance Goal	Desired Outcome (Objective)	Outcome Measure	How Measured	Who Measures	Output Measure
about the sanctuary.	Use education as a tool to help protect the sanctuary's resources.	educate along an environmental literacy continuum including developing awareness, building a knowledge base, changing behavior, and	Increase number and diversity of students and teachers exposed to messages about the sanctuary in an effort to increase awareness about sanctuary resources and issues.	reached in K-8 programs. 2) Track number of youth reached in high school		1) K-8 program and resources, elementary school outreach plan 2) High school curriculum, website, database, workshops, outreach materials, slide shows, teacher lending kits 3) Summer camp curriculum 4) Assessment and evaluation
	Use education as a tool to help protect the sanctuary's resources.	educate along an environmental literacy continuum including developing awareness, building a knowledge base, changing behavior, and	Increase in effectiveness of high school education programs whereby the literacy continuum is fully realized from awareness building to stewardship building.	of high school students participating in internship program.	Sanctuary Superintendent, Education Coordinator, FMSA	1) Formal framework for internship program including training materials, and evaluation standards 2) Case studies of student-directed stewardship projects

Strategy Title(s)	Performance Goal	Desired Outcome (Objective)	Outcome Measure	How Measured	Who Measures	Output Measure
STRATEGY ED-7: Expand the reach of GFNMS education and outreach expanding volunteer program	Continually reach broader audiences to create an informed and connected public.	Target diverse audiences including various multicultural, socioeconomic, age and gender groups.	Expand outreach programs throughout region, through diverse venues, to increase the general public's awareness about the sanctuary, and increase sanctuary stewardship.	diversity of volunteers trained through the Sanctuary Naturalist Corps and actively participating in outreach, monitoring, and restoration		Training manual and program for volunteers Outreach materials to be disseminated to public
STRATEGY ED-8: Increase awareness through a lecture series. STRATEGY ED-9: Increase awareness through educational programs and exhibits at the visitor center STRATEGY ED-10: Increase awareness through video. STRATEGY ED-11: Increase awareness through effective media and marketing. STRATEGY ED-12: Increase audience by building larger visitor center. STRATEGY ED-13: Increase awareness through interpretive signage and exhibits.	a) Continually reach broader audiences to create an informed and connected public. b) Ensure education complements and promotes other sanctuary programs such as research, monitoring and resource protection.	a) Target diverse audiences including various multicultural, socioeconomic, age and gender groups. b) To develop programs to target content builders, user/impact groups, influencers, and decision makers.	Target new audiences and increase participation in sanctuary programs in order to raise the profile and recognition of GFNMS within the broader region.	success of all sanctuary programs by developing an overall marketing strategy,	Sanctuary Superintendent, Education Coordinator, FMSA	1) Outreach materials 2) Exhibits, touch tank 3) Video, marketing materials 4) Public service announcements, press releases, ad campaign, outreach materials



CONSERVATION SCIENCE ACTION PLAN

PROGRAM STATEMENT

Characterization, monitoring, and research assist in the protection of sanctuary wildlife and habitats by increasing the understanding of ecosystem structure and function; detecting environmental problems; tracking ecosystem health and trends of the various habitats and natural resources in the sanctuary; and contributing to solutions to management issues throughout the Gulf of the Farallones National Marine Sanctuary (GFNMS). An updated long-term conservation science plan has been developed to coordinate current and future habitat characterization, ecosystem monitoring, and research efforts. The following three specific areas are the focus of the conservation science plan: (1) baseline and characterization studies for populations and habitats whose presence were critical in the sanctuary's designation, yet whose distributions and other basic characteristics remain poorly understood; (2) directed monitoring studies focusing on indicator species and representative habitats and undertaken jointly with other sanctuaries, research institutions and agencies; and (3) analytical studies aimed at determining the cause of a condition or impacts and predictive studies to understand trends and variability (e.g., in a specific population).

PROGRAM DESCRIPTION

GFNMS manages a complex region with high biological diversity; nationally significant wildlife breeding and feeding areas; significant commercial and recreational fishing; estuarine habitats; numerous federally, state, and locally protected marine and estuarine waters; and watershed influences and impacts from the eight million San Francisco Bay Area residents. Conservation science will help address specific management problems, enhance resource protection efforts, and assist in bringing scientific information to the general public. The conservation science program will ensure that science activities address management issues and are effectively integrated into the administration, management, education, outreach and resource protection programs of the sanctuary.

CONSERVATION SCIENCE GOALS

- 1. Increase our knowledge and understanding of the estuarine, nearshore, and offshore ecosystems in the sanctuary.
- 2. Develop monitoring programs to understand long-term status and trends, detect emerging issues, and guide management decisions.

3. Develop research programs to identify and address specific management issues and assess effectiveness of management solutions.

CONSERVATION SCIENCE OBJECTIVES

- 1. Assess the sanctuary's information base to identify gaps in knowledge that can affect our ability to manage the area.
- 2. Conduct studies of species or marine communities to identify wildlife and habitats most at risk or in need of management attention.
- 3. Promote the sanctuary as a site for ecosystem-based management research by providing financial and logistical support for scientific investigations that address critical marine ecosystem protection issues.
- 4. Design research and monitoring projects that are responsive to management concerns and contribute to improved management of the sanctuary.
- 5. Make effective use of research and monitoring results by incorporating them into education and resource protection programs.
- 6. Encourage information exchange and cooperation among all organizations and agencies undertaking ecosystem-based research in the sanctuaries to promote more timely and informed management.

CURRENT CONSERVATION SCIENCE PROGRAM

The sanctuary's conservation science program consists of several ecosystem monitoring projects, issue specific research projects, and habitat characterization projects. The monitoring programs, Sanctuary Ecosystem Assessment Surveys (SEAS), are a compilation of GFNMS programs that provide biological observations and habitat characterization for the Gulf of the Farallones region. SEAS include several long-term monitoring programs such as Beach Watch, Applied California Current Ecosystem Studies (ACCESS), and Rocky Intertidal Monitoring. SEAS will also include future monitoring and exploration programs such as invasive species detection, restoration, and monitoring; estuarine monitoring; water quality monitoring through assessment of indicator species for ecosystem health; and the status and trends of species populations and ranges in the Gulf of the Farallones as indicators of impacts from global climate change.

SEAS—Beach Watch volunteers have been monitoring coastal marine life (alive and dead) and human activities along the sanctuary shoreline continuously since 1993. Beach Watch collects baseline data on sanctuary wildlife and maintains a long-term database used by the sanctuary and other natural resource management agencies to answer management questions.

SEAS—Rocky Intertidal Program monitors species abundance and distribution within several locations throughout the sanctuary, and spatial-temporal changes within the rocky intertidal habitat.

Dedicated research projects in the past have included efforts to assess wildlife disturbance levels from permitted overflights and advise management on the effectiveness of special conditions required in sanctuary permits. Another example of a past dedicated research project is the assessment of human activities upon three harbor seal haul-out sites in the sanctuary. This sixyear project, called Sanctuary Education, Awareness and Long-term Stewardship (SEALS), categorized and quantified human activities near the seal haul-out sites and provided recommendations for approach distances. This information was later incorporated into various outreach products and docent programs, aided National Marine Fisheries Service investigating violations to the Marine Mammal Protection Act, and informed U.S. Fish and Wildlife Service during development of new refuge boundaries and regulations. Past habitat characterization efforts included the production of the Biogeographic Atlas, a compilation of maps and analyses to identify areas of highest ecological importance in sanctuary offshore areas, side-scan sonar and multibeam mapping and video-documentation of benthic resources around the South Farallon Islands, Fanny Shoal, Rittenburg Bank, Cochrane Bank, Farallon Escarpment, and Drakes Bay, and characterization of oceanographic features through the use of thermistor arrays and ACCESS underway data collection.

Since 1997, Gulf of the Farallones has conducted at-sea monitoring for birds, mammals, turtles, and vessel activities, through various projects similar to ACCESS. ACCESS is a long-term study that focuses on krill, a critical building block in the food chain for this area. Through the use of acoustics and sampling, krill and juvenile and schooling fish are located and identified. The parameters influencing their distribution in the water column are investigated. These data are analyzed along with oceanographic parameters, chlorophyll, seabird, and marine mammal sightings to better understand the causes and dynamics of marine life concentrations in particular areas of the sanctuary.

Information and products from current and future science programs contribute to the understanding of sanctuary wildlife and habitats and how they are influenced by anthropogenic stressors such as oil pollution, climate change, noise, marine debris, and extraction. Science products also help to predict or model changes from natural phenomenon and human-induced stressors. Information from the Conservation Science program also contributes to outreach and educational materials used in handouts, classroom assignments and web-based products.

CONSERVATION SCIENCE STRATEGIES

STRATEGY CS-1: Maintain the Beach Watch program to monitor marine life and human activities on sanctuary beaches, and provide baseline information, and identify ecosystem changes to assist sanctuary management decisions.

Activity 1.1 Maintain and extend into the expansion area the Beach Watch volunteer monitoring program to gather baseline information about the resources of the sanctuary.

- A. Beach Watch is a long-term shoreline monitoring program. The Beach Watch program primarily assesses coastal birds, marine mammals, human activities, and oil pollution. The program goals are to: 1) educate the public about the coastal environment; 2) educate the public that they can make a difference in protecting their beaches; 3) assist GFNMS in the early detection of natural and human-caused environmental perturbations such as warm or cold water events and oil spills; 4) provide a baseline of information on the average presence of live and beachcast marine organisms; and 5) develop a network of local experts who can document and discuss the natural changes a specific beach will undergo over a period of several years. Beach Watch and similar west coast sanctuary monitoring programs will be integrated to produce data sets for tracking the health and status of west coast seabird and marine mammal populations.
- B. Revise and reprint beached bird book to support the efforts of Beach Watch, BeachCOMBERS in MBNMS and COASST volunteers in OCNMS by making available the most current information on identification and demographic information of beached birds and mammals.
- C. Integrate Beach Watch data with other biological and physical monitoring data sets such as ACCESS data sets, SEAS rocky intertidal monitoring, the state's North-central Coast Marine Protected Areas monitoring through the Monitoring Enterprise, and future monitoring programs (introduced species and water quality). Make data applicable to and posted on the Southwest Environmental Response Management Application (ERMA). Data should be available for access by staff during emergency response.
- D. Integrate Beach Watch data with regional and national Integrated Ocean Observation Efforts (IOOS) and Central and Northern California Ocean Observation System as well as West Coast Regional Monitoring Program and United States Fish and Wildlife Service (USFWS) seabird populations assessment, and harmful algal bloom events.
- E. Upgrade Beach Watch data management and availability by posting data sets on local and regional web sites such as Center for Integrated Marine Technologies (CIMT), (CICORE), the national data base for the Marine Mammal Stranding Network, and the Sanctuary Integrated Monitoring Network (SIMON).
- F. Assess how Beach Watch data can be used to monitor climate change impacts in the sanctuary
- G. Improve efficiency of data collection through the use of smart phones, digital imagery, and other electronic information gathering tools. Tools and programs shall be compatible with those used by other shoreline monitoring programs, emergency response and injury assessment, National Marine Fisheries Service and US Fish and Wildlife Service.

STRATEGY CS-2: Conduct research as needed, to guide permit conditions.

Activity 2.1 Conduct research to guide permit conditions for white shark viewing and assess effectiveness of regulations.

- A. Develop and implement a white shark behavioral study to assess the impacts of motorized vessels in the vicinity of feeding and milling sharks. Study will assess shark behavior in relation to numbers of vessels and approach distances during various shark predator-prey interactions. Study analysis shall be targeted to recommend acceptable number of vessels, vessel size(s), and approach distances. Study will be conducted August through January during the seasonal migration of sharks to the Farallon Islands.
- B. Periodically review effectiveness of special permit conditions and revise as appropriate.

STRATEGY CS-3: Host a biennial research workshop to facilitate information exchange in the GFNMS.

Activity 3.1 Every other year, the sanctuary will continue to host a conservation science workshop with local researchers and educators to highlight science in and around the sanctuary.

- A. Host workshop every other year. Workshop proceedings will include oral presentations, poster sessions, and publication of proceedings and abstracts.
- B. Compile a comprehensive list of research being conducted in and around the sanctuary. Produce map of sampling locations and study areas.
- C. Educate research community how to post monitoring program descriptions and findings on to GFNMS SIMoN, OceanObs, SEAMAP, CICORE and other appropriate web sites.

STRATEGY CS-4: Develop and implement sanctuary ecosystem assessment and monitoring programs, and integrate with regional ocean observation programs along the west coast and the sanctuary program's System Wide Monitoring guidelines.

Activity 4.1 Expand ACCESS.

- A. Conduct long-term monitoring of the macrovertebrates of the sanctuary, seabirds, marine mammals, and sea turtles and their prey species. Monitor the abundance and distribution of species impacted by chronic and acute oil pollution, such as seabirds, marine mammals, and sea turtles, and their trophic relationship and the population dynamics of euphausiid shrimp or krill.
- B. Investigate the relationship between hydrographic conditions, physical features and the distribution and abundance of marine organisms in the vicinity of the Gulf of the Farallones region and the coastal and pelagic region west of Sonoma County.

- C. Link local abundance and distribution data sets with associated habitats, oceanographic features, and occurrence and distribution of human activities, such as vessel activities.
- D. Monitor phytoplankton for detection of harmful algal blooms.
- E. Identify and map specific and trend information for identification of areas of ecological significance and changes of ranges as potential indicators of climate change.

Activity 4.2 Expand sanctuary's Rocky Intertidal Monitoring Program. The rocky intertidal habitat of the sanctuary is limited to outer coast and island shorelines. This habitat is subjected to extraction, trampling impacts from humans and wildlife, smothering and scouring from natural and human-induced erosion factors, permanent destruction from vessel groundings, loss of acreage from non-native species, and impacts from pollutants such as urban run-off and vessel spills. Restoration of the rocky intertidal habitat is difficult and time-consuming, with projects often taking from seven to ten years.

- A. Continue monitoring of the rocky intertidal areas of the Farallon Islands and re-establish long-term monitoring of six mainland monitoring sites: Bodega Head, Pinnacle Rock, Estero Americano, Duxbury Reef, Slide Ranch, Bean Hollow and Pigeon Point and along the Sonoma and southern Mendocino coasts. The objectives are to: 1) establish non-destructive, permanent sampling transects, quadrats and density plots within the intertidal areas of the GFNMS; 2) determine native and introduced species inventory in the intertidal communities; 3) determine primary and secondary cover in established quadrats; 4) determine percent cover of sessile organisms; 5) determine density of macroinvertebrates susceptible to oil spill injury; 6) photo-document, collect and archive voucher specimens from the intertidal areas for future reference. Through regular assessment (monitoring) of the condition and health of this sensitive habitat, sanctuary staff can detect acute changes and long-term trends. Monitoring information can also indicate if a management action is effective and having positive results.
- B. Integrate monitoring protocols and data sets with CeNCOOS, West Coast Observations Sanctuary Ecosystem Assessment Stations, , Multi-agency Rocky Intertidal Network (MARINe), Partnership for Interdisciplinary Studies of Coastal Oceans (PISCO), and the National Park Service. Provide data sets and integrated analyses to the State's Marine Life Protection Act Initiative, marine protected areas.
- C. Provide species inventory updates and integrate with introduced species detection programs.

Activity 4.3 Long-term monitoring of sanctuary physical/oceanographic processes

A. Reestablish West Coast Obs-Sanctuary Ecosystem Assessment Stations (SEA Stations). SEA Stations are nearshore and near-island buoy-instrumentation, customized for particular locations. SEA Stations measure environmental events that affect marine life.

The stations measure physical processes that affect distribution, settlement, growth and reproduction of marine life. Arrays have been placed at areas of water mass convergence, areas of strong upwelling influence and high productivity, and also near rocky intertidal monitoring sites. Interannual and shorter-term upwelling and relaxation events have been shown to drive recruitment and movement of certain fish species. It is also likely that these events affect other wildlife, including keystone species. The GFNMS will reestablish three arrays to continuously measure water column temperature, providing information necessary to understand and track water mass movements that affect recruitment of key species to coastal habitats. The stations shall be located at: located at: Bodega Head, Southeast Farallon Island, and Pigeon Point. A fourth and fifth array shall be newly established at Double Point and Point Arena.

B. Establish Cooperative Agreement with Bodega Bay Marine Lab for long-term maintenance and periodic replacement and upgrades to array hardware; data down loading and web posting; data interpretation and integration with biological assemblage data and ecological areas of significance.

Activity 4.4 Conduct research and monitoring to assess eelgrass beds

- A. Develop and implement an eelgrass status study to assess size, density, health, and species richness of eelgrass beds in the sanctuary.
- B. Periodically review effectiveness of regulation. Assess size and location of management zones.

STRATEGY CS-5: Complete characterization of sanctuary biological and physical features.

Activity 5.1 Map sanctuary habitat types and bottom substrate. A habitat map will provide important baseline information for management including relative proportions of sanctuary habitats; the current state of sanctuary wildlife and habitats as a basis against which to measure future change; unique habitats; identify areas of ecological significance; and extent of injuries from anthropogenic stressors.

Activity 5.2 Identify and map seasonal and year round circulatory patterns for surface and subsurface currents. Relate circulatory patterns to abundance and distribution of flora and fauna. Characterizing and mapping local and regional circulatory patterns and influences is important because the sanctuary is located in one of the world's four major upwelling systems. The upwelling of nutrient-rich, deep ocean water supports a food-rich environment and promotes the growth of organisms at all levels of the marine food web. The interaction of major currents, wind, topography, and other factors create coastal upwelling in the spring and summer that influences the biological productivity of the sanctuary. This process drives the productivity of the area by bringing cool, nutrient-rich waters from deep offshore to the sunlit inshore surface. Upwelling increases the productivity of surface waters by supporting large plankton blooms, the basis for the abundance of marine life in the sanctuary.

Activity 5.3 Characterize the soft and hard bottom epifaunal communities. Survey the surface biota and sediment characteristics, quantify estimates of abundance and distribution of epifauna, assess disturbance effects and marine debris, develop species list of invertebrates and epifaunal fish, and characterize cultural resources.

Activity 5.4 Integrate characterization, mapping and monitoring programs with regional ocean observation programs along the west coast and incorporate the sanctuary program's System Wide Monitoring guidelines.

Strategy CS-6: Work with partners to integrate data integration and infrastructure for SEAS programs.

Activity 6.1 SEAS program needs to be fully integrated with other science programs on a regional basis and need to use new technologies to link data sets from local and regional ecosystem monitoring and characterization programs within the West Coast sanctuaries. As part of an effort to develop a west coast regional observation system to support system-wide monitoring in the five West Coast sanctuaries, the ONMS will partner with researchers and the National Oceanographic Data Center (NODC) National Coastal Data Development Center (NCDDC) and will use new technologies for data and information management.

- A. Partner with local and regional researchers to develop complementary data collection methods and consistent data base structures to improve data exchange and data integration.
- B. Partner with the National Oceanographic Data Center (NODC) National Coastal Data Development Center (NCDDC) for data and information management support. Work with NCDDC to support ONMS efforts to build on SIMoN's existing structure to enhance data input and review, data management, analyses, reporting, archiving and dissemination functions in order to facilitate the use of the SIMoN framework by other sanctuaries. NCDDC will address requirements and needs for data rescue, metadata, federal compliance issues, and data accessibility and delivery. In addition, NCDDC will work with the ONMS to expand the use of the Sanctuary Integrated Monitoring Network (SIMoN) planned for the GFNMS in 2015.
- C. Develop the administrative infrastructure to identify and act on cross-boundary opportunities, collaborate with large-scale initiatives, and interpret the results for natural resource managers and public audiences across the region.
- D. Establish a regional monitoring coordination team. The regional monitoring team shall consist of the site's research coordinator and possibly additional science staff. The team will develop a regional science communication plan to improve coordination, evaluate effectiveness of monitoring programs, develop "state of the sanctuary" reports to help assess the health of the sanctuaries, and develop a regional ecosystem-based science

- operating plan in collaboration with each other to meet site, regional and national monitoring needs.
- E. Increase the use of new technologies to enhance data collection, expedite data management, and improve data availability for outreach and ecosystem protection. The sanctuary will automate data collection for near-real time retrieval of uncorrected data by developing on-line data entry and data downloading, and building a multi-sanctuary "real-time" database. The data will be available through CICORE, SEAMAP, SIMoN and IMaST portals and should result in expedited project analyses and findings, the ability to post new findings on the web site, and integrate new findings into exhibits and classroom activities.

Potential Partners:

Federal: National Park Service, Point Reyes National Seashore, US Fish and Wildlife Service (USFWS), NOAAs Deep-sea Coral Research and Technology Program, NOAA Damage Assessment, Research and Restoration Programs (DARRP), National Marine Fisheries Service (NMFS), NMFS SW Science Centers, NMFS Marine Mammal Stranding Network, NOAA Marine Debris Program, NOAA National Oceanographic Data Center (NODC), NOAA National Coastal Data Development Center (NCDDC), Southwest Environmental Response Application (ERMA), Monterey Bay National Marine Sanctuary (MBNMS), MBNMS Beach COMBERS, MBNMS-Sanctuary Integrated Monitoring Network (SIMON), Olympic Coast National Marine Sanctuary (OCNMS), OCNMS Central Observation and Seabird Survey Team (COASST), Cordell Bank National Marine Sanctuary (CBNMS), San Francisco Bay National Estuarine Research Reserve, CeNCOOS, NSF IGERT Internship

State & County: California Department of Fish and Wildlife (CDFW), CA State Parks, CA Office of Spill Prevention and Response (OSPR), CA Department of Public Health HAB monitoring, CA-MLPA program, PISCO, BOME MARINE, State Coastal Conservancy, Sonoma State University, UC Davis Bodega Marine Lab, Duke University, San Francisco State University (SFSU), Hawaii Pacific University, University of Washington, Duke University SEAMAP, CICORE, Sonoma Coast State Parks, Fitzgerald Marine Reserve (FMR), Moss Landing Marine Laboratories

Other: Farallones Marine Sanctuary Association (FMSA), Point Blue, Tomales Bay Watershed Council, Stewards of the Coast and Redwoods, Sea Ranch Task Force, Marine Mammal Center, California Academy of Sciences

GFNMS CONSERVATION SCIENCE

Performance Measures

Strategy Title(s)	Performance Goal	Desired Outcome (Objective)	Outcome Measure	How Measured	Who Measures	Output Measure
STRATEGY CS-1: Maintain Beach Watch program to monitor marine life and human activities on sanctuary beaches.	Develop monitoring programs to establish baselines, understand long-term status and trends, detect emerging issues, and guide management decisions.	Design research and monitoring projects that are responsive to management concerns and contribute to improved management of the sanctuary.	Increase understanding of human-use activities and their impacts on sanctuary resources.	1) Complete baseline data set about the resources of the sanctuary. 2) Expand long-term data set. 3) Integrate data into ERMA online ArcView database to be used during emergency response.	Ecosystem Protection Coordinator and Research Coordinator	1) Beach Watch Annual Report 2) Collaborative research papers 3) NRDA data 4) Web-based database
STRATEGY CS-2: Conduct research, as needed, to guide permit conditions	Develop monitoring programs to understand long-term status and trends, detect emerging issues, and guide management decisions.	Design research and monitoring projects that are responsive to management concerns and contribute to improved management of the sanctuary.	To determine appropriate permit conditions and effectiveness of new regulations.	1) Complete assessment of white shark behavior in relation to numbers of vessels, at approach distances, during various predator-prey interactions (short term). 2) Sufficient data to make recommendations.	Research Coordinator and Ecosystem Protection Coordinator	Report with recommendat ions

Strategy Title(s)	Performance Goal	Desired Outcome (Objective)	Outcome Measure	How Measured	Who Measures	Output Measure
STRATEGY CS-3: Host a biennial research workshop to facilitate information exchange in the GFNMS.	1) Increase our knowledge and understanding of the estuarine, nearshore and offshore ecosystems in GFNMS. 2) Develop monitoring programs to understand long-term status and trends, detect emerging issues, and guide management decisions. 3) Develop research programs to identify and address specific resource management issues and assess effectiveness of management solutions.	Encourage information exchange and cooperation among all organizations and agencies undertaking management related research in the sanctuaries to promote more timely and informed management.	1) To track data collected on sanctuary wildlife and habitats and qualities as a source of information for managing sanctuary resources. 2) Identify data gaps as they pertain to management needs.	Track increases in number and quality of monitoring and research projects in and around the sanctuary, and their relevance to sanctuary resources management issues.	Sanctuary Superintendent, Research Coordinator, Ecosystem Protection Coordinator	1) Workshop proceedings 2) Website 3) SIMON listing
STRATEGY CS-4: Develop and implement integrated sanctuary ecosystem assessment and monitoring programs	Develop monitoring programs to establish baselines, understand long-term status and trends, detect emerging issues, and guide management decisions.	Design research and monitoring projects that are responsive to management concerns and contribute to improved management of the sanctuary.	Increase understanding of human-use activities and their impacts on sanctuary wildlife and habitats.	1) Complete baseline data set about the habitats and wildlife of the sanctuary. 2) Expand long-term data set. 3) Integrate data into ERMA online ArcView database to be used during emergency response.	Research Coordinator Resource Protection	1) SEAS Biennial Report 2) Rocky intertidal biennial report, 3) Collaborative research papers 4) NRDA data 5) Web-based database

Strategy Title(s)	Performance Goal	Desired Outcome (Objective)	Outcome Measure	How Measured	Who Measures	Output Measure
STRATEGY CS-5 Complete characterizations of sanctuary biological and physical features.	Adequately characterize sanctuary resources to establish baselines, understand long-term status and trends, detect emerging issues, and guide management decisions.	Complete site characterization of all sanctuary habitats, key indicator species and oceanographic processes, and physical features of the sanctuary.	Increase understanding of sanctuary wildlife and habitats and physical processes and how the sanctuary effect population health	1)Complete baseline benthic surveys and maps 2) Update species inventory 3) Quantify species distribution 4) Quantify introduced species distribution	Research Coordinator Resource Protection	1) Benthic maps of areas of ecological significance, and species 2) inventory of native species, 3) inventory of introduced species
STRATEGY CS-6 Work with partners functional integration and infrastructure for SEA Station and Survey programs	Effective operations and increased public awareness and information exchange	Automate data collection procedures to expedite data exchange; data summaries and data interpretation on web sites	Increased access and distribution of data	Data are analyzed within one year of collection and summary is posted	Research Coordinator	1) Use of data logging and digital imagery; 2) Methods are used by multiple management and marine researchers; 3) DRAFT data sets are available for emergency response and injury assessment activities within three days of collection



RESOURCE PROTECTION ACTION PLAN

PROGRAM STATEMENT

Consistent with the purposes and policies of the National Marine Sanctuaries Act (NMSA), NOAA uses an ecosystem approach to managing the marine areas of the sanctuaries. Gulf of the Farallones National Marine Sanctuary's (GFNMS) ecosystems include habitat structure, species assemblages, and ecological processes, as well as the many interactions with humans and their activities. GFNMS developed a resource protection program to expressly maintain an ecosystem perspective while providing oversight in addressing the multitude of resource protection issues the sanctuary is currently facing, as well as anticipating and planning for new and emerging issues on the horizon.

PROGRAM DESCRIPTION

Pursuant to the NMSA, GFNMS' role is protection of the area's natural resource and ecosystem values by protecting the biodiversity, productivity and aesthetic qualities of the marine environment of the Gulf of the Farallones through ecosystem-based management. The GFNMS incorporates the following principles into management:

- 1. Ecosystem-based management;
- 2. Precautionary approach;
- 3. Adaptive management; and
- 4. Managing for sustainability.

RESOURCE PROTECTION GOAL

Maintain and, where necessary, restore the natural biological and ecological processes in the sanctuary by evaluating and addressing adverse impacts from human activities on sanctuary ecosystems.

RESOURCE PROTECTION OBJECTIVES

1. Ensure protection for the habitats, wildlife, and qualities of GFNMS.

2. Continue to build on partnerships, collaborative efforts, and coordination with other agencies, institutions, and organizations, in taking a comprehensive and effective ecosystem protection approach.

RESOURCE PROTECTION ACTION PLAN

NEW AND EMERGING ISSUES

Although a wide range of issues have been included in the management plan action plans, many other issues are not addressed. These include: (1) issues which are currently considered to have relatively small impacts, but which may grow to have large impacts in the future; (2) activities which may be occurring in similar environments, but not actually in the sanctuary; and/or (3) activities that are based on new technology and their potential impacts are not well understood. Emerging issues may include activities that are currently unforeseen, but may emerge in the future due to technological advances, changes in operations, changes in market demand, and increased pressures on the coast.

STRATEGY RP-1: Develop a coordinated communication system among all national marine sanctuaries and other natural resource management agencies to stay informed about new and emerging issues, share information, and provide a forum for exchange and policy discussion.

Activity 1.1 The National Oceanic and Atmospheric Administration (NOAA), National Ocean Service (NOS), and ONMS are addressing new and emerging issues in some capacity every day. Each of these divisions and offices comment on environmental documents from other agencies, provide comment on policy development from within NOAA, and consult on new and emerging issues either on the ONMS site level or from congressional inquiries. GFNMS will track, review, and comment on environmental assessments and environmental impact statements (EIS) that have the potential to affect the resources of GFNMS.

Activity 1.2 GFNMS will formalize a communication system and leverage opportunities with other natural resource management agencies to exchange ideas on new and emerging issues.

STRATEGY RP-2: Develop a resource protection plan (policy) and potential regulations, such as prohibitions that utilize Special Wildlife Protection Zones, to minimize user conflicts and provide special areas of protection for sensitive habitats, living resources, and other unique sanctuary features.

Activity 2.1 Determine the need to take a proactive approach and address specific ecosystem management issues. This plan will be built in consideration of other management strategies, both temporary and permanent.

- A. Characterize and map the wildlife and habitats of the sanctuary to identify and link species distribution with critical areas/phases of their life history.
- B. Overlay socioeconomic profile of human activities taking place in the sanctuary.

C. Use stakeholder-based group processes either by receiving advice from the sanctuary advisory council or participating in other agency working groups, and scientific expertise to review data to determine possible indicators of "special areas of concern" and/or "species of concern."

STRATEGY RP-3: Develop strategy to protect habitats that are known to be "special areas of concern."

Activity 3.1 Using data collected by the sanctuary's conservation science program as well as other research programs, identify "special areas of concern".

Activity 3.2 Through a collaborative process, develop a strategy to protect the habitats that were identified as "special areas of concern". Actions may include the following:

- A. Propose a sanctuary advisory council working group to assess the need for additional low overflight prohibition areas in the sanctuary.
- B. Investigate changing cargo restriction prohibition areas to "Areas to be Avoided" through an International Maritime Organization process.
- C. Work with the National Park Service (NPS) to consider if additional sanctuary protections are needed for waters adjacent to NPS Wilderness Areas.

Activity 3.2 Assess effectiveness of existing zonal regulations and modify as necessary.

REGULATORY DEVELOPMENT

One of the NMSA's purposes is to facilitate compatible use that is consistent with its primary purpose of ecosystem protection. To this end, each of the national marine sanctuaries has a discreet set of site-specific regulations or prohibitions (15 CFR § 922), and general policy under the NMSA (16 USC § 1431 et seq.).

STRATEGY RP-4: GFNMS will develop a program to consistently and continuously review and evaluate sanctuary regulations, including its boundaries.

Activity 4.1 Evaluate the appropriateness and effectiveness of current sanctuary regulatory language (prohibitions) in addressing the priority ecosystem protection issues identified through the management plan review process.

- A. Interpret, refine, amend, and develop site-specific regulations as needed following the appropriate public process.
- B. Ensure coordination and consistency with other natural resource management agencies regulations and permits.
- C. Propose the sanctuary advisory council establish a working group to assess and make a recommendation on extending the sanctuary's eastern boundary into coastal estuaries.

D. Participate in a national-level review of national marine sanctuary regulations pertaining to cruise ships to determine if NOAA might consider amending existing cruise ship regulations.

PERMITTING

Generally, permit requests are for research or education purposes. The sanctuary evaluates these requests on a case-by-case basis in detail to determine if the activity is necessary to be conducted in the sanctuary and the extent of the activity's impacts on sanctuary resources or qualities.

STRATEGY RP-5: Continue to implement a formalized permit program as a mechanism to review requests to conduct prohibited activities within the sanctuary, and where possible permit these activities to be conducted in such a way to have negligible effects.

Activity 5.1 In order to understand, monitor, and control prohibited activities within the sanctuary, and to minimize cumulative impacts from these activities, the permit program will continue to review projects by:

- A. Evaluating permit requests on a case-by-case basis.
- B. Developing permit requirements and conditions for applicants on procedures and operations to avoid or reduce impacts to sanctuary wildlife, habitats, or qualities.
- C. Tracking permitted activities to ensure compliance with permit conditions.
- D. Requiring applicants to provide the sanctuary with the data and findings gained through research conducted with research permits and submit findings on SIMoN.
- E. Ensure permits are issued in compliance with national policies, National Environmental Policy Act (NEPA), NMSA, Marine Mammal Protection Act (MMPA), and other environmental protection legislation.
- F. Review all proposed projects with respect to environmental consequences and the level of impact, individually or cumulatively, and make a determination if the activity is excluded from the requirement to prepare an environmental assessment or environmental impact statement.

Activity 5.2 The Permit Coordinator will coordinate with other regulatory agencies issuing permits to ensure consistency with applicable laws.

A. Coordinate with other regulatory agencies to ensure that other agency permits are consistent with the sanctuary's regulations. Inconsistencies may be rectified by incorporating or referencing the sanctuary's regulations.

B. Consult with other agencies, as needed, to ensure that Sanctuary permits are consistent with other agencies' laws and regulations and develop appropriate permit conditions.

Activity 5.3 Conduct outreach about the sanctuary's permit process to help inform potential applicants and bring them into compliance with the sanctuary's permit process.

- A. Provide sufficient outreach to education and research institutions and individuals wishing to conduct prohibited activities within the sanctuary about the permit application process.
- B. Use the sanctuary advisory council as a link to educate the larger community on the sanctuary's permitting process.

PROTECTED RESOURCES ENFORCEMENT AND COMPLIANCE PLAN

The objective of this program is to achieve ecosystem protection through compliance with sanctuary regulations and other applicable state and federal statutes. The mission of sanctuary enforcement is to ensure compliance with the NMSA (16 USC § 1431 et seq.) and applicable regulations of the sanctuary (15 CFR § 922). The approach is two-fold in nature: (1) conducting public outreach as a tool to inform and encourage voluntary compliance; and (2) the use of patrols and other traditional law enforcement methods to enforce regulations and investigate incidents or suspected prohibited activities. Together, these two programs should result in a regular and ongoing deterrent presence in sanctuary waters and improve compliance with sanctuary regulations.

STRATEGY RP-6: Strive to increase ecosystem protection through compliance with sanctuary regulations and other applicable local state and federal statutes that protect sanctuary natural resources.

Activity 6.1 Ensure sufficient patrol presence in the sanctuary through the development of partnerships and interagency coordination.

- A. Develop enforcement priorities and articulate them on an annual basis in NOAA Joint Enforcement Agreement (JEA) between NOAA Office of Law Enforcement and California Department of Fish and Wildlife.
- B. Develop compliance priorities for permitted activities.
- C. Develop recommended patrol schedules.
- D. Develop partnerships with other federal, state and local enforcement agencies in order to provide a strong multi-jurisdictional enforcement presence throughout the sanctuary.
- E. Facilitate communication among enforcement assets to ensure coordination. This can be done through the establishment of a Law Enforcement Technical Advisory Committee.

- F. Promote training and, as appropriate, offer to other law enforcement agencies.
- G. Involve the USCG Auxiliary, Lighthawk and the Civil Aeronautical Patrol (CAP) in presence and patrol in sanctuary waters.

Activity 6.2 Use outreach tools to inform and encourage voluntary compliance with sanctuary regulations. These tools such as presentations, signage, electronic communications, newsletters, and displays may be used to affect behavior and change values as it is generally believed, that once informed, most individuals will choose to comply. Efforts will include:

- A. Integrate basic information regarding sanctuary regulations, as needed, into coast-side signage throughout geographic range of sanctuary.
- B. Work with California Dept. of Motor Vehicles to include informational inserts in boat license renewal packets (to be coordinated with all California national marine sanctuaries).
- C. Give presentations to boaters, pilots, ocean and coastal enforcement entities, and other appropriate groups.

Activity 6.3 Develop a volunteer-based program that will use education and outreach to affect behavior and values to achieve voluntary compliance with sanctuary regulations.

- A. Identify major user groups for targeted education and outreach efforts about sanctuary regulations.
- B. Conduct community outreach program to encourage compliance with sanctuary regulations and citizen involvement in reporting potential violations.
- C. Hold meetings and workshops to inform user groups and promote voluntary compliance and stewardship.
- D. Train volunteers as a component of the Sanctuary Naturalist Corps (see Education Action Plan).

Activity 6.4 Develop enforcement tools to ensure effectiveness of the enforcement program.

- A. Provide assistance to Office of the General Counsel Enforcement Section (GCES) on developing hierarchy of options for addressing minor violations including: warnings, fixit tickets, and summary settlements/on the scene citations when applicable.
- B. Evaluate the effectiveness of technology for surveillance including satellite imagery, unmanned aerial surveys, wireless cameras, and tracking systems.

- C. Provide technical assistance to NOAA Office of Law Enforcement and GCES on violation assessment, including conducting impact analyses.
- D. Comment on national penalty schedule.

EMERGENCY RESPONSE

Incidents within the sanctuary requiring an emergency response may have the potential to significantly impact sanctuary wildlife, habitat and cultural resources. Incident response may be to a recently occurring catastrophic event (e.g., plane crash or vessel grounding), or the delayed or persistent impacts from incidents that occurred years previously (e.g., dumpsites or historic shipwrecks).

STRATEGY RP-7: Review and revise the sanctuary's spill response plan and emergency response portfolio (ERP) in order to be prepared to respond to an incident.

Activity 7.1 GFNMS will review and revise its in-house, spill response plan and ERP, based on the Incident/ Unified Command System (ICS) and the USCG's Area Contingency Plan, to respond to oil spills, hazardous material spills, grounded vessel or natural disasters. The response plan will also be reviewed, evaluated and updated on an annual basis. The ERP will be reviewed, evaluated and updated on an as-needed basis throughout the year. GFNMS' spill response plan and ERP:

- A. Lays out emergency response notification (including all relevant agencies, user groups, and media) and preparation procedures.
- B. Identifies specific duties for sanctuary staff.
- C. Outlines training standards and instructs all sanctuary staff to be trained on an ongoing basis with regular updates and refresher courses, and be ready to respond in the case of an emergency.
- D. Provides appropriate contact lists for other ONMS sites, responder agencies, local, state, and federal park and land managers, and life safety agencies.

Activity 7.2 Develop tools to ensure a coordinated and timely response to incidents.

- A. Establish a relationship and coordinate with ORR, Emergency Response Division, NOAA's Science Support Coordinator and NOAA Regional Response Team representative, and the ONMS (including other sanctuary site emergency response staff, the West Coast Regional Office, and Headquarters).
- B. Identify resources at risk, potential high probability threats, available response and information assets, notification contacts, maps, coastal observation systems, and jurisdictional information. This information can be used in area contingency plans, the GFNMS in-house emergency response plan, and the Southwest Environmental Response

Management Application (ERMA).

- C. Provide GFNMS data and information to help populate the Southwest ERMA, a web-based interface system that is by responder agencies (e.g. USCG, CDFW OSPR, NOAA ORR) used on- and off-line to assist in incident response, facilitating the abilities of sanctuary staff to provide information to a unified command during an incident. Enhance ERMA to accept and provide near-real time data collected during response efforts.
- D. Participate in the Resources and Undersea Threats (RUST) database that catalogs submerged resources, threats, and hazards data.
- E. Develop contingency response fund for prompt removal or recovery of abandoned vessels.
- F. Identify potential injury to resources at risk from the proposed use of alternative response technologies during an oil pollution response event by performing a regional specific Net Environmental Benefits Analysis. Develop a GFNMS policy and recommended guidelines for the use of dispersants in advance of a spill in order to ensure this information can be more readily provided to the ICS, Unified Command.
- G. Maintain and enhance data collection, interpretation, and mapping from Beach Watch and ACCESS monitoring for determining resources at risk, effectiveness of response measures, clean-up end points, and baseline conditions.

Activity 7.3 Assess levels of potential risk from activities in and adjacent to the sanctuary.

- A. Track distribution and numbers of sensitive species and habitats.
- B. Develop resources-at-risk model analysis for the sanctuary.
- C. Participate in regional response team to address risks to sanctuary resources.
- D. Based on risk assessment, develop outreach program targeting user groups.

DAMAGE ASSESSMENT AND RESTORATION

Section 312 of the NMSA authorizes NOAA to pursue civil actions to recover response costs and damages for incidents that injure, destroy, or cause the loss of sanctuary resources. Funds collected by NOAA under Section 312 are deposited in the Damage Assessment and Restoration Revolving Fund (DARRF). The scope of Section 312 covers how the response costs and damages recovered shall be retained and used, as follows:

- (1) RESPONSE COSTS.—Amounts recovered by the United States for costs of response actions and damage assessments under this section shall be used, as the Secretary considers appropriate—
 - (A) to reimburse the Secretary or any other Federal or State agency that

- conducted those activities; and
- (B) after reimbursement of such costs, to restore, replace, or acquire the equivalent of any sanctuary resource.
- (2) OTHER AMOUNTS.—All other amounts recovered shall be used, in order of priority—
 - (A) to restore, replace, or acquire the equivalent of the sanctuary resources that were the subject of the action, including for costs of monitoring and the costs of curation and conservation of archeological, historical, and cultural sanctuary resources;
 - (B) to restore degraded sanctuary resources of the national marine sanctuary that was the subject of the action, giving priority to sanctuary resources and habitats that are comparable to the sanctuary resources that were the subject of the action; and
 - (C) to restore degraded sanctuary resources of other national marine sanctuaries.

STRATEGY RP-8: Formalize plans that address incidents that injure sanctuary ecosystems.

Activity 8.1 Coordinate with NOAA's Office of Response ONMS HQ, ORR Assessment and Restoration Division, the National Marine Fisheries Service Restoration Center (RC) and Trustee Councils to restore sanctuary wildlife and habitats.

- A. Work with other NOAA offices and agencies to assess natural resource damages and develop and implement ecosystem restoration projects.
- B. Coordinate with ONMS Resource Protection Coordinator and Office of Response and Restoration (ORR) Assessment and Restoration Division on Natural Resource Damage Assessments (NRDA). Provide cost estimate and cost documentation for response and NRDA assessment costs. Provide ONMS HQ, General Counsel for Natural Resources, ORR and RC and other state, tribal and federal trustees litigation support as appropriate.
- C. Work with ONMS Resource Protection Coordinator and trustee councils (oil spill cases) to implement restoration programs.
- D. Work with state, tribal and federal trustee scientists on developing monitoring programs to assess restoration effectiveness if sufficient funds are provided in settlements.

COLLABORATIVE PLANNING AND MANAGEMENT

Sanctuary program development and planning efforts provide an opportunity for public input in identifying and resolving ecosystem protection issues. These partnerships and public involvement are essential ingredients to successful resolutions and implementation of strategies.

STRATEGY RP-9: Continue to build partnerships and leverage opportunities for protecting sanctuary wildlife, habitats, qualities and cultural resources.

Activity 9.1 Coordinate development of collaborative processes.

- A. Identify appropriate partners for implementing the management plan.
- B. Coordinate with sanctuary advisory council on multi-stakeholder options for addressing ecosystem protection issues.
- C. Provide coordination, oversight and facilitation, as appropriate, to issue-specific committees addressing targeting issues.

Activity 9.2 Coordinate with other agency management and restoration plans to enhance and protect the sanctuary.

- A. Coordinate with Marin County Open Space and the National Park Service on Bolinas Lagoon restoration plans.
- B. Coordinate with the Farallon National Wildlife Refuge on the Coordinated Conservation Plan update.
- C. Coordinate with U.S. Coast Guard on commercial vessel traffic patterns and whale observations.
- D. Coordinate with NMFS, Sonoma County Water Agency, and U.S. Army Corps of Engineers on the management of the Russian River mouth.
- E. Coordinate with the Bureau of Land Management California Coastal National Monuments on realizing the objectives of the Implementation Plan for the President's National Ocean Policy.
- F. Coordinate with the California State Lands Commission on projects proposed within State coastal waters of the sanctuary.
- G. Take an active role in reviewing project proposals, environmental impact statements and environmental impact reports as needed to protect and restore sanctuary biological and ecological processes.

RADIOACTIVE WASTE DUMP

The area referred to as the "Farallon Islands Radioactive Waste Dump" (FIRWD) is where approximately 47,800 barrels of low-level radioactive waste were dumped between 1946 and 1970. Although the containers were to be dumped at three designated sites, they are actually strewn over an area of 540 square miles in depths ranging from 300 to more than 6,000 feet

within GFNMS. Research results to date are inconclusive on the impacts on the marine ecosystem from radioactive leakage. Significant public fear and uncertainty about the contamination from leaking barrels continue, particularly since major commercial fishing, sport fishing and other recreational activities take place in the area in and above the dump site.

STRATEGY RP-10: Evaluate condition of, and actual impacts on sanctuary resources and qualities from the Farallon Islands radioactive waste dump.

Activity 10.1 Convene a group of agency scientists to evaluate status of radioactive waste dump and make recommendations on roles and responsibilities for addressing some of the issues associated with FIRWD.

- A. Identify appropriate agency partners.
- B. Inventory current research on the FIRWD and identify data gaps.
- C. Determine under whose mandate the issues/impacts will be addressed.

Activity 10.2 Develop an outreach campaign to inform the public on the status and potential threats of the FIRWD.

- A. Develop a communications plan to systematically educate the public and target audiences on a routine basis about the status of FIRWD.
- B. Develop a list of audiences, both targeted and general public, on which to focus outreach efforts.
- C. Update nautical charts to show known area with radioactive waste containers.
- D. Identify partners, such as other agencies or institutions, to help develop outreach materials and participate in outreach efforts.

ECOSYSTEM RESTORATION

In order to restore the natural biological and ecological processes of the sanctuary, it is critical to evaluate and address adverse impacts from human activities on sanctuary wildlife, habitats and qualities. Tomales Bay and Bolinas Lagoon are two places in the sanctuary that have been identified as a priority for ecosystem restoration projects. Tomales Bay and Bolinas Lagoon have long been recognized as special places deserving a high level of protection by citizens and local, state and federal agencies. Both areas are significant biological communities that support a diversity of habitats, including eelgrass beds, intertidal sand and mud flats and salt and freshwater marshes. Thousands of species of birds, invertebrates and plants and numerous threatened and endangered species inhabit both of these estuarine ecosystems.

STRATEGY RP-11: In cooperation and coordination with other local, state and federal agencies, develop and implement a comprehensive plan to ensure the protection of water quality, wildlife, habitats and safety in Tomales Bay.

In 2013 GFNMS, in collaboration with the California State Lands Commission, adopted the Tomales Bay Vessel Management Plan, which was developed as the result of a long-term multiagency effort to streamline and coordinate vessel management activities for the benefit of the public. It represents extensive input from the boating community and other local stakeholders, and the intended outcome is a collaborative plan that provides guidance to the agencies and the public for managing boater-related uses of Tomales Bay. The primary goals of the Plan are to protect habitat, decrease threats to and disturbance of wildlife, and ensure safe and enjoyable water-related recreation by removing and preventing illegally and improperly placed moorings and mooring materials. The Plan addresses numerous issues including vessel sewage discharge, impacts from moorings, derelict or deserted vessels, introduction of invasive species, disturbance of wildlife, and discharges of oil, fuel, and vessel maintenance products.

GFNMS has taken a lead in proposing both programmatic and management actions to address priority ecosystem protection issues that complement other agencies' actions, and is one of the lead agencies supporting the development of a comprehensive plan for Tomales Bay. The adoption of the Vessel Management Plan was the first step in this endeavor and the following activities are based on the results of the adoption of the Vessel Management Plan.

Activity 11.1 Implement vessel management guidelines in coordination with ten local, state and federal agencies to address vessel use that may be impacting sensitive habitats.

- A. Control the number of moored vessels and/or moorings in Tomales Bay.
- B. Take actions to promote boater compliance with all discharge regulations.
- C. Identify sensitive areas that may warrant additional protection.
- D. Coordinate between agencies on developing an education program about impacts from moorings and vessel activities in Tomales Bay.

Activity 11.2 Develop sewage, oil and bilge water waste disposal and facility guidelines for public and private boating facilities.

A. Coordinate with existing public and private boating facility operators to develop sewage waste or oil and bilge waste facilities. Agency coordination will include streamlining permits and assisting with seeking funds for construction of sewage waste facilities.

- B. Encourage new facilities, or facilities with expansion plans, to provide sewage waste management options.
- C. Take regulatory action or develop voluntary guidelines to ensure that vessels that are occupied and moored within the Sanctuary have the capacity to manage on-board sewage waste during the extent of their day. Any regulatory action would be developed in accordance with mandates requiring public process.
- D. Coordinate with other agencies on developing a targeted outreach program to educate boaters on proper management of sewage waste.
- E. Work with the San Francisco Bay Regional Water Quality Control Board on developing regional standards for sewage disposal facilities for Tomales Bay.

Activity 11.3 Develop an enforcement plan to address derelict and abandoned vessels and moorings in Tomales Bay.

- A. Develop a plan for removal of derelict and abandoned vessels.
- B. Develop a plan for removal of moorings that are in violation of regulations and/or pose a threat to water quality, marine wildlife and natural benthic habitat, and/or safety of Tomales Bay.
- C. Take action to prevent placement of unapproved moorings.

Activity 11.4 Address impacts to sensitive habitats from construction, modifications and additions to docks and piers in Tomales Bay.

A. Protect sensitive nearshore and estuarine habitats by ensuring docks and piers in Tomales Bay stay within their existing footprint. Any regulatory action would be developed in accordance with mandates requiring public process.

Activity 11.5 Through a community-based, multi-stakeholder process develop a comprehensive plan addressing aquaculture and native oyster bed restoration in Tomales Bay.

STRATEGY RP-12: Work in collaboration with federal, state and local agencies, and the local community, to restore the natural ecological processes of Bolinas Lagoon.

Activity 12.1 Collaborate in the development and implementation of a comprehensive plan to examine actions that would reduce, and possibly reverse, sediment accumulation and habitat shifts caused by human impacts.

A. Participate as a member of the Bolinas Lagoon Advisory Council.

- B. Work with partners to design and implement restoration projects.
- C. Conduct regular outreach through meetings, workshops (e.g. the State of the Lagoon town hall events), and correspondence with the community to keep them apprised about on-going research and restoration efforts.
- D. Assist with seeking funds to restore Bolinas Lagoon.

STRATEGY RP-13: In cooperation and coordination with local, state and federal agencies, develop and implement a comprehensive plan to ensure the protection of water quality through promoting proper vessel waste disposal from vessels launching out of Arena Cove or other harbors and launch points adjacent to GFNMS.

Activity 13.1 Work with the City of Point Arena and Arena Cove Harbor staff to protect the waters of the sanctuary.

- A. The City of Point Arena and the Arena Cove Harbor lead the management and protection of Arena Cove. Work with the City and Harbor to develop vessel sewage waste management facilities. Agency coordination will include assisting with streamlining of permits and seeking funding for construction of sewage waste facilities.
- B. Coordinate with boaters and others partners on proper management of vessel sewage waste and other potential environmental issues.

CLIMATE SMART CONSERVATION

The sanctuary's Climate Smart Conservation Project is an effort to integrate climate change mitigation, monitoring, education, and adaptation into sanctuary management through the development of four planning documents: 1) Green Operations Plan; 2) Ocean Climate Indicators Monitoring Inventory and Plan; 3) Ocean Climate Education Plan, and 4) Climate Smart Adaptation and Implementation Plan. Combined these products will form the sanctuary's Climate-Smart Conservation Plan, a first of its kind along the California coast and within the National Marine Sanctuary System, and a guiding document for sanctuary management and partners to ensure long-term viability of the habitats and resources protected by the sanctuary.

STRATEGY RP-14: Integrate climate change mitigation, monitoring, education, and adaptation into sanctuary management through the development of the Gulf of the Farallones National Marine Sanctuary Climate Smart Conservation Plan.

Activity 14.1: Publish to the ONMS Conservation Science Series the report, "Ocean Climate Indicators: A Monitoring Inventory and Plan for Tracking Climate Change in the North-central California Coast and Ocean Region", that identifies 12 indicators and identifies a consistent way

to measure and manage the impacts of climate change in the region, and provides a foundation of scientific consensus for the Climate Smart Conservation Plan. This report was drafted by a working group of the Gulf of the Farallones National Marine Sanctuaries Advisory Council.

Activity 14.2 Reduce Site Emissions

- A. Complete an annual emissions inventory for the facilities and operations of GFNMS (ongoing since 2008).
- B. Maintain staff Green Team to prioritize and implement Green Operations Plan.

Activity 14.3 Develop the Ocean Climate Education Plan.

- A. Develop the Ocean Climate Education Plan through identifying existing and new education strategies that address the effects of climate change on local marine ecosystems, appropriate audiences for each impact, and funding requirements in the education and outreach focus areas of 1) Public Programs, 2) School Programs, 3) Exhibits and Interpretive Signage, and 4) Media.
- B. Review and incorporate specific education and outreach guiding principles into the plan, such as the NOAA Climate and Ocean Literacy Principles, Office of National Marine Sanctuaries Education Strategic Plan, and West Coast Sanctuaries Interpretive Plan.
- C. Incorporate the key climate change issues affecting the local marine environment identified in the Climate Change Impacts Report.
- D. Provide staff and partner education opportunities on climate change.

Activity 14.4 Develop the Climate Smart Adaptation and Implementation Plan.

- A. Identify scope of adaptation project and internal planning team.
- B. Internal planning team develop draft list of focal resources based on internal and external research and management documents.
- C. Conduct Focal Resources Stakeholder Workshop to finalize list of focal resources though expert input.
- D. Conduct Vulnerability Assessment Stakeholder Workshop to assess vulnerability of focal resources to climate change impacts.
- E. Conduct Scenario Planning Stakeholder Workshop to evaluate vulnerability assessments in the context of different scenarios for the interaction of climate and non-climate

stressors, and define and name distinct scenarios for the study region, based on the top 2 or 3 most uncertain/important drivers.

- F. Request a working group of the sanctuary advisory council to develop Climate Smart Adaptation recommendations.
- G. Sanctuary advisory council review recommendations and forward to the sanctuary superintendent.
- H. Sanctuary staff develops the Adaptation Implementation Plan, with immediate emphasis on identified pilot adaptation responses.

Activity 14.5 Assemble the GFNMS Climate Smart Conservation Plan and request ONMS Climate Smart Sanctuary Certification.

- A. Compile and format each separate plan into a comprehensive Climate Smart Conservation Plan.
- B. Prepare the necessary documentation to request ONMS Climate Smart Sanctuary Certification.

Potential Partners:

Federal: US Coast Guard (USCG), United Stated Department of the Interior (DOI), United States Geological Survey (USGS), United States Army Corps of Engineers, Environmental Protection Agency (EPA), U.S. Navy, Point Reyes National Seashore (PRNS), Golden Gate National Recreational Area (GGNRA), NOAA General Council Ocean Service (GCOS), National Marine Fisheries Service (NMFS), NOAA Office of Law Enforcement (OLE), NOAA Office of National Marine Sanctuaries (ONMS), Cordell Bank National Marine Sanctuary (CBNMS), Monterey Bay National Marine Sanctuary (MBNMS), Channel Islands National Marine Sanctuary (CINMS), Olympic Coast National Marine Sanctuary (OCNMS), sanctuary advisory council, NOAA National Centers for Coastal Ocean Science (NCCOS), NOAA Office of Response and Restoration (ORR) HAZMAT, National Park Service Pacific West Region, United States Fish and Wildlife Service (USFWS).

State & County: California Department of Fish and Wildlife (CDFW), California Department of Transportation (CalTrans), California Department of Health, CA Oil Spill Prevention and Response (OSPR), California State Lands Commission (CSLC), California Coastal Commission (CCC), California State Parks (SP), San Francisco Bay Regional Water Quality Control Board (SFRWQCB), California Department of Boating and Waterways (CDBW), California Department of Public Health (CDPH), California Ocean Science Trust, County of Marin, Marin County Sheriff, Marin County Open Space District, County of Sonoma, Sonoma County

Sherriff, Sonoma County Water Agency, City of Gualala, County of Mendocino, City of Point Arena.

Other: Marine Conservation Biology Institute (MCBI), Bolinas Lagoon Advisory Committee, Bodega Marine Lab (BML), Point Blue

GFNMS RESOURCE PROTECTION

Performance Measures

Strategy Title(s)	Performance Goal	Desired Outcome (Objective)	Outcome Measure	How Measured	Who Measures	Output Measure
STRATEGY RP-1: Develop a coordinated communication system among all national marine sanctuaries and other natural resource	Maintain the natural biological and ecological processes in the GFNMS by evaluating and addressing adverse impacts from human activities on sanctuary resources and qualities.	partnerships; collaborative efforts; and coordination with other agencies,	a proactive, rather than reactive approach to addressing issues, thus averting significant impacts on sanctuary resources.	ε	Superintendent, Ecosystem Protection Coordinator	Electronic Web- based tracking system
Review and revise the sanctuary's emergency response plan, and be prepared to respond to an incident.	Maintain the natural biological and ecological processes in the GFNMS by evaluating and addressing adverse impacts from human activities on sanctuary resources and qualities.	partnerships; collaborative efforts; and coordination with other agencies, institutions and organizations to take	sanctuary's ability to respond in a coordinated and timely manner to catastrophic events, and respond to delayed or persistent impacts to sanctuary	response drills to evaluate: 1) Emergency response notification system	Ecosystem Protection Coordinator,	1) Emergency response plan 2) ERMA 3) RUST

Strategy Title(s)	Performance Goal	Desired Outcome (Objective)	Outcome Measure	How Measured	Who Measures	Output Measure
Formalize plans that address incidents that injure sanctuary ecosystems.	biological and ecological processes in the GFNMS by evaluating and addressing adverse impacts from human	comprehensive and coordinated Resource Protection plan to ensure protection for	assess natural resource injury and restore	restoration projects and monitor to assess restoration effort effectiveness.	Ecosystem Protection Coordinator, Research Coordinator	



ADMINISTRATION ACTION PLAN

PROGRAM STATEMENT

In order for Gulf of the Farallones National Marine Sanctuary (GFNMS) to implement a management plan that is effective in addressing the priority site-specific and cross-cutting resource management issues, as identified through the management plan review process, GFNMS will need to strengthen its infrastructure by increasing staff and financial resources. In addition to basic infrastructure needs, some administrative areas that will be addressed include: building partnerships; improving interagency coordination; and addressing regulatory and enforcement issues.

PROGRAM DESCRIPTION

Since 1990, GFNMS has grown from a staff of three with a budget of under \$300,000, to a staff of twenty-six and budget of \$1.4 million in 2013. The GFNMS' office manages the GFNMS), and the northern portion of Monterey Bay National Marine Sanctuary (MBNMS).

The Office of National Marine Sanctuaries (ONMS) provides oversight and coordination among the thirteen national marine sanctuaries by developing a framework for resource management, and directing national program and policy development. The sanctuary superintendent oversees site-specific management functions including implementation of the management plan. The management plan makes use of two complementary and strategic tools for ecosystem management: (1) programs, or action plans, carried out through Conservation Science, Education, and Marine Resource Protection programs, and (2) regulations, for controlling or restricting human behavior that is not compatible with cultural resources and ecosystem protection. The sanctuary superintendent establishes who is responsible for implementing specific programs, provides an administrative framework to ensure that all cultural resources and ecosystem protection activities are coordinated, and provides and manages an appropriate infrastructure to meet the goals and objectives of the management plan. The sanctuary superintendent reports directly to the West Coast Region (WCR) of the ONMS. In this capacity, the sanctuary superintendent represents the ONMS and is the primary spokesperson for GFNMS.

The ONMS and GFNMS are committed to coordinating with other federal, state, and local agencies in a continuous ecosystem management process. This process is designed to ensure the long-term protection of the unique cultural resources, habitats and wildlife of this region, while considering the demands of multi-use interests. Because of the complexity of managing the activities and protecting cultural resources, habitats and wildlife in the sanctuary, cooperative

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efforts are necessary to effectively meet sanctuary goals. Overlapping jurisdictions, different agency mandates and limited resources necessitate the development of a management plan that brings together multiple institutions for the common purpose of ecosystem protection. Achieving the long- and short-term goals for this region requires the development of close and continuing partnerships.

ADMINISTRATIVE STRUCTURE

All thirteen national marine sanctuaries are managed by the ONMS. On an annual basis, the ONMS reviews and adjusts funding priorities and requirements to reflect ecosystem protection needs at each of the national marine sanctuaries. The ONMS and the site superintendent coordinate efforts to protect and manage sanctuary cultural resources, habitats, and wildlife with other federal, state, regional and local agencies according to the priorities laid out in the management plan, which itself is consistent with the purposes and policies of the National Marine Sanctuaries Act.

Sanctuary Superintendent

The GFNMS superintendent recommends to the ONMS priorities for annual allocation of funds for site-specific resource and ecosystem protection needs. The superintendent reports to the WCR on surveillance and enforcement activities, violations and emergencies, and program activities. The superintendent coordinates with the ONMS on evaluating, processing and issuing of permits; monitors and evaluates Conservation Science, Education, and Resource Protection programs; oversees staffing needs and requirements; coordinates on-site efforts of all parties involved in sanctuary activities including state, federal, regional and local agencies. Finally, the superintendent evaluates overall progress toward the resource and ecosystem protection objectives of the ONMS and prepares regular reports highlighting progress made in realizing these goals.

Sanctuary Staff

Under the direction of the superintendent, the sanctuary staff is directly responsible for implementation of the management plan. Although each staff member is assigned to one of the program areas, collectively the staff is responsible for coordinating their efforts in addressing resource and ecosystem protection issues.

Sanctuary Advisory Council

The sanctuary advisory council has been structured in accordance with the ONMS guidelines and procedures consistent with the National Marine Sanctuaries Act. The sanctuary advisory council, with its expertise and broad based representation, offers advice to the sanctuary superintendent on resource and ecosystem protection management issues and decisions. Gulf of the Farallones National Marine sanctuary advisory council representation includes fourteen agency and stakeholder representatives and their respective alternates, plus regional sanctuary representatives. The council is representative of a broad based constituency to ensure that the superintendent has a diverse information base upon which to make management decisions.

IMPLEMENTATION OF THE MANAGEMENT PLAN

Each of GFNMS' program areas (Education and Outreach, Conservation Science, and Resource Protection) has outlined action plans for implementing management plan strategies. These action plans are designed to directly address resource and ecosystem protection issues and guide management of GFNMS.

Action plans are purposely designed with general implementation guidelines as their parameters may change in the future. The action plans presented in the management plan address current resource and ecosystem protection issues identified as priorities by the sanctuary during the management plan review process. The implementation of these action plans is highly dependent on available staffing and financial resource allocation.

Implementation of the management plan requires: coordination within and between action plans; sharing of staff and financial resources between program areas; and cooperation and coordination among many federal, state and local government agencies, as well as private organizations and individuals.

GFNMS' administration provides an organized structure and support system for implementing management strategies while providing the flexibility and guidance necessary to address changing, new, and emerging resource management issues.

Implementation Costs

Operating funds for sanctuary management come from federal appropriations to the ONMS. These funds cover expenses such as personnel salaries, vessel use and maintenance, utilities, property rental, equipment, and supplies.

Unpredictable and variable funding for staff and program development may affect specific aspects of the sanctuary management plan. The scale and scope of certain programs may be modified due to any unforeseeable changes in the level of funding. However, the goals and objectives of the plan will remain unchanged.

OTHER MANAGEMENT TOOLS

With limited staff and financial resources, partnerships are an integral part of successful resource and ecosystem protection of the sanctuary. The Gulf of the Farallones sanctuary superintendent may draw from a selection of standard management tools to formalize relationships with other federal, state and local agencies or the private sector. Examples of these agreements are a Memorandum of Understanding or Agreement, a Letter of Understanding or Agreement, an Interagency Agreement, a Cooperative Agreement, a grant, or a contract.

ADMINISTRATION GOAL

1. Provide support for the site in achieving the goals of the management plan, and increase protection for the resources, ecosystem and qualities of the sanctuary.

ADMINISTRATION OBJECTIVES

- 1. Evaluate, maintain, and expand, as necessary, programmatic and administrative operations.
- 2. Identify appropriate staffing, budget levels, and facility needs to support implementation of the management plan.
- 3. Continue to build on partnerships, collaborative efforts, and coordination with other agencies, institutions and organizations.

ADMINISTRATION ACTION PLAN

OPERATIONS

The GFNMS headquarters office and visitor center (also known as the Crissy Field Campus) is located in the Golden Gate National Recreation Area at Crissy Field in the Presidio of San Francisco, California. In the future, satellite facilities and visitor centers are planned throughout the region.

STRATEGY AD-1: The sanctuary headquarters will be renovated and new sanctuary facilities will be developed through various partnerships with both the public and private sector.

Activity 1.1 Build a world class icon for marine stewardship in San Francisco at the Crissy Field Campus.

- A. Implement the long-range Facilities Master Plan to guide development of an iconic site for marine stewardship.
- B. Adopt the recommendations of the Golden Gate National Recreation Area's Fort Point Station Cultural Landscape Report.
- C. Rehabilitate the current main office space to accommodate additional staffing needs and allow for future growth.
- D. Sustainably design the facilities to follow LEED standards.
- E. Showcase sanctuary marine life and cultural heritage.
- F. Serve as a destination for greater ocean literacy.
- G. Expand the Crissy Field visitor center.

Activity 1.2 Serve as a model campus for "green operations" implementing conservation practices throughout sanctuary operations and facilities. Track and report GFNMS annual

emissions and recommend actions to decrease emissions. Develop incentive program to encourage staff participation in conservation practices.

Activity 1.3 Increase the sanctuary staff's ability to access the marine waters of the sanctuary by expanding vessel capabilities and contracting more vessel time to support research and monitoring efforts. The sanctuary's research vessel, the *FULMAR*, serves as a day-use platform supporting the three Central and Northern California sanctuary programs and partners. Investigate adding an additional boat to the West Coast Region to support the expanded GFNMS and CBNMS.

Activity 1.4 Revise and implement a facilities plan for satellite offices and visitor centers. GFNMS has identified Half Moon Bay, Point Reyes, Bodega Bay, and either Gualala or Pt. Arena as potential sites for sanctuary satellite offices and visitor centers. The existing plan covers a geographic area from San Mateo to southern Sonoma County. The plan will be updated to include all of Sonoma County and southern Mendocino County.

Activity 1.5 Improve, upgrade, maintain, and evolve the information technology infrastructure of the facilities. Continue to improve site technology through dedicated base funds, stable support staff, and strategic partnerships with Silicon Valley and other Bay area information technology leaders. The San Francisco Bay area is recognized as one of the most technologically advanced regions in the world. The GFNMS should tap into these local resources and creative thinking to evolve more efficient, creative, and engaging methods of protecting our marine resources.

Activity 1.6 Partner with local research and academic institutions to develop facilities and infrastructure to support research and monitoring in the GFNMS.

- A. Partner with Bodega Marine Laboratory to provide office and laboratory space to support sanctuary conservation science programs.
- B. Support the Surface Current Mapping (CODAR) technology in the sanctuary.

Activity 1.7 Maintain a safe and secure work environment for staff and visitors. Implement safety and security measures required by ONMS.

STAFFING

Under the direction of the sanctuary superintendent, the sanctuary staff is directly responsible for implementation of the management plan. Although each staff member is assigned to one of the four program areas (education, conservation science, resource protection, operations) or administration, collectively the staff is responsible for coordinating their efforts in addressing the priority resource and ecosystem protection issues identified in the management plan.

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STRATEGY AD-2: The primary focus of GFNMS is ecosystem protection. Basic staffing requirements must provide support for administration and the program areas of conservation science, education/outreach, and resource management.

Activity 2.1 Sanctuary staff skills should collectively represent expertise in policy, marine resource management, education, outreach, volunteer development, research, monitoring, geographic information systems (GIS), communications technology, and administration. The actual number and expertise of staff will depend on budget allocations and the operating priorities of GFNMS. In order to meet the objectives of this management plan, target staffing requirements have been laid out (see staffing chart). Administration will support the following:

- A. Building leadership in the field.
- B. Increasing professional expertise of the staff.

Activity 2.2 Each staff member must exhibit general knowledge about all GFNMS program areas and the ability to effectively communicate with constituents, other professionals, and the community-at-large. In an effort to attract and maintain a consistent and high caliber staff base, the GFNMS Superintendent will encourage staff participation in professional development such as:

- A. Continuous training
- B. Advancement opportunities
- C. Professional development and attendance at professional meetings and workshops
- D. Staff exchanges with other sanctuaries

Activity 2.3 Collectively, the staff will function as a team supporting each program area, working towards the common goals and objectives of the management plan and increasing protection of sanctuary ecosystems and qualities. Through administration, the following support will be provided:

- A. Team building through on-site activities and off-site retreats.
- B. Define relationship and nature of interactions between staff and management.
- C. Clarify job and program area responsibilities.
- D. Support internal coordination between program areas.
- E. Implement a structured staff performance review process.
- F. Facilitate communication and coordination with other sanctuaries.

- G. Clarify relationship between partners and GFNMS.
- H. Provide oversight on achieving goals and objectives.

Activity 2.4 Through the administrative framework, the sanctuary will work to create a positive working environment that encourages transparency, creativity, trust and accountability.

- A. Continue staff retreats (see above).
- B. Maintain clear channels of communication among all staff members and within program teams.
- C. Hold regular, well-planned staff meetings.
- D. Continue regular meetings with the superintendent and between program coordinators to ensure cross-program integration and support.

PARTNERSHIPS

With limited staff and financial resources, GFNMS relies on partnerships, outside funding sources and volunteers to assist in the implementation of the management plan. An integrated approach to ecosystem protection requires direct and broad-based participation in resource management by all parties who have a stake in the long-term health of the region.

STRATEGY AD-3: With limited staff and financial resources, GFNMS will develop partnerships and identify outside funding sources and in-kind services to assist in the implementation of the management plan.

Activity 3.1 Continue to maintain and build on existing partnerships.

- A. Continue the Cooperative Agreement with the Farallones Marine Sanctuary Association (FMSA) to support GFNMS education and outreach programs and maintain visitor centers.
- B. Continue the Memorandum of Agreement and long-term occupancy agreement with GGNRA for office space and services.
- C. Revise the Memorandum of Agreement with PRNS for enforcement of sanctuary regulations.
- D. Develop a Memorandum of Agreement with Bodega Marine Laboratory to coordinate on research and monitoring activities and education and outreach opportunities. Explore shared workspace at BML.
- E. Explore developing a Memorandum of Agreement with the BLM's California Coastal National Monument to formalize partnership.

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Activity 3.2 Expand informal working relationship with NMFS and United States Geological Survey (USGS). Partnership activities include coordination on research projects, data analysis and cruise operations.

Activity 3.3 Expand partnership with BLM's California Coastal National Monument (CCNM) to involve each other in plans along the North-central California coast. The BLM's CCNM has taken a cooperative and collaborative approach to earn the trust of local communities and organizations and empowered these partners to be active stewards in the protection and management of their portion of the California coast. GFNMS will discuss with CCNM future coordination and collaboration as well as potential involvement with the Gateway program.

STRATEGY AD-4: The sanctuary advisory council plays an active role in ecosystem protection and provides advice to the sanctuary superintendent.

Activity 4.1 Strengthen the structure of the sanctuary advisory council by: evaluating and amending as necessary the sanctuary advisory council charter; evaluating and developing organizational strategies to enhance the sanctuary advisory council's level of participation and effectiveness; evaluating and adjusting as necessary the representation of sanctuary advisory council membership; and providing support to help the advisory council continue to evolve their respected voice in the community.

Activity 4.2 Support the role of the sanctuary advisory council in addressing ecosystem protection issues by using their assistance to recommend GFNMS policies and procedures.

Activity 4.3 Provide support, resources, and guidance to help the sanctuary advisory council engage and educate the public about current, new, and emerging ecosystem protection issues in the sanctuary. **Activity 4.4** Support advisory council in creating working groups in accordance with ONMS policies and guidelines. Sanctuary advisory council members may choose to serve on various advisory council working groups. Working groups would be convened by the sanctuary advisory council to focus on specific issues and to allow for participation by additional stakeholders and community experts.

Activity 4.5 Add seats to the sanctuary advisory council as needed. Ensure representation on the advisory council from all the coastal counties in the sanctuary.

STRATEGY AD-5: After expansion of GFNMS becomes effective, consider a public regulatory process, under the statutory authority of the NMSA and in accordance with the NEPA, to examine if additional regulatory amendments are needed to manage the expanded GFNMS.

Activity 5.1 Work with the CBNMS advisory council to determine interest in and resources for conducting a public regulatory process to consider amending or adding to GFNMS regulations for the expanded GFNMS, separately or in conjunction with any public regulatory process to amend or add to CBNMS or MBNMS regulations.

INTERAGENCY COORDINATION

Administration Action Plan GFNMS Final Management Plan

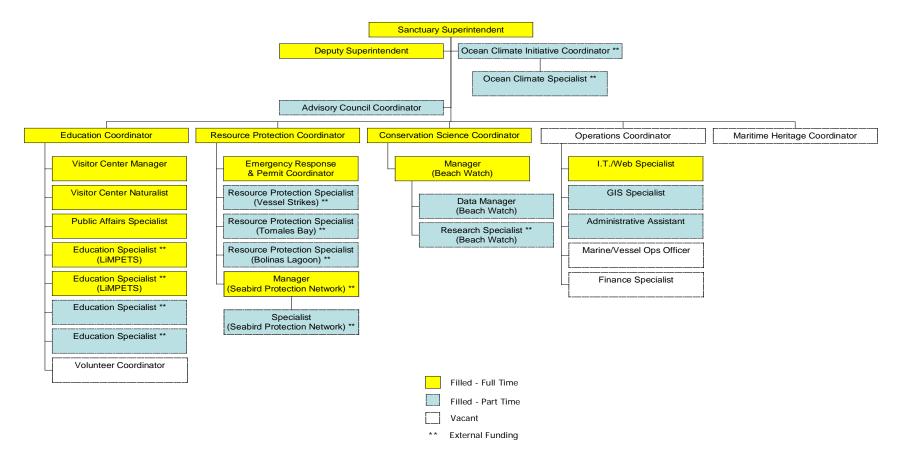
The ONMS and GFNMS are committed to coordinating with other federal, state and local agencies in a continuous ecosystem management process. This process is designed to ensure the long-term protection of the unique ecosystems of this region, while considering the demands of multi-use interests. This requires the cooperation of many institutions that historically have not focused on the same goals. Because of the complexity of managing the activities and cultural resources in the sanctuary, no single agency or institution can effectively meet all sanctuary goals. Overlapping jurisdictions, different agency mandates, and limited resources necessitate the development of a management plan that brings together multiple institutions for the common purpose of ecosystem protection. Achieving the long- and short-term goals for this region requires the development of a close and continuing partnership among all the agencies.

STRATEGY AD-6: NOAA and GFNMS recognize all other authorities in and around sanctuary waters as important components of effective ecosystem protection. Therefore, GFNMS' regulations complement or supplement, but do not replace, existing authorities. To ensure coordination and cooperation with federal, state, and local jurisdictions within or adjacent to the sanctuary, GFNMS seeks to formalize intra- and interagency efforts.

Activity 5.1 GFNMS will engage other agencies in reviewing each other's actions, responding to environmental impact statements, and participating on sanctuary panels and working groups. Building agency relationships allows for: coordinating the development of policies at the federal, state and local level; the sharing of research and education resources; and the opportunity to work together to identify resource management issues.

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Staffing Plan





CROSS-CUTTING ACTION PLANS

GFNMS FINAL MANAGEMENT PLAN

- I. Cross-Cutting Introduction
- II. Administration and Operations
- III. Community Outreach
- IV. Ecosystem Monitoring
- V. Maritime Heritage

CROSS-CUTTING INTRODUCTION

Cordell Bank (CBNMS), Gulf of the Farallones (GFNMS), and Monterey Bay (MBNMS) National Marine Sanctuaries are located adjacent to one another along a 350-mile stretch of the north-central California coast. All three sanctuaries are managed by the Office of National Marine Sanctuaries (ONMS), share many of the same resources and issues, and have some overlapping interest and user groups. There are many opportunities for these sites to work cooperatively, share assets, and address resource management issues in a coordinated manner.

The three sanctuaries coordinate on many important resource management issues, such as oil spills and monitoring. However, each site is, for the most part, managed independently of the others. The three sanctuaries have separate advisory councils and independent education, research and resource protection programs.

In February 2004 ONMS established the Northern Management Area (NMA) of Monterey Bay National Marine Sanctuary extending from the San Mateo/Santa Cruz line northward to the existing boundary between Monterey Bay and Gulf of the Farallones sanctuaries. The Gulf of the Farallones assumed full administrative and management responsibilities of the NMA in March 2004. Existing Monterey Bay sanctuary regulations and congressional prohibitions apply in the Northern Management Area. MBNMS continues to manage its Water Quality Protection Program in San Mateo County. During the Joint Management Plan Review a Northern Management Area Transition Action Plan was developed and published in the 2008 management plans for the respective sanctuaries under the Cross-Cutting Action Plan. Many of the strategies have been implemented since the publication of the plan. Ongoing Strategies have been incorporated into this publication of the Cross-Cutting Action Plan.

GOALS

The goal of the cross-cutting action plans is to build upon existing coordination efforts and identify some activities that should be jointly implemented so that these three sites can operate as integrated and complementary sites to better protect the sanctuaries' resources. This will ensure scarce program resources are used more efficiently and result in a more consistent and coordinated delivery of programs, products and services to the public. Cross-cutting action plans address these topics: Administration and Operations; Community Outreach; Maritime Heritage; and Ecosystem Monitoring. Though the implementation of other activities contained in the site-specific plans may also be effectively coordinated, the cross-cutting action plans would be jointly developed and implemented across the three sites.

IMPLEMENTATION WITHIN THE CONTEXT OF A REGIONAL STRUCTURE

ONMS efforts to address certain priority issues in a cross-cutting framework was a first step in a larger effort to begin looking at sanctuary resource management issues in a regional or

Cross-Cutting Introduction GFNMS Final Management Plan

ecosystem-based context. Since the cross-cutting plans were developed, the ONMS adopted a regional management structure, comprised of four regions, including a West Coast region, which is led by a regional director. The purpose of this structure is to maximize program integration among the ONMS sites, regions, and national program and to other state and federal programs and partners – across all levels. The regional structure dedicates program leadership and regional staff resources directly towards integrating programs and forging partnerships that supports NOAA's evolving ecosystem-based management approach.

The regional director and staff are based in the region and dedicate their efforts towards addressing priority regional issues and capitalizing on regional opportunities and partnerships. Some of their expertise and responsibilities includes working closely with individual sanctuary staff to coordinate the implementation of certain cross-cutting action plans or projects, such as regional ecosystem monitoring, community outreach, or maritime heritage. Individual sanctuaries may also either take or share the lead for implementing the cross-cutting action plans.



ADMINISTRATION AND OPERATIONS CROSS-CUTTING ACTION PLAN

GOALS

The goals of the cross-cutting Administration and Operations Action Plan are to (1) improve and sustain coordination and cooperation across the three sanctuaries to better and more efficiently manage and protect sanctuary resources, and (2) for the individual sites to continue working and functioning as an integrated team. Fulfilling these goals for the three sanctuaries requires enhanced communication and collaboration among and between superintendents and program staff.

ISSUE DESCRIPTION

During scoping meetings for the JMPR, the ONMS received many comments relating to the need to coordinate various administration and operations across the sites. The three advisory councils and sanctuary staff identified several of these issues as priority items to address in the management plan review. These include:

- Improving resource management consistency and efficiency
- Expanding coordination and communication between sites and to the public
- Evaluating emergency response capabilities in the region, and clarify and coordinate the sanctuary's role in relation to other agencies
- Developing a mechanism to address current and emerging issues between the sites
- Coordinating research/monitoring, education/outreach, and enforcement activities

ADDRESSING THE ISSUE

Each of the three sanctuaries developed site-specific administration and operations action plans to address the staffing and infrastructure needed in order to implement their new management plans. In contrast, this cross-cutting administration and operations plan targets some activities that will be implemented by all three sites in order to improve communication and maximize their ability to collaborate and cooperate on many important resource management and program areas.

STRATEGY XAO-1: Improve internal communications among the three sanctuaries.

Successful collaboration and coordination among sanctuaries is related to the amount and intensity of communication. This strategy focuses on improving communications between the sites to ensure there are regular opportunities for the superintendents, staff and the advisory councils to learn what is happening at each of the three sites and jointly plan regional programs and activities.

Activity 1.1 Maintain regular communications between the sanctuary superintendents.

Superintendents will engage in informal (impromptu phone calls) and formal (regularly scheduled calls or meetings) communications. GFNMS and MBNMS superintendents will meet monthly by phone to discuss common issues.

Activity 1.2 The west coast superintendents will meet monthly by phone with the West Coast Region staff to discuss regional issues and will meet annually in person to develop annual regional priorities.

Activity 1.3 Maintain a new employee orientation program that includes information from the three sanctuaries and the ONMS.

If funding allows, the orientation program will include travel to the other sites to meet staff and learn about their program and activities. These efforts should be coordinated with similar efforts at headquarters.

Activity 1.4 The program coordinators will meet at least once per year to share information and plan joint activities prior to the development of the annual operating plans.

In cooperation with the regional office, the regional lead for each program will facilitate bringing this group together, either via conference call or in person if budgets allow.

Activity 1.5 Schedule annual joint advisory council chair and sanctuary superintendent meeting.

The MBNMS and GFNMS advisory councils currently meet biannually to discuss issues and program activities in the MBNMS NMA. GFNMS and CBNMS advisory councils will meet jointly on an annual basis to discuss the expansion area.

Activity 1.6 Encourage and provide opportunities for site staff to give presentations at each other's advisory council meetings.

Superintendents, council chairs and coordinators should encourage program staff presentations at each other's meetings.

STRATEGY XAO-2: Improve the efficiency and cost-effectiveness of program operations and administration.

Each of the three sanctuaries has been designated for over twenty years and during this time has accumulated an inventory of equipment, vessels and resources to support their own

research/monitoring, education/outreach, and resource protection programs. This strategy recognizes there are instances in which it is more cost-effective to share resources among the sites and some instances when it may be more appropriate for each site to have their own. Currently each sanctuary office is responsible for managing most of its own administration and information technology functions, including contracts, procurements, time and attendance, travel orders and vouchers, websites, databases, and geographic information systems. Each site employs a varying number of staff or contractors to perform some of the administrative tasks. The goal of this strategy is to evaluate the staffing plans at the sites and maximize opportunities to share personnel and implement methods to make routine administrative functions more efficient. The strategy also highlights the importance of building upon existing efforts to share information technology resources.

Activity 2.1 Contact and inform the other sites early in the planning stages of field operations to provide opportunities to plan joint missions and to share information and data.

Individual sites may have program personnel, technology or information that would benefit the field operations of another site.

Activity 2.2 As opportunities arise, create short-term opportunities for staff exchanges, rotations, details and informal staff loans for specific projects or to fulfill on-going needs across the West Coast Region.

In addition to sharing valuable technical expertise, staff exchanges provide opportunities for professional development of program staff.

Activity 2.3 Participate in each other's interview panels to review candidates for new and vacant positions, where possible.

This is particularly important when hiring for positions that work with other sites on a regular basis.

Activity 2.4 Cordell Bank and Gulf of the Farallones superintendents and other planning staff will discuss administrative and operational needs and expectations related to the expansion area.

Staff will meet to determine needs and best opportunities for efficiency in addressing the management of the new expansion area. This relates to all programs, shared staffing, and budget allocation across the two sites.

Activity 2.5 Evaluate alternative management strategies for offshore portions of northern expansion areas.

GFNMS and CBNMS superintendents and WCRO will conduct a series of discussions regarding the most efficacious means to manage the offshore portions of the expanded areas of GFNMS and CBNMS, ensuring effective marine science, outreach and resource protection.

STRATEGY XAO-3: Improve the coordination of sanctuary resource protection activities and programs.

Each of the three site-specific management plans proposes various strategies to address their own resource protection programs (e.g., regulations/permitting, emerging issues, enforcement, emergency response). This strategy is aimed at improving the communication and coordination of resource protection activities across the three sites. The strategy addresses the need to improve staff understanding and awareness of all of the three sites' regulatory and permit processes and activities. Secondly, it establishes a process to identify and, when appropriate, jointly address emerging issues in a regional capacity. This includes coordination with local, state and other federal entities. Third, it recommends the development of a regional sanctuary emergency response plan so that the ONMS is better prepared to address emergencies on a regional scale. Finally, it identifies the need to comprehensively evaluate enforcement needs in relation to the new management plans and develop and implement a regional enforcement plan.

Activity 3.1 Improve staff awareness and understanding of each site's regulations.

Establish a basic and consistent understanding of each site's regulations. Ensure all staff have and are familiar with the portion of the WCRO web page which consolidates the management documents for the West Coast Region: regional regulations, terms of designation and management plans. Produce a table listing all regulations of West Coast sanctuaries.

Activity 3.2 The West Coast sanctuaries will continue to work closely on any future proposed regulatory changes that could affect other sites. The GFNMS and MBNMS Resource Protection Teams will closely coordinate on any future proposed regulatory changes that could impact the NMA.

Activity 3.3 GFNMS will facilitate a public process in the next five years to consider whether the San Francisco Exemption Area should be incorporated into the MBNMS.

Such an action would require changing the MBNMS regulations and designation document and require coordination with MBNMS staff, and approval from the MBNMS Superintendent. Public scoping for this process was initiated in 2012.

Activity 3.4 The West Coast sanctuaries will share responsibilities for preparing regional permits. GFNMS will be responsible for permit activities in the NMA.

West Coast sanctuaries will inform each other of any new permit applications or other activities that could affect any of the sanctuaries. GFNMS will process permits within the NMA, except for water quality permits, which will continue to be overseen by MBNMS.

Activity 3.5 MBNMS staff will continue to implement Water Quality Protection Program activities including conducting site water quality needs assessment, review water quality permits and authorizations.

MBNMS Water Quality staff will participate on Technical Advisory Committees that implement strategies within the WQPP Action Plans, implement volunteer water quality monitoring events including First Flush and Snapshot Day, review and comment on NPDES permits, respond to discharges entering the MBNMS NMA, coordinate and collaborate with partners participating in the Agriculture Water Quality Alliance, and oversee monitoring of Areas of Special Biological Significance in a sub-contract to the San Mateo Resource Conservation District.

Activity 3.6 Coordinate emerging issues among the West Coast sanctuaries and develop coordinated strategies to address emerging issues

As an individual site staff identifies emerging issues, staff members will determine the significance and potential to impact another site, and communicate this to the potentially affected site(s). They will jointly determine if a new or emerging issue needs action and identify a strategy and activities to address the issue, depending on whether it is an immediate or long-term threat, what is (or is not) known about it, and if there are adequate resources to address it properly.

Activity 3.7 Implement West Coast Region emergency response plan.

The West Coast Region emergency response plan addresses broad emergency response issues that affect the region, identifies ONMS staffing responsibilities and expertise, and outlines how the ONMS will coordinate with existing federal, state and local emergency response agencies in California. GFNMS staff will lead efforts to coordinate and implement site-specific activities to respond to emergencies in the NMA.

Activity 3.8 Coordinate with the ONMS Damage Assessment Team on populating and making the Southwest Environmental Response Application (ERMA) functional and operative for the three sanctuaries and integrating it with the existing SIMoN database.

Activity 3.9 Continue to work closely on enforcement activities in the region.

Regional enforcement staff will coordinate and cooperate on enforcement activities as they relate to other sites. GFNMS staff will provide assistance as appropriate in the planning and implementation of enforcement activities in the NMA and will coordinate with MBNMS to ensure consistency across sites.

TABLE XAO-1: MEASURING PERFORMANCE OF THE CROSS-CUTTING ADMINISTRATION & OPERATIONS ACTION PLAN

Desired Outcome(s) For This Action Plan: Improved communication and coordination among Sanctuary staff resulting in more integrated and coordinated resource protection for Sanctuary resources.		
Increase the number of cross-cutting Annual Operating Plan (AOP) activities that each site includes in their site-specific AOP each year.	One of the primary purposes of this action plan is to increase the amount of communication and interaction among the three sites. This action plan identifies specific opportunities for staff to interact, resulting in more coordinated planning and implementation of joint activities that address priority issues. The tangible results of these interactions will be formulated within each site's AOP.	

KEY PARTNERS

CBNMS, GFNMS, and MBNMS (superintendents, program coordinators, and site staff); Advisory Councils Chairs for CBNMS, GFNMS, and MBNMS; General Council Ocean Service (GCOS); NOAA OLE; NOAA General Counsel Enforcement Section (GCES); NOAA Hazardous Materials (HAZMAT); United State Coast Guard (USCG); National Park Service (NPS); California State Parks; California Department of Fish & Wildlife; California State Lands Commission (CSLC); and County Sheriff Departments.



COMMUNITY OUTREACH CROSS-CUTTING ACTION PLAN

GOAL

A coordinated, collaborative regional community outreach strategy will build awareness throughout north-central California and beyond about (1) the existence and purpose of the three sanctuaries, the West Coast region, and the ONMS; (2) the diverse natural resources and ecosystems of each sanctuary and why they need protection; (3) the relevance of these ecosystems to people; (4) the economic and intrinsic value of the three sanctuaries to coastal and inland communities beyond such direct industries as fishing and ecotourism; (5) how these three sanctuaries are working with constituent groups; and (6) how individuals and groups can be engaged in helping the sanctuaries accomplish their resource protection, research, and education goals.

ISSUE DESCRIPTION

Under the ONMS, each sanctuary in the system conducts education and outreach activities to build broad public awareness about the existence and purpose of our nation's marine sanctuaries. The ONMS recognizes a well-informed local, regional, and national constituency greatly enhances the ability of the sanctuaries to protect their natural and cultural resources. Therefore, outreach activities should provide local and state governments, businesses, non-governmental organizations, constituent groups, and the general public with the information necessary to be effective partners in the stewardship of sanctuary resources.

This cross-cutting action plan identifies appropriate regional audiences and topics, regional outreach strategies, and marketing and media exposure efforts that effectively highlight specific program activities across all three sites, the region and the national system. It is also designed to complement each site-specific program and to be flexible enough to incorporate new strategies and topics over time.

Effective community outreach is accomplished through a continuous cycle of ocean and coastal outreach, education, and stewardship. Community outreach expands awareness, knowledge and ultimately changes attitudes and behaviors. By finding information on ocean and coastal resources, and stewardship opportunities in which to get involved in the sanctuary, people begin to have a personal relationship with the sanctuary and may be more likely to become ambassadors helping to protect sanctuary resources. Community outreach involves three strategies tailored to the specific needs and interests of a given audience and may be delivered by members of that audience.

- Outreach provides audiences with sanctuary-related information and materials promoting ocean and coastal stewardship.
- Education provides fundamental scientific understanding, knowledge, training, or professional development on topics relevant to the world's atmosphere, climate, oceans and coastal ecosystems, and resource protection.
- Stewardship is a personal sense of responsibility to take informed action and make caring choices, at home or work, which promote and protect the health of our coasts and oceans.

STRATEGY XCO-1: Build upon and expand existing ocean and coastal outreach

This strategy is aimed at raising general awareness of marine ecosystems, individual national marine sanctuaries and the national marine sanctuary system, and inspiring stewardship of ocean and coastal resources. Outreach provides audiences with sanctuary-related information and materials based on NOAA science, products, and services that promote ocean and coastal stewardship. These audiences may be: north-central California coastal residents; people who live and work in inland California communities that regularly visit the ocean, such as divers, kayakers, tidepoolers, etc.; those who make their living within the ocean environment, like fishermen, maritime shipping companies, etc.; or people who live outside California that care about the ocean even though they may never visit. These, and others, are important voices in the protection and stewardship of the oceans. Key target audiences and messages should also be closely coordinated with outreach needs identified in the issue-related action plans.

Activity 1.1 Develop or strengthen coordinated regional outreach programs and opportunities.

Outreach staff should coordinate on public service announcements, issue-specific workshops and brochures (e.g., tide pool etiquette), docent programs, signage, learning centers, exhibits and displays at community events that encompass or represent the region.

Activity 1.2 Plan and conduct regional sanctuary outreach events.

Outreach staff should promote the importance of national marine sanctuaries, conservation science and resource protection programs, working together to improve understanding of marine conservation and management.

Activity 1.3 Develop and implement joint media communications plan, e.g., print, radio, TV, Internet.

Media personnel at respective sites should coordinate with the WCRO media liaison to develop a plan.

Activity 1.4 Identify and partner with external programs and partners to incorporate sanctuary-related messages, identify best practices and achieve common goals.

Regional outreach personnel should work together to target partners and programs that can effectively communicate ONMS messages on a regional level, and assign appropriate leads to initiate contact and follow-up.

STRATEGY XCO-2: Enhance and coordinate ocean and coastal education

This strategy focuses on building community knowledge and fostering caring actions and attitudes targeting priority issues identified in the management plans. The ONMS's joint ocean and coastal education efforts provide a fundamental understanding grounded in science, knowledge, training, and/or professional development to a particular audience on topics identified as important to protect sanctuary resources. There are many possible audiences such as students, teachers, state and local agencies, community leaders, and the general public. Sanctuary-related educational activities are based on NOAA science, systematic in design with clear goals, objectives and measurable outcomes; aligned, where appropriate, with state or national education standards; and designed to facilitate evaluation by a third party.

Activity 2.1 Collaborate on existing site-specific education programs and products as a means to enhance and expand educational offerings.

Each year, the education staff will jointly meet to identify collaborative projects for inclusion in their respective AOPs.

Activity 2.2 Take a multicultural/multilingual approach to all outreach efforts.

Assess demographics of geographic areas with programming and ensure multicultural relevance to diverse audiences. Develop multicultural educational curricula and materials in response to demographic assessment.

Activity 2.3 Identify and implement new education programs that can be developed jointly with other sites.

Education leads should identify and implement new programs as needs arise and as budgets allow.

STRATEGY XCO-3: Enhance ocean and coastal stewardship

Marine sanctuary stewardship is a personal sense of responsibility to take informed action and make caring choices, at home or work, which promote and protect the health of our coasts and oceans. A steward develops attitudes, motivations, and commitments that are reflected in informed decisions and responsible actions. Stewards can be individuals, members of groups, or entities that influence others' opinions and actions about the oceans. Stewardship can be demonstrated through a variety of means, including:

- Volunteering for an organized stewardship program,
- Taking personal action to protect our ocean sanctuaries,
- Providing informed public input into decisions regarding the sanctuaries, and

• Informing others regarding marine ecosystems and the sanctuary program.

Similar to the audiences for outreach, ocean and coastal stewards may be north-central California coastal residents, people who live and work in inland California communities that regularly visit the ocean, those who make their living within the ocean environment, or people who care about the ocean even though they may never visit.

Activity 3.1 Create, maintain and promote sanctuary and partner volunteer programs.

Cultivate volunteers to provide opportunities for stewardship as well as expand resource protection, education, and outreach capabilities of the three sanctuaries.

Activity 3.2 Create new ways to inspire coastal and ocean stewardship in local communities.

The three sites will conduct needs assessments with targeted constituents and audiences to identify innovative and creative methods of engaging specific groups of people in sanctuary activities. Some examples include working with tourism industry, faith-based or cultural organizations, retired citizens or local art groups.

Activity 3.3 Identify partners to incorporate stewardship messages.

Regional outreach personnel should work together to target partners that can effectively communicate ONMS stewardship messages, and assign appropriate leads to initiate contact and follow-up.

Table XCO-1: Measuring Performance of the Cross-Cutting Community Outreach Action Plan

Desired Outcome(s) For This Action Plan: Expand joint education and outreach efforts in a manner enhancing protection for Sanctuary resources and the delivery of programs and services to local communities.		
Increase the number of joint education and outreach efforts directed at communities	One of the main purposes of this action plan is to expand general awareness of the three sanctuaries, develop joint education products addressing priority issues, and increase involvement of individuals in the stewardship of the resources in the three sanctuaries. Some of the programs directed at local communities include schools and teachers, volunteers, fairs and festivals, visitor centers, public lecture series, etc.	

KEY PARTNERS

CBNMS, GFNMS, MBNMS, CINMS, OCNMS, West Coast Region Office, NMFS, NOAA OLE, USCG, NPS, USEPA, Bureau of Land Management, California Coastal National Monument, other federal agencies, California State Parks, Elkhorn Slough National Estuarine Research Reserve, other state agencies, Association of Monterey Bay Area Governments, Association of (SF) Bay Area Governments, Sonoma County Regional Parks, cities, local parks/recreation departments, Advisory council members from all three sanctuaries/working groups, FMSA, Monterey Bay and Channel Islands Sanctuary Foundation, Stewards of Coast and Redwoods, Fort Ross Conservancy, local NGOs/non-profits, Save Our Shores, The JASON Project, SIMoN, Community Outreach Working Group, Snapshot Day Water Quality Monitoring Event, Long-term Monitoring Program and Experiential Training for Students (LiMPETS), Beach Watch, Beach Coastal Ocean Mammal/Bird Educational and Research Survey (Beach COMBERS), MBNMS Team Ocean Conservation Education Action Network (OCEAN), Global Learning and Observation to Benefit the Environment (GLOBE), Bay Net, traditional and electronic media (both coastal and inland, local and national, including local weekly papers, community access TV stations, social media), California Ocean Communicators Alliance (Thank You Ocean), pollution prevention programs, chambers of commerce, shipping trade associations, tourism trade associations, dive clubs/shops, kayak clubs/shops, spot abalone divers, other recreational groups, natural history museums, institutions with community service requirements/marine sciences (high schools, colleges), state/local volunteer programs, high school/college classes doing coastal monitoring, multicultural community leaders, and bilingual school programs.



ECOSYSTEM MONITORING CROSS-CUTTING ACTION PLAN

GOALS

The cross-cutting goal of coordinated ecosystem monitoring across CBNMS, GFNMS and MBNMS is to better (1) determine the current and anticipate the future status of sanctuary resources; (2) understand the limits of variation in resources; (3) detect temporal and spatial changes in resources; (4) identify potential agents of change; and (5) provide scientific information that can guide management decisions on priority issues.

INTRODUCTION

One of the express purposes and policies of the National Marine Sanctuaries Act is that long-term monitoring of sanctuary resources be supported, promoted, and coordinated (16 U.S.C. 1431). Sanctuaries also promote data collection to assess resource or environmental change with respect to implemented management actions. The suite of monitoring information required by sanctuary management includes data from within the sanctuary and from areas outside the boundaries that influence sanctuary waters.

For the most part, individual sanctuaries work independently to develop monitoring programs and partnerships to inform their management concerns. These programs typically rely on substantial support from other government, private, and academic institutions at the federal, state, and local levels. The program designs are often only indirectly influenced by sanctuary management responsibilities.

Undertaking ecosystem monitoring requires long-term comprehensive assessments and broad scale integration of data collected in a wide variety of habitats (e.g., coastal interface, subtidal, continental shelf, shelf break, and deep water) and in areas that directly influence them (e.g., watershed, estuaries, coastal currents). Such assessments and integration can only be achieved through coordination with multiple partners focused on a variety of resources and geographic scales. Because the three sanctuaries of Cordell Bank, Gulf of the Farallones, and Monterey Bay have contiguous boundaries, they protect and manage many of the same habitats types and living resources, some of which range throughout the combined area. As such, the sanctuaries should consider each other as primary partners in monitoring efforts to evaluate the status and trends of these shared resources. Coordination among the three sanctuaries to promote, conduct, integrate, and synthesize data from ecosystem monitoring activities is the most effective and efficient means to improve availability of information for resource conservation and management across the region.

The combined areas of CBNMS, GFNMS and MBNMS also represent a substantial portion of California coastal waters. Regional sanctuary monitoring coordination across this extensive area will help promote sanctuary management concerns as a driver for large-scale monitoring initiatives and partnerships. The data collected from coordinated efforts will be useful at the local and regional scale, with the potential for influencing resource management actions throughout a substantial portion of the West Coast.

ADDRESSING THE ISSUE

Most of the monitoring data that informs sanctuary management are not financed, collected, or analyzed by the sanctuaries. Instead, sanctuaries support and promote these activities indirectly by providing vessel time, staff support, and equipment, and coordinating the interests and information of outside agencies and partners. They also assist in securing outside funding that can be directed toward projects that address sanctuary information needs such as SIMoN.

Indirect support is appropriate to enhance capacities of the sanctuary programs to meet the mandate of resource protection. Such expertise to collect and analyze the variety of information required for management needs is accessible through partnerships with various research institutions. However, effective resource management requires a holistic view, which sanctuaries are uniquely positioned to achieve. To meet their resource management mandate, sanctuaries must synthesize and integrate information from disparate research and monitoring projects. They have the further responsibility of interpreting and applying available scientific knowledge for resource managers and the public. Thus, coordination of ecosystem monitoring efforts requires strategic action on various sanctuary-specific programmatic levels.

Recommended strategies focus on coordinating existing activities, identifying opportunities for additional coordination, and establishing the administrative infrastructure, advisory panels, and oversight mechanisms required to support, direct, and evaluate coordinated monitoring across the three sanctuaries. Because many of the monitoring requirements common to CBNMS, GFNMS, and MBNMS overlap with the interests of CINMS and OCNMS, the strategies recommended in this action plan should serve as a model for expanded coordination of appropriate monitoring activities across all five of the West Coast sanctuaries. The strategies are also consistent with efforts of the System Wide Monitoring Program (SWiM) to improve collection, evaluation, and interpretation of monitoring information throughout the sanctuaries. Thus, these activities promote system and regional integration across the program as well as improving ecosystem conservation and management in the combined area of the three sanctuaries.

STRATEGY XEM-1: Coordinate existing targeted monitoring activities to promote greater efficiency and effectiveness.

Priority activities for initiation of joint ecosystem monitoring within the region should be focused on the coordination of existing sanctuary-specific monitoring programs that assess similar ecosystems in at least two of the three sanctuaries. This includes coordinating targeted programs that monitor conditions in the coastal interface and the pelagic/offshore systems.

These priorities are based on the need to establish common ecological monitoring efforts throughout the region and the priority issue areas identified in the management plan that could best be addressed through a coordinated approach among the sanctuaries. Some of the priority habitats that have been identified for joint monitoring include: rocky intertidal shores, deep sea benthos and pelagic/open ocean. The coordination channels and activities established to support these targeted efforts could serve as a model for additional monitoring coordination in the future. Other existing or newly emerging monitoring activities, not identified in this action plan, represent potential opportunities for additional coordination. Assessment of such opportunities is addressed in Strategies XEM-2 and XEM-3.

Activity 1.1 Regional science staff should coordinate regarding intertidal monitoring programs.

Coordinate individual sanctuary rocky intertidal monitoring programs and continue to collaborate with other large-scale rocky intertidal monitoring efforts, such as PISCO and MARINe.

Activity 1.2 Beach Watch and Beach COMBERS will continue to collaborate on sharing information on the health of seabirds and trends in beachcast wildlife.

GFNMS Beach Watch staff should evaluate the feasibility of expanding existing citizen science monitoring in the expansion area.

Activity 1.3 Maintain and expand ACCESS integrated sanctuary marine mammal, seabird and sea turtle surveys.

CBNMS and GFNMS science staff should evaluate the feasibility of expanding existing at-sea monitoring to the expansion area.

Activity 1.4 Regional science staff should coordinate regarding benthic habitat surveys.

Jointly develop research cruise plans and standards for sampling and reporting results for benthic habitat survey work. Augment the benthic habitat survey work with new technologies such as ROV and AUV surveys.

STRATEGY XEM-2: Implement existing regional ecosystem monitoring activities.

Over the last decade, many federal and state agencies have actively participated in collaborative efforts to develop and implement integrated coastal and ocean observing and data management systems. To further these efforts, the ONMS, and many individual sanctuaries, have been working closely with their partners to build upon and integrate existing site monitoring programs into regional ecosystem monitoring programs. The following activities have been identified as pilot programs within the ONMS to test the concept of integrating observation data and making it available to resource managers and the public.

Activity 2.1 Continue the West Coast Observation Project at CBNMS, GFNMS and MBNMS.

The West Coast Observation Project integrates ocean observation data collected at OCNMS, CBNMS, GFNMS and CINMS. The project focuses on data streams collected at numerous new instrument moorings installed at specific locations within each of the four sanctuaries. The project intends to make the monitoring data accessible via the Internet in an IOOS compatible format. The data from this project would be best shared through the Central and Northern California Ocean Observing System (CeNCOOS).

Activity 2.2 Develop and implement an integrated Sanctuary System-Wide Monitoring (SWiM) program for CBNMS, GFNMS and MBNMS by publishing Condition Reports and collaborating with CeNCOOS.

The primary purpose of the SWiM program is to monitor specific ecological parameters of the sanctuary and ensure the timely flow of data and information to those responsible for managing and protecting resources in the ocean and coastal zone, and to those that use, depend on, and study the ecosystems encompassed by the sanctuaries. It also provides a reporting strategy, through Condition Reports, to enable the evaluation of status and trends in protected resources and activities that affect them. These efforts will be integrated with SIMoN, which implements the monitoring, coordinates with partners, and provides GIS, Web and other products that allow for local and regional information sharing, as well as through CeNCOOS.

Activity 2.3 Continue expanding the Sanctuary Integrated Monitoring Network (SIMoN).

SIMON is the primary mechanism to coordinate data and information among the sites. CB, GF and MBNMS have their monitoring projects summarized with available data and images on the SIMON website. This information is linked to the National Program monitoring summary. Joint interactive maps, images and "what's new" items are also available. Project information and new cross site tools will continue to be developed as needed.

Activity 2.4 Look for partnerships to support ecosystem monitoring.

Collaborate with NMFS on the California Current Integrated Ecosystem Assessment and integrate this into SIMoN ecosystem assessments.

Activity 2.5 Look for innovative ways to support ecosystem monitoring.

Evaluate and identify ongoing funding opportunities to support regional and larger scale ongoing monitoring activities.

STRATEGY XEM-3: Establish a joint internal monitoring coordination team.

Coordination of monitoring activities among the sanctuaries requires an administrative infrastructure to identify and act on cross-boundary opportunities, collaborate with large-scale initiatives, and interpret the results for resource managers and public audiences across the region.

Activity 3.1 Continue to coordinate research and monitoring across CBNMS, GFNMS and MBNMS.

CBNMS, GFNMS, and MBNMS coordinate on the use of the research vessel FULMAR. In addition, GFNMS and CBNMS coordinate and partner on offshore monitoring which includes the NMA. Finally, monitoring information from all sites is shared through the SIMoN web page and interactive maps.

Activity 3.2 The CBNMS, GFNMS, and MBNMS science staff will continue to work jointly with the site and West Coast Region media staff to develop a research and communications plan

Activity 3.3 Develop annual ecosystem-based research and monitoring operating plans in collaboration with each other to meet site, regional, and national monitoring needs. CBNMS, GFNMS and MBNMS science staff should share research and monitoring information between sites as annual operating plans are developed.

TABLE XEM-1: MEASURING PERFORMANCE OF THE CROSS-CUTTING ECOSYSTEM MONITORING ACTION PLAN

Desired Outcome(s) For This Action Plan:

Increased collaboration among, capacity of, and productivity of the three sanctuary monitoring programs in order to enhance our understanding of the ecosystem(s) in this region and those natural and human factors affecting them.

ractors affecting them.	
Performance Measures	Explanation
1. Increase the number of cooperative research and monitoring activities.	1. Research staff from the three sanctuaries currently engage in limited joint research and monitoring activities. However, to improve our knowledge and understanding about the broader ecosystem in this region, the three sites need to coordinate and systematically plan and implement joint research and monitoring activities with each other and other partners. These new joint research and monitoring activities will be reflected in each sites' AOP.
2. Continue to include Cordell Bank and Gulf of the Farallones in SIMoN and expand its infrastructure so that it can be integrated with other coastal and ocean observation systems along the West Coast.	2. SIMoN is rapidly evolving into a system-wide tool for organizing and displaying research and monitoring related information for MBNMS, GFNMS, and CBNMS. In addition, SIMoN has evolved so other regional coastal and ocean observation systems could be integrated within SIMoN.
3. Design and implement coordinated monitoring programs consistent with the ONMS System Wide Monitoring Framework (SWiM) at each site.	3. The ONMS has been working for several years to develop a System Wide Monitoring (SWiM) Program Framework. The program is underway and ready to be implemented at MBNMS, GFNMS, and CBNMS, particularly through Condition Reports

KEY PARTNERS

CBNMS, GFNMS, MBNMS, CINMS, OCNMS, WCR, NCCOS, NMFS, NESDIS, National Coastal Data Development Center (NCDDC), National Oceanographic Data Center (NODC), National Data Buoy Center (NDBC), NOAA National Estuarine Research Reserve System (NERRS), advisory councils, NPS, USEPA, USFWS, BOEM, USGS, BML, University of California-Santa Cruz (UCSC), State of California, Partnership for Interdisciplinary Studies of Coastal Oceans (PISCO), Multi-Agency Rocky Intertidal Network (MARINe), Southern California Coastal Water Research Project Authority (SCCWRP), Tenera Inc., Kinetic Labs, Inc., SIMoN, Coastal Observation and Seabird Survey Team (COASST), California Cooperative Oceanic Fisheries Investigations (CalCOFI), Monterey Bay Aquarium Research Institute (MBARI), Alliance for California Current Ecosystem Observation (ACCEO), NCDFW, Ocean-US, SWiM, Southeast Area Monitoring and Assessment Program (SEAMAP), Integrated Ocean

Observing System (IOOS), CeNCOOS, MBNMS RAP, CBNMS RAP, FMSA, Monterey Bay and Channel Islands Sanctuary Foundation, and Point Blue.



MARITIME HERITAGE CROSS-CUTTING ACTION PLAN

GOALS

The ONMS has developed the Maritime Heritage Program (MHP), to identify, protect and raise awareness of the cultural and historical resources in sanctuaries. The MHP's efforts include conducting paleoecological and archaeological studies; inventorying, locating, and monitoring both historic shipwrecks and those that pose an environmental threat to sanctuary marine resources; and characterizing and protecting maritime heritage resources.

Figure MH-1: The passenger-cargo steamer Tennessee runs aground near Point Bonita

Wreck of the Tennessee.

This cross-cutting plan provides

the framework for a maritime heritage resources program that addresses historic and cultural underwater sites, as well as traditional heritage resources such as Native American and fishing communities, commercial marine transport of passengers and cargo, and recreational activities like diving, surfing, and boating. This maritime cultural landscape of the region involves understanding the broader context of specific places that encompasses human activities. This includes indigenous people of our nation's pre-historic and historic past as well as today's modern cultures and their sacred places; geography; paleontology; archaeology; traditional knowledge and sociocultural studies; oral traditions; commercial and recreational fisheries; recreation activities, maritime heritage resources such as lighthouses, piers, wharves, lifesaving stations, abandoned dog-hole ports and landings and working waterfronts, revealing the many human communities that overlap with marine environments in our national marine sanctuaries and contiguous waters. Although the ONMS only has authority to protect sanctuary cultural and historic resources, the program recognizes that traditional user and ocean-dependent groups are interconnected with the sanctuaries and are an integral part of their history.

ISSUE DESCRIPTION

The NMSA and site regulations mandate the management and protection of sanctuary cultural and historical resources. Cultural resources are defined as any historical or cultural feature, including archaeological sites, historic structures, shipwrecks, and artifacts. *Historical resources* are defined as any resources possessing historical, cultural, archaeological or paleontological significance, including sites, contextual information, structures, districts, and objects significantly associated with or representative of earlier people, cultures, maritime heritage, and human activities and events. Historical resources include "submerged cultural resources," and also include "historical properties," as defined in the National Historic Preservation Act (NHPA), as amended, and its implementing regulations, as amended.

The area encompassed by CBNMS, GFNMS, and MBNMS has a long and interesting maritime history. The sea floor preserves remnants of the sites where people lived and of the vessels in which they conducted trade and fought wars. Ships, boats, wharves, lighthouses, lifesaving stations, fort, dog-hole ports, whaling stations, prehistoric sites, and a myriad of other heritage treasures lie covered by water, sand, and time in GFNMS and MBNMS. Records show there was one historic shipwreck within CBNMS boundaries, the ex-USS Stewart.

The history of California's north-central coast is predominantly a maritime one. From the days of the early Ohlone, Coast Miwok, and *Kashia* Pomo inhabitants to the exploration and settlement of California to the present, coastal waterways remain a main route of travel, subsistence, and supply. The heritage of the first peoples has been and is today represented not only in the sites of former settlements but also by the traditions and heritage of those people, who have persisted as important members of the coastal community. Their place names, their memories and their traditions remain on these shores and waters whether written on a map or not.

Ocean-based commerce and industries (e.g., fisheries, shipping, military, recreation, tourism, extractive industries, exploration, research, and aesthetics) are important to the maritime history, the modern economy, and the social character of this region. These constantly changing human uses define the maritime cultural landscape of these sanctuaries and help interpret our evolving relationship with the sanctuary resources. Ports such as San Francisco and Monterey, and smaller coastal harbor towns, developed through fishing, shipping, and economic exchange. Today these have become major urban areas, bringing millions of people in proximity to national marine sanctuaries. Many of these people are connected to the sanctuaries through commercial and recreational activities such as surfing, boating, and diving.

Records indicate that 787 vessel and aircraft losses were documented between 1595 and 2014 along California's north-central coast from Cambria north to Manchester, including the Farallon Islands. To date, 392 in GFNMS, 395 in MBNMS, and one in CBNMS have been documented. Some sites have been located and inventoried by NOAA and the NPS. Although some vessels were later salvaged or refloated, some artifacts associated with wrecking events may still exist. GFNMS and MBNMS have also collaborated with state and federal agencies, and the private sector to gather resource documentation and to create opportunities to locate and record

submerged archaeological resources. GFNMS and MBNMS maintain shipwreck inventories, created from established shipwreck databases, ongoing historical research and field surveys. GFNMS and MBNMS are also faced with the challenge of identifying and monitoring historic and non-historic shipwrecks posing environmental threats to sanctuary marine resources. Lurking in the deep are the hazardous cargoes, abandoned fuel, and unexploded ordnance inside sunken vessels that are slowly deteriorating in a corrosive marine environment.

Submerged Site Inventory and Assessment Initiative

ONMS regulations mandate that archaeological resources are managed consistently with the Federal Archaeological Program. The ONMS's MHP was established to emphasize the need for research, education, outreach, and protection of maritime heritage resources. Issues to be addressed regarding inventorying, assessing, and protecting submerged archaeological are below.

Shipwrecks as Environmental Threats

GFNMS and MBNMS both coordinate with the Damage Assessment Restoration Fund and other relevant agencies. GFNMS and MBNMS will work with CINMS to expand their efforts to identify shipwrecks that may pose environmental threats and will provide pertinent information to NOAA's Hazardous Materials (HAZMAT) division and the NMSP for development of the Southwest Environmental Response Application (ERMA) and the Resources and Under Sea Threats (RUST) Geographic Information Systems (GIS) database systems.

Site Protection

As submerged shipwreck sites are inventoried in the sanctuaries and become more visible to the public, they are also more at risk from divers wishing to remove artifacts. GFNMS and MBNMS will consider enhancing visitor usage while mitigating damage to heritage resources by providing the sport and commercial diving communities and visitors to shoreline sites with interpretive information about archaeological sites and their protection. Sanctuary and California state regulations prohibit the un-permitted disturbance of submerged archaeological and historical resources. The ONMS and California State Lands Commission (CSLC) have an archaeological resource recovery permit system in place. Protection and monitoring of these sites will become a more pronounced responsibility in the sanctuaries' heritage resources management program. Partnerships will be established with local law enforcement agencies for site monitoring and compliance of public access to submerged sites.

Traditional User and Ocean-Dependent Groups

There is the potential to cultivate partnerships with local, state, and federal programs (e.g., American Folk Life Center, universities, Department of the Interior) and the identified communities. These partnerships could aid in the design and implementation of studies of living maritime heritage and folk life to help educate the public about traditional cultures and practices including Native Americans, other ethnic residents, fishermen and economic activities reflecting historic human interaction with the ocean.

Education and Outreach

GFNMS and MBNMS have partnered with CINMS and OCNMS in the development of the West Coast Shipwreck Database online curriculum. The database serves to inform the public about the historical significance of shipwrecks, including those posing environmental threats to sanctuary marine resources, e.g., the *Jacob Luckenbach* story. The database is being expanded to include living journals assisting families searching for information about shipwrecked vessels their relatives may once have served on as crewmembers or passengers. Family members are encouraged to share with the public their living journals associated with the shipwreck histories for dissemination. CBNMS, GFNMS, and MBNMS will identify partners to explore exhibit development at maritime or regional museums and learning centers that focus on the areas' maritime heritage history; shipwrecks, exploration, fishing, and fisheries; vessel trades, routes and nationalities; and shoreline structures such as lighthouses, lifesaving stations, canneries, doghole ports, whaling facilities, surfing, and boating.

STRATEGY XMHR-1: Continue to build the Maritime Heritage Program.

The ONMS is placing increasing emphasis on the development of maritime heritage resources programs to identify and protect submerged archaeological sites, and to increase public awareness about the maritime history associated with individual sanctuaries. A well-coordinated program will be required to identify and assess documented shipwrecks, some of which may pose significant environmental hazards; to protect sites from unauthorized disturbance; and to develop heritage partnerships and education programs.

Activity 1.1 Continue to identify potential maritime heritage partners and sources of funding.

Regional MHP staff should look for partners and funding opportunities to expand program into the expansion area.

STRATEGY XMHR-2: Inventory and assess submerged sites.

CBNMS, GFNMS, and MBNMS, in conjunction with the West Coast Regional Maritime Heritage Coordinator, will collaborate with state and federal agencies and the private sector to gather resource documentation and to create opportunities to locate and record submerged archaeological resources.

Activity 2.1 Inventory shipwrecks across the region.

Continue to establish external partnerships to inventory potential shipwreck sites with other federal, state, and local agencies as well as avocational archaeologists, commercial divers and fishermen, and recreational divers.

Activity 2.2 Conduct systematic research and surveys of archaeological sites, including the remains of prehistoric, as well as historic sites, representing ship and aircraft losses.

This effort would be focused on geographic regions with a high probability of cultural and historic remains established by conducting remote sensing surveys and/or diver investigations of target sites as part of larger research expeditions across the three sanctuaries. Such surveys would include the development of a research plan, education materials and curriculum, a project website, a site assessment report that include a comparison with previous surveys.

Activity 2.3 Establish a shipwreck reconnaissance and site monitoring program.

Use a model similar to that used at CINMS to record and monitor submerged sites and to document new artifact discoveries and evaluation of human site disturbance. Record site positions in NOAA's National Marine Sanctuary Archeological Site (ARCH) GIS database.

Activity 2.4 Assess and nominate appropriate submerged archaeological sites for inclusion in the National Register of Historic Places.

Regional MHP staff should look to contribute appropriate sites across the sanctuaries.

STRATEGY XMHR-3: Assess shipwrecks and submerged structures for hazards.

GFNMS, MBNMS and CBNMS are faced with the challenge of identifying and monitoring historic and non-historic shipwrecks that may pose environmental threats to sanctuary marine resources. Information pertaining to shipwrecks as environmental threats is provided to NOAA's Emergency Response Division and the ONMS for the development of the ERMA and Remediation of Underwater Legacy Environmental Threats (RULET) database systems. The sanctuaries will develop a plan to address this issue since there are many shipwrecks that pose threats in the near future.

Activity 3.1 As needed, add to the inventory of shipwrecks, inside and outside of sanctuary boundaries, posing environmental threats to sanctuary marine resources.

This inventory is based upon primary and secondary source documentation from established shipwreck databases, interviews with commercial divers and fishermen, and recreational divers who frequently visit submerged shipwrecks. The sanctuaries will also collaborate with other organizations doing similar research. As the sanctuaries compile information regarding sites that may pose environmental threats, this information will be coordinated with NOAA's Emergency Response Division and the ONMS for the development of the ERMA and RULET database systems.

Activity 3.2 Monitor shipwreck sites.

Direct efforts to monitor sites that have been located and are considered a threat to sanctuary marine resources. Use protocols for site evaluation based on the monitoring work at such sites as the *Jacob Luckenbach* and the *Montebello*.

Activity 3.3 Coordinate with partners to reduce threats from shipwrecks.

GFNMS and MBNMS will continue to work with ONMS to identify shipwrecks that may pose environmental threats and will provide pertinent information to NOAA's Emergency Response Division and the ONMS for the inclusion in the ERMA and RULET GIS database systems. ONMS will work with other trustee agencies to develop a plan to monitor and prevent, reduce, and respond to environmental threats from any such vessels.

Activity 3.4 For historic shipwrecks, ensure compliance under Section 106 of the NHPA and the NMSA.

STRATEGY XMHR-4: Protect and manage submerged archaeological resources.

As part of the NEPA compliance process CBNMS, GFNMS and MBNMS are required to submit a review under Section 106 of the National Historic Preservation Act (NHPA) identifying historic and pre-historic archaeological properties and to take into account activities that may have an adverse or no adverse effect to these properties. Issues to be addressed by GFNMS, MBNMS, and CBNMS, regarding the protection of submerged archaeological resources include:

- Permitting
- Site protection through enforcement and education
- Shipwrecks as environmental threats

Activity 4.1 Coordinate stewardship of submerged resources.

Jointly develop a uniform protocol to manage, monitor, and protect submerged sites within the three sanctuaries in partnership with appropriate local law enforcement agencies.

Activity 4.2 Provide training to sanctuary staff and facilitate training for partners.

The training will focus on the importance of submerged archaeological resources and the need and tools to manage and protect them and Section 106 requirements.

Activity 4.3 Identify archaeological and historic resources currently outside sanctuary boundaries that may be of significant historic interest or may pose a threat to sanctuary resources.

STRATEGY XMHR-5: Conduct public outreach with traditional user and ocean-dependent groups and communities.

A key aspect of the CBNMS, GFNMS, and MBNMS maritime heritage program will be to educate the public about traditional maritime cultures and practices including Native Americans; exploration; settlement; ethnic groups; whalers; dog-hole ports, historic and present-day fishermen; recreational uses; and traditional shipping, shipbuilding, canneries, and other economic activities reflecting historic human interaction with the ocean. Although national marine sanctuary maritime heritage protection status is given only to cultural and historical resources within sanctuary boundaries, the program recognizes that traditional user and ocean-dependent groups are interconnected with the sanctuaries and are an integral part of their history

and cultural maritime landscape of the region. Therefore, this program will also acknowledge those traditional maritime heritage activities and practices consistent with the NMSA's primary goal of resource protection.

Activity 5.1 Identify traditional user and ocean-dependent groups.

Solicit and document the range of traditional user and ocean-dependent groups' ideas, values, etc. Conduct a literature search to gather resource documentation on traditional users and ocean-dependent groups and communities. Use this information to prioritize appropriate aspects of their maritime heritage.

Activity 5.2 Develop collaborative programs and initiatives.

GFNMS will initiate a partnership with the fishing community at Pillar Point Harbor to enhance relationships and jointly develop ways to educate the public on the interconnections with the three sanctuaries.

Activity 5.3 Create an inventory of historic and present maritime heritage communities.

Focus on traditionally associated people to support mapping, traditional place names, and interpretive programs. Assess and nominate appropriate sites for the National Register of Historic Places.

Activity 5.4 Map and document traditional communities and sites.

These communities and sites may include fishing and whaling sites; place names; shipping/commercial marine transport of passengers and cargo; lighthouses and life-saving stations; dog-hole ports; fort, tribes (coastal); and recreational uses such as surfing and diving.

STRATEGY XMHR-6: Continue to provide maritime heritage-focused education and outreach programs.

The maritime heritage landscape of CBNMS, GFNMS and MBNMS provides a unifying theme to educate and inform people along the California coast and throughout the country about the human interaction with the ocean. This involves understanding the broader context of specific places that encompasses human activities that includes indigenous people of our nation's prehistoric and historic past as well as today's modern cultures and their sacred places; geography; paleontology; archaeology; traditional knowledge and sociocultural studies; oral traditions; commercial and recreational fisheries; recreation activities, maritime heritage resources such as lighthouses, piers, wharves, lifesaving stations, abandoned dog-hole ports and landings and working waterfronts, revealing the many human communities that overlap with marine environments in our national marine sanctuaries and contiguous waters. Through websites, museum exhibits, and other tools, the sanctuaries will continue to provide information on:

• Programs by and about traditional cultures and practices including Native Americans, ethnic groups, fishermen, and economic activities

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- Shipwrecks, exploration, fishing and fisheries; trade vessels, routes and nationalities
- Shoreline structures such as lighthouses, life-saving stations, fort, canneries, dog-hole ports, and whaling facilities
- Traditional recreational activities such as diving, surfing, and boating
- Stewardship of our cultural and historic maritime resources

Activity 6.1 Improve information sharing and dialogue.

Hold an annual maritime heritage event to highlight specific cultural and historic resources that the sites are mandated to protect, such as archeological sites, shipwrecks, etc., and link to adjacent communities and human uses.

Activity 6.2 Create, expand and populate individual sanctuary websites and/or the West Coast Shipwreck Database.

The websites should include specific information about maritime heritage resources, such as living journals of traditional users and ocean-dependent groups as well as shipwreck survivors, archaeological project updates, potential environmental threats, and maps.

Activity 6.3 Develop and implement education and outreach programs and materials for the MHP.

Incorporate traditional users/ocean-dependent groups and submerged archaeological resources into existing and new education/outreach programs.

Activity 6.4 Collaborate on maritime heritage resource exhibits and signage.

The three sites will incorporate maritime heritage themes and messages as part of the California Statewide Signage, Exhibits, and Facilities plan.

TABLE XMHR-1: MEASURING PERFORMANCE OF THE CROSS-CUTTING MARITIME HERITAGE RESOURCES ACTION PLAN

Desired Outcome(s) For This Action Plan:

Establish a well-coordinated joint maritime heritage program that identifies and assesses documented shipwrecks and associated environmental hazards; protects sites from unauthorized disturbance; and develops heritage partnerships and education programs.

Performance Measures	Explanation
By Year 5, the Maritime Heritage program will identify and characterize all historical and cultural resources in these three sanctuaries in a Web database and, when appropriate, develop plans to protect these resources from threats. In the case of ships that pose a threat from oil spills, plans will be developed to mitigate harmful effects on natural resources.	The specific maritime heritage activities identified in this plan build upon existing site efforts and collectively establish a new joint maritime heritage program for this region. The program will allow these sites to be responsive to the NMSA mandate to identify and protect cultural and historic resources. Implementation of these strategies will better streamline and coordinate overall NMSP efforts to protect maritime heritage resources and expand awareness of the importance of these resources to the public.

KEY PARTNERS

CBNMS, GFNMS, MBNMS, CINMS, MAC, NOAA Emergency Response Division, NOAA Office of Response and Restoration, NOAA OLE, NPS, SHPO, California Sea Grant, CSLC, San Mateo County Harbor District – Pillar Point, and Half Moon Bay Fishermen's Association, FMSA.



APPENDICES

GFNMS FINAL MANAGEMENT PLAN

- A. Jurisdictional Authorities
- B. Glossary of Terms
- C. Acronyms
- D. Citations
- E. Vertebrates
- F. Invertebrates and Algae
- G. Introduced Species

Appendix A: Jurisdictional Authorities

The sanctuary overlaps and borders the jurisdictions of several other agencies. Coordination and cooperation among the responsible agencies are critical to the success of the sanctuary. These agencies and their roles in assisting management of the sanctuary are described below.

FEDERAL AUTHORITIES

National Marine Sanctuaries

Two other national marine sanctuaries share boundaries with Gulf of the Farallones National Marine Sanctuary (GFNMS). To the north and west is Cordell Bank National Marine Sanctuary (CBNMS); to the south and east is Monterey Bay National Marine Sanctuary (MBNMS). GFNMS works closely with both CBNMS and MBNMS to protect shared populations and habitats.

The GFNMS is responsible for managing programs and regulations of the Northern Management Area of MBNMS, which includes all MBNMS waters and submerged lands north of Point Año Nuevo and the San Mateo/ Santa Cruz county line.

National Park Service

The sanctuary manages waters adjacent to two agencies of the National Park Service (NPS), the Golden Gate National Recreation Area (GGNRA) and Point Reyes National Seashore (PRNS). They work closely with the sanctuary on the protection and management of natural and cultural marine resources. GGNRA includes an extensive network of recreational and historic sites. The sanctuary coordinates and cooperates with PRNS and GGNRA in the areas of interpretation, administrative support, wildlife protection, oil spill preparedness, and natural resource damage assessment and restoration. PRNS represents the largest stretch of shoreline adjacent to the sanctuary. It includes certain state tide and submerged lands that have been conveyed to the national seashore. The seashore's management plan defines Natural Zones that are to remain unaltered by human activity.

United States Fish and Wildlife Service (FWS)

Within the waters of GFNMS, the FWS is responsible for protecting all marine mammal species, including sea otters; other than cetaceans and pinnipeds under the Marine Mammal Protection Act (MMPA); and Short-Tailed Albatross and other bird species listed as threatened or endangered under the Endangered Species Act (ESA). The National Marine Fisheries Services (NMFS) is responsible for protecting cetaceans and pinnipeds under the MMPA, and sea turtles and fish that are listed as threatened or endangered under the ESA.

The FWS also has responsibility for managing the Farallon National Wildlife Refuge. The refuge includes North, Middle, and Southeast Farallon Islands; Maintop Island; and Noonday Rock. The refuge is operated primarily as a migratory bird refuge to protect murres, auklets,

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guillemots, puffins, and other birds, and secondarily, to protect seal, sea lion, and other marine mammal assemblages.

National Marine Fisheries Service (NMFS)

The NMFS is responsible for enforcing the Magnuson-Stevens Fishery Conservation and Management Act (MSFCMA), the MMPA, and the ESA. Pursuant to the MSFCMA NMFS approves, implements and enforces fishery management plans (FMP) prepared by regional fishery management councils. NMFS works closely with the California Department of Fish and Wildlife (CDFW) and United States Coast Guard (USCG) for enforcement operations both within and outside the three-mile territorial sea. Gulf of the Farallones fish populations affected by FMP regulations include lingcod, rockfish, and salmon.

The NMFS shares responsibility with the FWS for implementation of the MMPA and the ESA (see FWS entry above).

United States Coast Guard (USCG)

The USCG is the federal government's primary maritime law enforcement agency. The USCG's missions include maritime law enforcement, national security, maritime safety, and marine environmental protection. For ocean and coastal activities, the USCG manages maritime transportation activities in order to minimize loss of life and damage to the environment. The USCG has historically held the primary responsibility for ensuring cleanup of any oil spill or other pollutants in the marine environment. To avert oil spills and promote safety, the USCG inspects vessels carrying oil and other hazardous materials. The USCG requires vessels to have approved response plans detailing owner and operator response to an oil spill and ensuring proper response activities. Pursuant to the Oil Spill Prevention Act of 1990 (OPA), which defines ground rules for dealing with oil pollution events and recommends pollution prevention measures, the USCG has responsibility for preparing most of the regulations necessary to implement OPA. Additionally, the USCG must be consulted in the development of oil spill contingency plans for marine oil and gas facilities and terminals. The OPA also allows for natural resource damage recovery by federal and state resource trustees.

Bureau of Ocean Energy Management (BOEM) The Bureau of Ocean Energy Management (BOEM) is responsible for managing development of the nation's offshore resources in an environmentally and economically responsible way. Functions include: Leasing, Plan Administration, Environmental Studies, National Environmental Policy Act (NEPA) Analysis, Resource Evaluation, Economic Analysis and the Renewable Energy Program.

Bureau of Safety and Environmental Enforcement (BSEE)

BSEE was created to enforce safety and environmental regulations. Functions include: All field operations including Permitting and Research, Inspections, Offshore Regulatory Programs, Oil Spill Response, and newly formed Training and Environmental Compliance functions.

Environmental Protection Agency (EPA)

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The Environmental Protection Agency (EPA) has regulatory responsibilities with regard to ocean water quality. Under the U. S. Clean Water Act (CWA), EPA establishes and enforces water quality standards for waters outside of the three-mile state waters. Title 1 of the Marine Protection, Research, and Sanctuaries Act (Ocean Dumping Act), prohibits the unpermitted dumping of "any material transported from a location outside the United States" into the territorial sea of the United States, or into the zone contiguous to the territorial sea, to the extent discharge into the contiguous zone would affect the territorial sea or the territory of the United States. The act is administered by the EPA and supersedes any CWA requirements.

STATE AUTHORITIES

California Department of Fish and Wildlife (CDFW)

The CDFW, under the Fish and Game Code (and Chapter 14 of the Administrative Code), regulates and manages a wide variety of activities affecting the living marine resources found in the territorial sea and in the 200-mile-wide exclusive economic zone (EEZ). In cooperation with NMFS, the CDFW enforces federal regulations established under the MSFCMA. It also enforces and implements the Marine Life Management Act and the Marine Life Protection Act (MLPA). The CDFW has established ecological reserves, marine reserves, game refuges, and marine life refuges in the ocean waters and submerged lands surrounding the Farallon Islands and Point Reyes. The agency has the authority to prohibit or restrict activities that may harm resources, including fishing, collecting, swimming, boating, and public entry. The CDFW works closely with the sanctuary in oil spill response, damage assessment, and restoration through its Office of Spill Prevention and Response (OSPR).

Several fisheries conducted within the GFNMS are managed by the state of California. The CDFW is responsible for preparing FMPs under the authority of the California Fish and Game Commission and the California State Legislature. Gulf of the Farallones fish populations affected by California regulations include Pacific herring, nearshore finfish, Market squid, and Dungeness crab.

State Water Resources Control Board (SWRCB)

The SWRCB is responsible for water quality within state waters. The SWRCB adopts statewide water quality control plans and policies, such as the Ocean Plan, the Thermal Plan, and the State Implementation Policy. The Regional Water Control Boards adopt and submit basin plans to the state board for approval. Title III, Section 303 of the CWA requires California to submit statewide and basin plans to the EPA for approval.

The SWRCB has established a system of thirty-four Areas of Special Biological Significance (ASBS), now known as State Water Quality Protection Areas (SWQPA). These are areas designated for special protection from undesirable alteration in natural water quality. Five ASBSs (SWQPAs) are located in GFNMS. These are at Duxbury Reef, Point Reyes Headland, Double Point, Bird Rock, and the Farallon Islands.

California Coastal Commission (CCC)

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The CCC was established under the California Coastal Zone Management Act (CZMA) of 1972, which gives authority to the commission to establish policy for activities in state waters. The CZMA established the authority for a federal-state partnership to manage development and use of the coastal zone. The CCC also has the authority to review federal activities in the coastal zone to ensure consistency with California's Coastal Zone Management Program.

California State Lands Commission (SLC)

SLC has jurisdiction over all of California's tide and submerged lands and over the beds of naturally navigable rivers and lakes, each of which are sovereign lands, swamp, and overflow lands, and school lands (proprietary lands). Management responsibilities of the SLC extend to activities within submerged land and those within three nautical miles of shore.

California Department of Boating and Waterways (DBW)

The DBW programs are designed to fulfill the needs of California's boating community including funding for local waterway law enforcement programs, assisting in beach erosion control projects, licensing yacht and ship brokers, and funding the development of public access boating facility projects. The DBW also provides grants to cities, counties, and districts for developing small craft harbors/marinas; and loans to private recreational marinas.

California State Parks

The California Public Resources Code provides for California Department of Parks and Recreation's (California State Parks') control of the State park system, including management of submerged archaeological and historical resources within State park units.

The department may manage state marine reserves, state marine parks, state marine conservation areas, state marine cultural preservation areas, and state marine recreational management areas. Department authority over units within the State park system shall extend to units of the State Marine Managed Areas (MMAs) system that are managed by the department.

The California State Parks regulations are found in the California Code of Regulations, Title 14, Natural Resources, §§ 4300-4971. Several of the regulations pertain to historic or cultural resources.

Appendix B: Glossary of Terms

Action plan: A major section of a management plan containing related strategies and activities designed to address a specific issue or function (NOAA, *National Marine Sanctuary Management Plan Handbook*, 3rd edition, 2002).

Activity: Specific actions that will be taken to carry out a strategy (NOAA, *National Marine Sanctuary Management Plan Handbook*, 3rd edition, 2002).

Aquaculture: The cultivation of marine life for harvest and utilization by humans.

Bathymetry: Water depth measurement information used to produce depth-contoured charts.

Benthic: The region of the ocean consisting of the sea bed and the organisms that live on or in it.

Benthic communities: Bottom-dwelling plants and animals.

Biodiversity: The variability among living organisms from all sources, including terrestrial, marine, and other aquatic ecosystems and the ecological complexes of which they are part; this includes diversity within species, between species and of ecosystems.

Chum: Bait usually consisting of oily fish ground up and scattered on the water.

Continental shelf: A generally shallow, flat submerged portion of a continent, extending to the point of step descent to the ocean floor.

Critical habitat: The specific areas within the geographical area occupied by a threatened or endangered species on which are found those physical or biological features essential to the conservation of the species, and which may require special management considerations or protection.

Demersal: Fishes and other aquatic organisms that live near the bottom of the water column.

Depleted: A species is termed depleted when it falls below its optimum sustainable population.

Designation document: A portion of the regulations for a given sanctuary that spells out the terms of its designation, including boundaries, regulations, and those activities potentially subject to future regulation.

Desired outcome: A succinct and concise statement that articulates a desired future for a sanctuary relative to a specific problem statement (NOAA, *National Marine Sanctuary Management Plan Handbook*, 3rd edition. 2002).

Ecology: The science of the relationships between organisms and their environments.

Appendix B: Glossary of Terms GFNMS Final Management Plan

Ecosystem: The sum total of all living and nonliving components of a particular area that interact and exchange materials with each other; sometimes defined as the ecological community of organisms plus the environment with which they interact. Energy flow and nutrient cycling are regulated within a particular ecosystem and are studied as indicators of its overall health.

Effluent: An outflow of waste, as from a sewer.

Endangered species: Any species that is in danger of extinction throughout all or a significant portion of its range.

Epifauna: Animals that live on the ocean bottom, either attached or moving freely over it.

Food chain: A succession of organisms in a community that constitutes a feeding chain in which food energy is transferred from one organism to another as each consumes a lower member and in turn is preyed upon by a higher member.

Indigenous: Living or occurring naturally in a specific area or environment.

Infaunal: Organisms that live buried in sediments, including a variety of polychaetes, burrowing crustaceans, and mollusks.

Infrastructure: Basic installations and facilities, such as roads, power plants, transportation, and communication systems.

Invertebrate: An animal lacking a backbone or spinal column.

Isobath: An imaginary line or one drawn on a map connecting all points of equal depth below the surface of a body of water.

Marine protected area: Any area of the marine environment that has been reserved by federal, state, territorial, tribal, or local laws or regulations to provide lasting protection for part or all of the natural and cultural resources therein. (Executive Order 13158 on Marine Protected Areas). Under this broad definition, a wide variety of sites including fishery management zones, national parks, national marine sanctuaries, national estuarine research reserves, state conservation areas, critical habitats, and state reserves could be considered as marine protected areas.

Marine reserve: A kind of marine protected area generally agreed to have strict regulations regarding the extraction of resources.

Marine sanitation device: Any equipment for installation on board a vessel which is designed to receive, retain, treat, or discharge sewage, and any process to treat such sewage.

Mollusks: Any of various members of the phylum Mollusca, largely marine invertebrates, including the edible shellfish and some 100,000 other species.

Multibeam: A type of sonar that has multiple beams to record water depth.

Nonpoint source pollutant discharges: Those pollutant discharges not associated with a specific location (e.g., urban and agricultural pesticide runoff).

Organism: Plant or animal.

Overfished: An overfished stock or stock complex is one whose size is sufficiently depleted that a change in management practices is required in order to achieve an appropriate level and rate of rebuilding. A rebuilding plan is required for stocks that are overfished.

Pathogens: Any agent, most commonly a micro-organism, capable of causing a disease.

Pelagic: Of, relating to, or living in open seas or oceans rather than waters adjacent to land or inland waters.

Planktonic: Organisms dependent on water movement and currents as their means of transportation, including phytoplankton, zooplankton, and ichthyoplankton.

Point source pollutant discharges: The discharge of pollutants from a distinct and identifiable source, such as a sewer or industrial outfall pipe.

Program/Issue Statements: A one or two sentence articulation of the specific components of an issue (NOAA, *National Marine Sanctuary Management Plan Handbook*, 3rd edition, 2002).

Salinity: The relative concentration of salts, usually sodium chloride, in a given water sample. It is usually expressed in terms of the number of parts per thousand (ppt) or parts per million (ppm) of chlorine (Cl). As a reference, the salinity of seawater is approximately 35 ppt.

Side-scan sonar: A type of sonar that gathers sound reflections at oblique angles to the sensor.

Socioeconomic: Being both social and economic.

Strategy: The means by which a particular desired outcome can be achieved (NOAA, *National Marine Sanctuary Management Plan Handbook*, 3rd edition, 2002).

Substrate: A surface on which a plant or animal grows or is attached.

Threatened species: Plant or animal species believed likely to move into the endangered category in the foreseeable future.

Trawling: To fish using a trawl, a large tapered and flattened or conical net towed along the sea bottom.

Trolling: To fish by running a baited line behind a slowly moving boat.

Trophic: A description related to feeding; it often refers to a feeding level in a food chain.

Appendix B: Glossary of Terms GFNMS Final Management Plan

Trophic level: One of a succession of steps in the movement of energy and matter through a food chain in an ecosystem.

Turbidity: The extent to which there are suspended or stirred up particles or sediments, as in the water column.

Zone: An area or region considered as separate and distinct from others because of its designated use, plant or animal life, etc.

Zoning: The act of partitioning areas of land or water into sections dedicated to specific purposes and activities.

Appendix C: Acronyms GFNMS Final Management Plan

Appendix C: Acronyms

ACP Area Contingency Plan (USCG)
ACR Audubon Canyon Ranch
ACS American Cetacean Society
AIS Automated Identification System

AOP Annual Operating Plan

APPS U.S. Act to Prevent Pollution from Ships ASBS Area of Special Biological Significance ATOC Acoustic Thermometry of Ocean Climate

BASA Bay Area Science Alliance
BLM Bureau of Land Management
BML Bodega Marine Laboratory
BMP best management practices

BOEM Bureau of Ocean Energy Management Cal EPA California Environmental Protection Agency

CalCOFI California Cooperative Oceanic Fisheries Investigations

CalTrans California Department of Transportation

CAP Civil Aeronautical Patrol

CAS California Academy of Sciences

CBNMS Cordell Bank National Marine Sanctuary
CBSOA California Boating Safety Officers Association

CCA California Critical Coastal Areas
CCC California Coastal Commission
CCR California Code of Regulations

CCRWQBC Central Coast Regional Water Quality Control Board CDBW California Department of Boating and Waterways

CDF California Department of Forestry

CDFW California Department of Fish and Wildlife
CDPR California Department of Parks and Recreation
CenCOOS Central California Ocean Observing Systems
CEQA California Environmental Quality Act

CFR Code of Federal Regulations
CHP California Highway Patrol

CIMT Center for Integrated Marine Technology
CINMS Channel Islands National Marine Sanctuary

CITES Convention on International Trade in Endangered Species of Wild Fauna and Flora

CMAR Coastal Maritime Archaeology Resources
COASST Coastal Observation and Seabird Survey Team
CODAR Coastal Ocean Dynamics Applications Radar

COE U.S. Army Corps of Engineers

CSC California Species of Special Concern

CSC Coastal Services Center

CSLC California State Lands Commission
CSUMB California State University Monterey Bay

CWA U.S. Clean Water Act

CZARA Coastal Zone Authorization Amendments

CZMA Coastal Zone Management Act

Appendix C: Acronyms

GFNMS Final Management Plan

DARRF Damage Assessment and Restoration Evolving Fund California Department of Boating and Waterways

DDT dichlorodiphenyltrichloroethane
DEIS Draft Environmental Impact Statement

DMP Draft Management Plan

DOC United States Department of Commerce
DOI United States Department of the Interior
DPR California Department of Parks and Recreation

EDS Ecosystem Dynamics Study

EECOM Environmental Education Council of Marin

EEZ U.S. Exclusive Economic Zone

EFH essential fish habitat

EIR Environmental Impact Report
EIS Environmental Impact Statement
EPA U.S. Environmental Protection Agency

ERMA Southwest Environmental Response Management Application

ESA Endangered Species Act

ESNERR Elkhorn Slough National Estuarine Research Reserve

FAA Federal Aviation Administration FCC Federal Communications Commission

FEIS/MP Final Environmental Impact Statement / Management Plan

FES Friends of the Elephant Seal FGC Fish and Game Commission FGDC Federal Geospatial Data Center

FIRWD Farallon Islands Radioactive Waste Dumpsite FKNMS Florida Keys National Marine Sanctuary

FMP Fishery Management Plan FMR Fitzgerald Marine Reserve

FMSA Farallones Marine Sanctuary Association

FSO Friends of the Sea Otter

FWPCA Federal Water Pollution Control Act GCEL General Council Enforcement Litigation

GCOS General Council Ocean Service

GFNMS Gulf of the Farallones National Marine Sanctuary

GGNRA Golden Gate National Recreation Area
GIS geographic information systems
GPS global positioning system

GRNMS Grey's Reef National Marine Sanctuary
GSA General Services Administration

HAB harmful algal bloom

HAZMAT Hazardous Materials Response Division

HDD horizontal directional drilling

HIHWNMS Hawaiian Islands Humpback Whale National Marine Sanctuary

IACC Interagency Coordinating Committee

ICES International Council for Exploration of the Sea

ICS Incident Command System IFQ individual fishing quota

IGERT Integrative Graduate Education and Research Traineeship Program

IMO International Maritime Organization

Appendix C: Acronyms GFNMS Final Management Plan

IPPC International Plant Protection Convention

ITQ individual transferable quota

IUCN International Union for Conservation of Nature and Natural Resources

JMPR Joint Management Plan Review

LCP Local Coastal Program
LCV Large Commercial Vessels

LiMPETS Long-term Monitoring Program and Experiential Training for Students

MAC Maritime Archaeology Center (NOAA)

MALT Marin Agricultural Land Trust

MARE Marine Activities, Resources, and Education

MARPOL International Convention for the Prevention of Pollution from Ships

MATE Marine Advanced Technology Education (Center)

MBA Monterey Bay Aquarium

MBARI Monterey Bay Aquarium Research Institute
MBNMS Monterey Bay National Marine Sanctuary

MBTA Migratory Bird Treaty Act

MCBI Marine Conservation Biology Institute

MCSTOPPP Marin County Stormwater Pollution Prevention Program

MERITO Multicultural Education for Resource Issues Threatening Oceans

MGD million gallons per day
MHW mean high water
MHWL mean high water line

MLMA Marine Life Management Act
MLML Moss Landing Marine Laboratories

MLPA Marine Life Protection Act
MMPA Marine Mammal Protection Act
MOA Memorandum of Agreement
MOU Memorandum of Understanding

MPA marine protected area

MRDC Marin Rural Development Council

MSD marine sanitation device

MSFCMA Magnuson-Stevens Fishery Conservation and Management Act NANPCA Nonindigenous Aquatic Nuisance Prevention and Control Act

NAS Nautical Archaeology Society

NASA
National Aeronautics and Space Administration
NCCOS
National Centers for Coastal Ocean Science
NEBA
Net Environmental Benefits Analysis
NEMO

NEMO Nonpoint Education for Municipal Officials

NEPA National Environmental Policy Act
NGO non-governmental organization
NHPA National Historic Preservation Act
NISA National Invasive Species Act of 1996

NISAC Non-native Invasive Species Advisory Committee

NM nautical mile

NMFS National Marine Fisheries Service NMSA National Marine Sanctuaries Act NMSF National Marine Sanctuaries Foundation

NMSS National Marine Sanctuary System NOAA OLE NOAA Office of Law Enforcement

Appendix C: Acronyms

GFNMS Final Management Plan

NOAA National Oceanic and Atmospheric Administration

NODC National Oceanographic Data Center

NOS National Ocean Service

NPDES National Pollutant Discharge Elimination System

NPR National Public Radio
NPS National Park Service
NPS Naval Postgraduate School
NPS non-point source pollution

NRDA National Resource Damage Assessment and Restoration

NURP National Undersea Research Program (NOAA)
OCNMS Olympic Coast National Marine Sanctuary

OCRM Office of Coastal Resource Management (NOAA)

OCS outer continental shelf OE Office of Enforcement

OES Office of Emergency Services

ONMS Office of National Marine Sanctuaries
OPA Oil Spill Prevention Act of 1990
ORR Office of Response and Restoration

OSPR (Office of) Oil Spill Prevention and Response (CDFW)

OSRO Oil Spill Response Organization

OWE Open Water Exchange
PARS Port Access Route Studies
PCB polychlorinated biphenyl

PCFFA Pacific Coast Federation of Fishermen's Associations

PCLC Pacific Coast Learning Center
PFMC Pacific Fishery Management Council

PISCO Partnership for Interdisciplinary Studies of Coastal Oceans

PRBO Point Blue (formerly PRBO Conservation Science and Point Reyes Bird Observatory)

PRNS Point Reyes National Seashore

PRNSA Point Reves National Seashore Association

PSA public service announcement

PSMFC Pacific States Marine Fisheries Commission

PWSA Ports and Waterways Safety Act RBOC Recreational Boaters of California

RCRA U.S. Resource Conservation and Recovery Act

ROV remotely operated vehicle RRP Regional Response Plan

RUST Resources and Under Sea Threats (ONMS database system)

RWQCB Regional Water Quality Control Board
SBNMS Stellwagen Bank National Marine Sanctuary
SCCAT Southern California Caulerpa Action Team
SCRP Submerged Cultural Resources Program (ONMS)

SEALS Sanctuary Education Awareness and Long-term Stewardship

SeaWif Sea-viewing Wide Field of Vision

SERC Smithsonian Environmental Research Center

SFBNERR San Francisco Bay National Estuarine Research Reserve

SFSU San Francisco State University SFU San Francisco State University

SHPO California State Historic Preservation Office

Appendix C: Acronyms GFNMS Final Management Plan

SIMON Sanctuary Integrated Monitoring Network (MBNMS)

SLC California State Lands Commission

SMCNHA San Mateo Coast Natural History Association

SPO Special Projects Office SST sea surface temperature

STRAW Students and Teachers Restoring a Watershed SWiM System Wide Monitoring Program (ONMS)

SWMEA Southwest Marine and Aquatic Educator's Association

SWQB State Water Quality Board

SWQPA State Water Quality Protection Area SWRCB State Water Resources Control Board TBNMS Thunder Bay National Marine Sanctuary

TMDL total maximum daily loads
TMMC The Marine Mammal Center

UCCE University of California Cooperative Extension

UCD University of California Davis
UCSC University of California Santa Cruz

UNESCO United Nations Educational Scientific and Cultural Organization

USACE U.S. Army Corps of Engineers USCG United States Coast Guard

USDA United States Department of Agriculture
USFWS United States Fish and Wildlife Service
USGS United States Geological Survey

VTS Vessel Traffic System

VTSS Vessel Traffic Separation Schemes WDR Waste Discharge Requirement

WRP Western Regional Panel on Aquatic Nuisance Species

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Appendix E: Vertebrates

GULF OF THE FARALLONES NATIONAL MARINE SANCTUARY

VERTEBRATES

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The following lists of vertebrate species are known to occur in the Gulf of the Farallones National Marine Sanctuary (GFNMS). These lists include 37 mammal, 183 bird, 4 reptile, and 390 fish species that have been recorded alive or dead or, for some species of fish, are suspected of occurring within the boundary of the GFNMS, including the waters of Tomales Bay, Drakes and Limantour Esteros, and Bolinas Lagoon. In addition to common and scientific names of each specific taxon, the lists include information or data on Federal listed status and the importance of the sanctuary to the species, as listed under "Habitat Importance." This designation is based on 1) the abundance of the species within the sanctuary, 2) the proportion of the overall range or population that occurs in the sanctuary, and 3) the importance of the sanctuary to breeding individuals. Also noted by asterisk for bird and mammal species, is if the sanctuary is used by that species for foraging, roosting, nesting, and/or rearing of young during its breeding season.

Taxonomic classification, phylogenetic order, and all other information are according to references used for each class of vertebrates, listed below. Each class has slightly differing

criteria for acceptance to the list. For mammals the list includes all marine species, including vagrants, which have been recorded within sanctuary waters, either observed alive or dead. Only one fresh-water/estuarine species, river otter, is included based on occurrence in coastal bodies of water and because the GFNMS boundary includes estuarine habitats were these otters have been documented. For birds the list includes all marine species, including vagrants, that have been recorded in sanctuary waters and those species that are regularly found in the coastal esteros and lagoons. For a full list of over 400 bird species, including vagrant estuarine species and landbirds recorded on Southeast Farallon Island, see Pyle 2000. For reptiles and fish the lists include those species recorded in the sanctuary plus others suspected of occurring based on records both north and south of the sanctuary, but for which no definite records are currently known.

The headings of the vertebrate lists include the following categories:

COMMON NAME - The common (English) name of the species.

SCIENTIFIC NAME - The scientific (Latin) name of the species.

FEDERAL STATUS - The federal listed status as of May 2013 (as found at URL: http://ecos.fws.gov/ecos/indexPublic.do). These designations are given if any population or subspecies occurring in the sanctuary is so listed.

- E Endangered
- T Threatened
- D Delisted since designation of the sanctuary
- SC Species of concern, either status is declining or was delisted

HABITAT IMPORTANCE - The "Habitat Importance" of the sanctuary to the species. Codes are as follows:

- E Extremely Important
- V Very Important
- S Somewhat Important

No designation indicates the sanctuary is of little importance or importance is unknown.

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VERTEBRATES

Birds

COMMON NAME	SCIENTIFIC NAME	FEDERAL STATUS	HABITAT IMPORTANCE
Brant	Branta bernicla		E
Canada Goose*	Branta canadensis	D	S
Gadwall*	Anas strepera		V
Eurasian Wigeon	Anas penelope		S
American Wigeon	Anas americana		E
Mallard*	Anas platyrhynchos		E
Blue-winged Teal	Anas discors		S
Cinnamon Teal*	Anas cyanoptera		V
Northern Shoveler	Anas clypeata		E
Northern Pintail	Anas acuta		E
Green-winged Teal	Anas crecca		V

Canvasback	Aythya valisineria		S
Greater Scaup	Aythya marila		Е
Lesser Scaup	Aythya affinis		S
Harlequin Duck	Histrionicus histrionicus		S
Surf Scoter	Melanitta perspicillata		Е
White-winged Scoter	Melanitta fusca		Е
Birds Con't.			
COMMON NAME	SCIENTIFIC NAME	FEDERAL STATUS	HABITAT IMPORTANCE
Black Scoter	Melanitta nigra	SIAIUS	E
Long-tailed Duck (Oldsquaw)	Clangula hyemalis		S
Bufflehead	Bucephala albeola		E
Common Goldeneye	Bucephala clangula		E
Hooded Merganser	Lophodytes cucullatus		L
Common Merganser	Mergus merganser		S
Red-breasted Merganser	Mergus serrator		V
Ruddy Duck*	Oxyura jamaicensis		E
Red-throated Loon	Gavia stellata		V
Pacific Loon	Gavia sietidia Gavia pacifica		E
	Gavia immer		V
Common Loon Yellow-billed Loon	Gavia immer Gavia adamsii		S
Pied-billed Grebe*		SC	V
	Podilymbus podiceps		
Horned Grebe	Podiceps auritus	SC	V
Red-necked Grebe	Podiceps grisegena		E
Eared Grebe	Podiceps nigricollis		
Western Grebe	Aechmophorus occidentalis		E
Clark's Grebe	Aechmophorus clarkii		Е
Shy Albatross	Thalassarche cauta		
Salvin's Albatross	Thalassarche salvini		
Light-mantled Albatross	Phoebetria palpebrata		
Laysan Albatross*	Phoebastria immutabilis		S
Black-footed Albatross*	Phoebastria nigripes		E
Short-tailed Albatross*	Phoebastria albatrus	Е	S
Northern Fulmar	Fulmarus glacialis		Е
Murphy's Petrel	Pterodroma ultima		S
Mottled Petrel	Pterodroma inexpectata		S
Dark-rumped Petrel	Pterodroma phaeopygia	T	S
Cook's Petrel	Pterodroma cookii		
Pink-footed Shearwater	Puffinus creatopus		Е
Flesh-footed Shearwater	Puffinus carneipes		V
Greater Shearwater	Puffinus gravis		
Buller's Shearwater	Puffinus bulleri		E
Sooty Shearwater	Puffinus griseus		E
Short-tailed Shearwater	Puffinus tenuirostris		E
Manx Shearwater	Puffinus puffinus		S
Black-vented Shearwater	Puffinus opisthomelas		V
Wilson's Storm-Petrel	Oceanites oceanicus		S

Fork-tailed Storm-Petrel*	Oceanodroma furcata		V
Leach's Storm-Petrel*	Oceanodroma leucorhoa		V
Ashy Storm-Petrel	Oceanodroma homochroa		Е
Black Storm-Petrel	Oceanodroma melania		S
Least Storm-Petrel	Oceanodroma microsoma		S
Red-billed Tropicbird	Phaethon aethereus		
Birds Con't.			
COMMON NAME	SCIENTIFIC NAME	FEDERAL	HABITAT
		STATUS	IMPORTANCE
Red-tailed Tropicbird	Phaethon rubricauda		
Magnificent Frigatebird	Fregata magnificens		
Great Frigatebird	Fregata minor		
Masked Booby	Sula dactylatra		
Blue-footed Booby	Sula nebouxii		S
Brown Booby	Sula leucogaster		S
Red-footed Booby	Sula sula		S
Brandt's Cormorant*	Phalacrocorax penicillatus		Е
Double-crested Cormorant*	Phalacrocorax auritus		V
Pelagic Cormorant*	Phalacrocorax pelagicus		Е
American White Pelican	Pelecanus erythrorhynchos		S
Brown Pelican	Pelecanus occidentalis	D	Е
American Bittern	Botaurus lentiginosus	SC	S
Great Blue Heron*	Ardea herodias		Е
Great Egret*	Ardea alba		Е
Snowy Egret*	Egretta thula		V
Green Heron*	Butorides virescens		V
Black-crowned Night-Heron*	Nycticorax nycticorax	SC	Е
Turkey Vulture*	Cathartes aura		S
Osprey*	Pandion haliaetus		Е
Bald Eagle*	Haliaeetus leucocephalus	D	S
Northern Harrier	Circus cyaneus		S
Red-tailed Hawk	Buteo jamaicensis		S
Yellow Rail	Coturnicops noveboracensis		S
Black Rail	Laterallus jamaicensis	SC	Е
Virginia Rail	Rallus limicola		V
Sora	Porzana carolina		V
American Coot*	Fulica americana		V
American Avocet	Recurvirostra americana		V
Black Oystercatcher*	Haematopus bachmani		E
Black-bellied Plover	Pluvialis squatarola		E
Snowy Ployer*	Charadrius alexandrinus	Т	E
Semipalmated Plover	Charadrius semipalmatus	SC	V
Killdeer*	Charadrius vociferus		V
Spotted Sandpiper	Actitis macularia		V
Wandering Tattler	Heteroscelus incanus		V
Greater Yellowlegs	Tringa melanoleuca		V
Greater Tellowlegs	11 inga metanoteuca		V

Willet	Catoptrophorus semipalmatus		Е
Whimbrel	Numenius phaeopus	SC	V
Long-billed Curlew	Numenius americanus		Е
Marbled Godwit	Limosa fedoa	SC	Е
Ruddy Turnstone	Arenaria interpres		S
Black Turnstone	Arenaria melanocephala		Е
Birds Con't.	_		
COMMON NAME	SCIENTIFIC NAME	FEDERAL STATUS	HABITAT IMPORTANCE
Red Knot	Calidris canutus	SC	S
Surfbird	Aphriza virgata		V
Sanderling	Calidris alba		Е
Dunlin	Calidris alpina		Е
Least Sandpiper	Calidris minutilla		Е
Western Sandpiper	Calidris mauri		Е
Rock Sandpiper	Calidris ptilocnemis		S
Short-billed Dowitcher	Limnodromus griseus	SC	V
Long-billed Dowitcher	Limnodromus scolopaceus		V
Wilson's Snipe	Gallinago delicata		V
Common Snipe	Gallinago gallinago		V
Wilson's Phalarope	Phalaropus tricolor		S
Red-necked Phalarope	Phalaropus lobatus		Е
Red Phalarope	Phalaropus fulicaria		Е
South Polar Skua	Catharacta maccormicki		V
Pomarine Jaeger	Stercorarius pomarinus		Е
Parasitic Jaeger	Stercorarius parasiticus		Е
Long-tailed Jaeger	Stercorarius longicaudus		V
Common Murre*	Uria aalge		Е
Thick-billed Murre	Uria lomvia		
Pigeon Guillemot*	Cepphus columba		Е
Long-billed Murrelet	Brachyramphus perdix		
Marbled Murrelet*	Brachyramphus marmoratus	T	Е
Scripps's Murrelet	Synthliboramphus scrippsi		S
Craveri's Murrelet	Synthliboramphus craveri		S
Ancient Murrelet	Synthliboramphus antiquus		V
Cassin's Auklet*	Ptychoramphus aleuticus		Е
Parakeet Auklet	Aethia psittacula		
Least Auklet	Aethia pusilla		
Crested Auklet	Aethia cristatella		
Rhinoceros Auklet*	Cerorhinca monocerata		Е
Horned Puffin	Fratercula corniculata		S
Tufted Puffin*	Fratercula cirrhata		Е
Swallow-tailed Gull	Creagrus furcatus		
Black-legged Kittiwake	Rissa tridactyla		V
Sabine's Gull	Xema sabini		V
Bonaparte's Gull	Larus philadelphia		V
Heermann's Gull	Larus heermanni		Е

Mew Gull	Larus canus		Е
Ring-billed Gull*	Larus delawarensis		V
Western Gull*	Larus occidentalis		Е
California Gull*	Larus californicus		Е
Herring Gull	Larus argentatus		V
Thayer's Gull	Larus thayeri		V
Birds Con't.			·
COMMON NAME	SCIENTIFIC NAME	FEDERAL	HABITAT
		STATUS	IMPORTANCE
Glaucous-winged Gull	Larus glaucescens		Е
Glaucous Gull	Larus hyperboreus		S
Sooty Tern	Sterna fuscata		
Caspian Tern*	Sterna caspia		Е
Common Tern	Sterna hirundo	SC	V
Arctic Tern	Sterna paradisaea		V
Forster's Tern*	Sterna forsteri		Е
Elegant Tern*	Sterna elegans		Е
Burrowing Owl	Athene cunicuria		V
Short-eared Owl	Asio flammeus	SC	S
Belted Kingfisher*	Ceryle alcyon		V
Merlin	Falco columbarius		V
Peregrine Falcon*	Falco peregrinus	D	Е
Praire Falcon*	Falco mexicanus		S
Black Phoebe*	Sayornis nigricans		S
Say's Phoebe	Sayornis saya		S
American Crow*	Corvus brachyrhynchos		S
Common Raven*	Corvus corax		V
Horned Lark*	Eremophila alpestris		V
Tree Swallow*	Tachycineta bicolor		S
Northern Rough-winged Swallow*	Stelgidopteryx serripennis		V
Bank Swallow*	Riparia riparia		V
Cliff Swallow*	Petrochelidon pyrrhonota		S
Barn Swallow*	Hirundo rustica		S
Rock Wren*	Salpinctes obsoletus		V
Marsh Wren	Cistothorus palustris	SC	V
American Pipit	Anthus rubescens		S
Yellow-rumped Warbler	Dendroica coronata		S
Savannah Sparrow*	Passerculus sandwichensis		V
Song Sparrow*	Melospiza melodia		V
Swamp Sparrow	Melospiza georgiana		S
Red-winged Blackbird*	Agelaius phoeniceus		V
Tricolored Blackbird*	Agelaius tricolor		
Western Meadowlark*	Sturnella neglecta		S
Brewer's Blackbird	Euphagus cyanocephalus		-

Mammals

COMMON NAME	SCIENTIFIC NAME	FEDERAL	HABITAT
		STATUS	IMPORTANCE
Blue Whale	Balaenoptera musculus	Е	Е
Fin Whale	Balaenoptera physalus	Е	S
Sei Whale	Balaenoptera borealis	Е	S
Minke Whale*	Balaenoptera acutorostrata		V
Humpback Whale	Megaptera novaeangliae	Е	Е
Gray Whale	Eschrichtius robustus	E€WNP	V
Northern Right Whale	Eubalaena glacialis	Е	S
Harbor Porpoise*	Phocoena phocoena		Е
Dall's Porpoise*	Phocoenoides dalli		Е
Pacific White-sided Dolphin*	Lagenorhynchus obliquidens		Е
Northern Right Whale Dolphin*	Lissodelphis borealis		Е
Short-beaked Common Dolphin	Delphinus delphis		S
Long-beaked Common Dolphin	Delphinus capensis		S
Bottlenose Dolphin	Tursiops truncatus		S
Striped Dolphin	Stenella coeruleoalba		
Spotted Dolphin	Stenella attenuata		
Eastern Spinner Dolphin	Stenella longirostris		
Rough-toothed Dolphin	Steno bredanensis		
Risso's Dolphin*	Grampus griseus		V
Killer Whale¥	Orcinus orca	$\operatorname{E}^{\operatorname{\Psi}}$	V
Short-finned Pilot Whale	Globicephala macrorhynchus		
Sperm Whale	Physeter macrocephalus	Е	S
Pygmy Sperm Whale	Kogia breviceps		S
Dwarf Sperm Whale	Kogia sima		S
Cuvier's Beaked Whale	Ziphius cavirostris		V
Baird's Beaked Whale*	Berardius bairdii		V
Hubb's Beaked Whale	Mesoplodon carlhubbsi		S
Blainsville's Beaked Whale	Mesoplodon densirostris		S
Stejneger's Beaked Whale	Mesoplodon stejnegeri		S
Steller Sea Lion*	Eumetopius jubatus	D**	Е
California Sea Lion*	Zalophus californianus		V
Northern Fur Seal*	Callorhinus ursinus		V
Guadalupe Fur Seal	Arctocephalus townsendi	Т	S
Northern Elephant Seal*	Mirounga angustirostris		Е
Harbor Seal*	Phoca vitulina richardii		Е
Southern Sea Otter	Enhydra lutris nereis	Т	S
River Otter*	Lontra canadensis		V

[¥]In 2006, the Distinct Population Segment of southern killer whales (Orcinus orca) was designated as Endangered under the ESA. Opportunistic sightings of SRKW and recent tagging work have confirmed that SRKW's use GFNMS for feeding, although it is not known how often and to what extent they may feed in these areas,

€WNP-Gray whale western North Pacific population is listed as endangered. The Eastern North Pacific gray whale population is delisted.

Fish

COMMON NAME	COMMON NAME SCIENTIFIC NAME		HABITAT IMPORTANCE	
Yellowfin Goby	Acanthogobius flavimanus		Е	
Green Sturgeon	Acipenser medirostris	T	V	
White Sturgeon	Acipenser transmontanus		V	
Northern Spearnose Poacher	Agonopsis vulsa		V	
Giant Grenadier	Albatrossia pectoralis		Е	
Bonefish	Albula vulpes			
Longnose Lancetfish	Alepisaurus ferox			
California Slickhead	Alepocephalus tenebrosus		Е	
Oxeye oreo	Allocyttus folletti			
Whitebait Smelt	Allosmerus elongatus		Е	
Thresher Shark	Alopias vulpinus		Е	
American Shad	Alosa sapidissima		Е	
Broad skate	Amblyraja badia			
Pacific Sand Lance	Ammodytes hexapterus		V	
Barred Surfperch	Amphistichus argenteus		Е	
Calico Surfperch	Amphistichus koelzi		V	
Redtail Surfperch	Amphistichus rhodoterus		V	
Wolf-Eel	Anarrhichthys ocellatus		Е	
High Cockscomb	Anoplarchus purpurescens		V	
Fangtooth	Anoplogaster cornuta		Е	
Sablefish	Anoplopoma fimbria		Е	
Daggertooth	Anotopterus pharao			
Finescale Codling	Antimora microlepis		Е	
Penpoint Gunnel	Apodichthys flavidus		V	
Rockweed Gunnel	Apodichthys fucorum		V	
Brown Catshark	Apristurus brunneus		Е	
Longnose Catshark	Apristurus kampae		Е	
Pacific Argentine	Argentina sialis		Е	
Slender Hatchetfish	Argyropelecus affinis			
Spurred Hatchetfish	Argyropelecus hemigymnus			
Silver Hatchetfish	Argyropelecus lychnus		V	
Silvery Hatchetfish	Argyropelecus sladeni	V		
Shiny Loosejaw	Aristostomias scintillans			
Corraline Sculpin	Artedius corallinus	V		
Padded Sculpin	Artedius fenestralis	V		
Scalyhead Sculpin	Artedius harringtoni		V	

Smoothhead Sculpin	Artedius lateralis		v
Bonyhead Sculpin	Artedius notospilotus		V
Rosylip Sculpin	Ascelichthys rhodorus		V
Arrowtooth Flounder	Atheresthes stomias		E
Topsmelt	Atherinops affinis		E
Jacksmelt	Atherinopsis californiensis		E
White Seabass	Atractoscion nobilis		V
Fish Con't.	Tirucioscion noonis		· ·
COMMON NAME	SCIENTIFIC NAME	FEDERAL STATUS	HABITAT IMPORTANCE
Tubesnout	Aulorhynchus flavidus		
Finescale Triggerfish	Balistes polylepis		
Highfin Dragonfish	Bathophilus flemingi		
Blackfin poacher	Bathyagonus nigripinnis		
Bigeye Poacher	Bathyagonus pentacanthus		Е
Snubnose Blacksmelt	Bathylagoides wesethi		
Pacific Blacksmelt	Bathylagus pacificus		V
Deepsea Skate	Bathyraja abyssicola		Е
Sandpaper Skate	Bathyraja interrupta		Е
White Skate	Bathyraja spinosissima		V
Black Skate	Bathyraja trachura		E
Silverspotted Sculpin	Belpsias cirrhosus		V
Northern Pearleye	Benthalbella dentata		·
Rockhead	Bothragonus swanii		V
Twoline Eelpout	Bothrocara brunneum		E
Soft Eelpout	Bothrocara molle		
Kelp Perch	Brachyistius frenatus		V
Pacific Pomfret	Brama japonica		V
Red Brotula	Brosmophycis marginata		V
White Shark	Carcharodon carcharias		Е
Blacktail Snailfish	Careproctus melanurus		Е
Veilfin	Caristius macropus		
Ocean Whitefish	Caulolatilus princeps		V
Monkeyface Prickleback	Cebidichthys violaceus		V
Dogtooth Lampfish	Ceratoscopelus townsendi		·
Basking Shark	Cetorhinus maximus		S
Pacific Viperfish	Chauliodus macouni		E
Smallhead Flyingfish	Cheilopogon pinnatibarbatus		
Warty Poacher	Chesnonia verrucosa		V
Spotted Cusk Eel	Chilara taylori		E
Decorated Warbonnet	Chirolophis decoratus		
Mosshead Warbonnet	Chirolophis nugator		V
Roughback Sculpin	Chitonotus pugetensis		E
Pacific Sanddab	Citharichthys sordidus		E
Speckled Sanddab	Citharichthys stigmaeus	1	E
Arrow Goby	Clevelandia ios	1	V
Roughscale Sole	Clidoderma asperrimum		,
Roughscale Sole	Chavaerma asperrimum		1

Sharpnose Sculpin	Clinocottus acuticeps		l v l
Wooly Sculpin	Clinocottus analis		V
Calico Sculpin	Clinocottus embryum		V
Mosshead Sculpin	Clinocottus globiceps		V
Bald Sculpin	Clinocottus recalvus		V
Pacific Herring	Clupea pallasii		E
Shoulderspot Grenadier	Coelorinchus scaphopsis		E
Fish Con't.	Coctornichus scaphopsis		L
COMMON NAME	SCIENTIFIC NAME	FEDERAL	HABITAT
COMMON NAME	SCIENTIFIC NAME	STATUS	IMPORTANCE
Pacific Saury	Cololabis saira	2111102	E
Dolphinfish	Coryphaena hippurus		S
Pacific Grenadier	Coryphaenoides acrolepis		E
Blackeye Goby	Coryphopterus nicholsii		V
Snubnose Pipefish	Cosmocampus arctus		V
Benttooth Bristlemouth	Cyclothone acclinidens		V
Veiled Anglemouth	Cyclothone microdon		Y
Showy Bristlemouth	Cyclothone signata		
Bobtail Snipe Eel	Cycloinone signala Cvema atrum		
Shiner Perch	- 7		E
Pile Perch	Cymatogaster aggregata		V
	Damalichthys vacca		V
Bigeye Lightfish	Daphnos oculatus		
Diamond Stingray	Dasyatis dipterura		G
Pelagic Stingray	Dasyatis violacea		S
Whiptail Ribbonfish	Desmodema lorum		
California Headlightfish	Diaphus theta		
Balloonfish	Diodon holocanthus		
Diogenes Lanternfish	Diogenes laternatus		
Prickly Shark	Echinorhinus cookei		
Blackbelly Snailfish	Elassodiscus caudatus		
Deepsea Sole	Embassichthys bathybius		Е
Black Perch	Embiotoca jacksoni		V
Striped Seaperch	Embiotoca lateralis		V
Flatcheek Eelpout	Embryx crotalina		
Northern Anchovy	Engraulis mordax		Е
Buffalo Sculpin	Enophrys bison		V
Bull Sculpin	Enophrys taurina		Е
Petrale Sole	Eopsetta jordani		E
Black Hagfish	Eptatretus deani		
Pacific Hagfish	Eptatretus stoutii		V
Skilfish	Erilepis zonifer		
Tidewater Goby	Eucyclogobius newberryi		Е
Pacific Cod	Gadus macrocephalus		V
Tope or Soupfin Shark	Galeorhinus galeus		Е
Threespine Stickleback	Gasterosteus aculeatus	Е	Е
White Croaker	Genyonemus lineatus		Е

Striped Kelpfish	Gibbonsia metzi		V
Crevice Kelpfish	Gibbonsia montereyensis		V
Longjaw Mudsucker	Gillichthys mirabilis		V
Opaleye	Girella nigricans		V
Rex Sole	Glyptocephalus zachirus		Е
Northern Clingfish	Gobiesox maeandricus		V
Red Irishlord	Hemilepidotus hemilepidotus		V
Fish Con't.	1		
COMMON NAME	SCIENTIFIC NAME	FEDERAL STATUS	HABITAT IMPORTANCE
Brown Irishlord	Hemilepidotus spinosus		E
Giant Kelpfish	Heterostichus rostratus		V
Kelp Greenling	Hexagrammos decagrammus		Е
Rock Greenling	Hexagrammos superciliosus		Е
Bluntnose Sixgill Shark	Hexanchus griseus		Е
Flathead Sole	Hippoglossoides elassodon		
Pacific Halibut	Hippoglossus stenolepis		Е
Spotted Ratfish	Hydrolagus colliei		Е
Spotfin Surfperch	Hyperprosopon anale		Е
Walleye Surfperch	Hyperprosopon argenteum		V
Silver Surfperch	Hyperprosopon ellipticum		V
Surf Smelt	Hypomesus pretiosus		Е
Kelp Poacher	Hypsagonus mozinoi		
Diamond Turbot	Hypsopsetta guttulata		
Rainbow Seaperch	Hypsurus caryi		V
Dusky Sculpin	Icelinus burchami		V
Threadfin Sculpin	Icelinus filamentosus		Е
Frogmouth Sculpin	Icelinus oculatus		V
Yellowchin Sculpin	Icelinus quadriseriatus		V
Spotfin Sculpin	Icelinus tenuis		V
Medusafish	Icichthys lockingtoni		Е
Ragfish	Icosteus aenigmaticus		V
Pacific Blackdragon	Idiacanthus antrostomus		
Cheekspot Goby	Ilypnus gilberti		S
Butter Sole	Isopsetta isolepis		Е
Shortfin Mako	Isurus oxyrinchus		V
Longfin Sculpin	Jordania zonope		V
Sixspot Prickleback	Kasatkia seigeli		
Skipjack Tuna	Katsuwonus pelamis		V
Oceanic Pufferfish	Lagocephalus lagocephalus		V
Salmon Shark	Lamna ditropis		Е
Brokenline Lanternfish	Lampanyctus jordani		
Western River Lamprey	Lampetra ayersii		
Pacific Lamprey	Lampreta tridentata		Е
Opah	Lampris regius		S
Escolar	Lepidocybrium flavobrunneum		
Tidewater Goby	Eucyclogobius newberryi	Е	

Bay Goby	Lepidogobius lepidus		l v
Rock Sole	Lepidopsetta bilineata		E
Pacific Scabbardfish	Lepidopus fitchi		V
Staghorn Sculpin	Leptocottus armatus		V
Slender Barracudina	Lestidiops ringens		E
California Grunion	Leuresthes tenuis		S
Northern Smoothtongue	Leuroglossus schmidti		5
Fish Con't.	Leurogiossus semmun		
COMMON NAME	SCIENTIFIC NAME	FEDERAL	HABITAT
COMMON NAME	SCIENTIFIC NAME	STATUS	IMPORTANCE
California Smoothtongue	Leuroglossus stilbius		Е
Southern Ringtail Snailfish	Liparis adiastolus		V
Tidepool Snailfish	Liparis florae		V
Slipskin Snailfish	Liparis fuscensis		V
Slimy Snailfish	Liparis mucosus		V
Popeye Blacksmelt	Lipolagus ochotensis		,
Showy Snailfish	Lipris pulchellus		V
Louvar	Luvarus imperialis		V
Snakehead eelpout	Lycenchelys crotalinus		,
Blackmouth Eelpout	Lycodapus fierasfer		
Pallid Eelpout	Lycodapus mandibularis		E
Bigfin Eelpout	Lycodes cortezianus		E
Black Eelpout	Lycodes diapterus		E
Blackbelly Eelpout	Lycodes pacificus		E
Bearded Eelpout	Lyconema barbatum		L
Slender Sole	Lyopsetta exilis		Е
Pacific Barreleye	Macropinna microstoma		L
Halfmoon	Medialuna californiensis		V
Highsnout Bigscale	Melamphaes lugubris		E
Midwater Eelpout	Melanostigma pammelas		E
Pacific Hake	Merluccius productus		E
Pacific Tomcod	Microgadus proximus		E
Reef Perch	Micrometrus aurora		S
Dwarf Perch	Micrometrus minimus		S
Dover Sole	Microstomus pacificus		E
Ocean Sunfish	Mola mola		E
Striped Bass	Morone saxatilis		E
Gray Smoothhound	Mustelus californicus		V
Brown Smoothhound	Mustelus tenlei		E
Broomtail Grouper	Mycteroperca xenarcha		V
Bat Ray	Myliobatis californica		E
Pinpoint Lampfish	Nannobrachium regale		Li Li
Broadfin Lampfish	Nannobrachium ritteri		V
Sailfin Sculpin	Nautichthys oculofasciatus		V
Slender Snipe Eel	Nemichthys scolopaceus		V
Sarcastic Fringehead	Neoclinus blanchardi		S
Sarcasuc Filligelleau	reocunus vianenarai		b

Onespot Fringehead	Neoclinus uniornatus		S
California Grenadier	Nezumia stelgidolepis		Е
Broadnose Sevengill Shark	Notorynchus cepedianus		V
Patchwork Lampfish	Notoscopelus resplendens		
Pygmy Poacher	Odontopyxis trispinosa		Е
Tidepool Sculpin	Oligocottus maculosus		V
Saddleback Sculpin	Oligocottus rimensis		V
Fish Con't.			
COMMON NAME	SCIENTIFIC NAME	FEDERAL STATUS	HABITAT IMPORTANCE
Rosy Sculpin	Oligocottus rubellio		V
Fluffy Sculpin	Oligocottus snyderi		V
Pink Salmon	Oncorhynchus gorbuscha		
Chum Salmon	Oncorhynchus keta	T	
Coho Salmon [Silver Salmon]	Oncorhynchus kisutch	E & T regional	Е
Rainbow Trout [Steelhead]	Oncorhynchus mykiss	T	Е
Sockeye Salmon	Oncorhynchus nerka		
Chinook Salmon	Oncorhynchus tshawytscha	E & T regional	Е
Pacific Snake Eel	Ophichthus triserialis		
Yellow Snake Eel	Ophichthus zaphochir		
Lingcod	Ophiodon elongatus		Е
Snubnose Sculpin	Orthonopias triacis		S
Señorita	Oxyjulis californica		S
Painted Greenling	Oxylebius pictus		Е
Tubenose Poacher	Pallasina barbata		
Kelp Bass	Paralabrax clathratus		V
California Halibut	Paralichthys californicus		Е
Red Snailfish	Paraliparis dactylosus		
Thornback Sculpin	Paricelinus hopliticus		V
Filetail Catshark	Parmaturus xaniurus		Е
English Sole	Parophrys vetulus		Е
Pacific Pompano	Peprilus simillimus		Е
Sharpnose Seaperch	Phanerodon atripes		S
White Seaperch	Phanerodon furcatus		V
Saddleback Gunnel	Pholis ornata		V
Red Gunnel	Pholis schultzi		V
Hundred-Fathom Codling	Physiculus rastrelliger		
Ribbon Prickleback	Phytichthys chirus		V
Starry Flounder	Platichthys stellatus		Е
Pacific Thornback	Platyrhinoidis triseriata		S
Bluebarred Prickleback	Plectobranchus evides		V
C-O Sole	Pleuronichthys coenosus		V
Curlfin Sole	Pleuronichthys decurrens		Е
Diamond Turbot	Pleuronichthys guttulatus		V
Hornyhead Turbot	Pleuronichthys verticalis		Е

Sturgeon Poacher	Podothecus accipenserinus	1	
Plainfin Midshipman	Porichthys notatus		Е
Whitebarred Prickleback	Poroclinus rothrocki		V
Crested Bigscale	Poromitra crassiceps		,
Blue Shark	Prionace glauca	E	
Lumptail Searobin	Prionotus stephanophrys		L
California Flashlightfish	Protomyctophum crockeri		V
Fish Con't.	1 Totomycropium crockert		,
COMMON NAME	SCIENTIFIC NAME	FEDERAL	HABITAT
COMMONTANIE	SCIENTIFIC TANGE	STATUS	IMPORTANCE
Bigeye Lanternfish	Protomyctophum thompsoni		
Sand Sole	Psettichthys melanostictus		Е
Robust Blacksmelt	Pseudobathylagus milleri		E
North Pacific Armorhead	Pseudopentaceros wheeleri		V
Blob Sculpin	Psychrolutes phrictus		E
Slim Sculpin	Radulinus asprellus		L
Darter Sculpin	Radulinus boleoides		V
Big Skate	Raja binoculata		E
California Skate	Raja inornata		E
	· ·		E
Longnose Skate	Raja rhina		1
Starry Skate	Raja stellulata		E V
Stripefin Ronquil	Rathbunella alleni		· ·
Greenland Halibut	Reinhardtius hippoglossoides		V
White Suckerfish	Remora albescens		
Whalesucker	Remora australis		
Remora	Remora remora		V
Rubberlip Seaperch	Rhacochilus toxotes		V
Grunt Sculpin	Rhamphocottus richardsonii		V
Shovelnose Guitarfish	Rhinobatos productus		V
Blackeye Goby	Rhinogobiops nicholsii		
Kelp Clingfish	Rimicola muscarum		
Northern Ronquil	Ronquilus jordani		V
Puget Sound Sculpin	Ruscarius meanyi		
Shining Tubeshoulder	Sagamichthys abei		
Pacific Bonito	Sarda chiliensis		V
Pacific Sardine	Sardinops sagax		E
Pacific Chub Mackerel	Scomber japonicus		E
Longjaw Bigscale	Scopeloberyx robustus		
Twospine Bigscale	Scopelogadus mizolepis		V
Cabezon Sculpin	Scorpaenichthys marmoratus		Е
Graveldiver	Scytalina cerdale		
Rougheye Rockfish	Sebastes aleutianus		
Pacific Ocean Perch	Sebastes alutus		Е
Kelp Rockfish	Sebastes atrovirens		V
Brown Rockfish	Sebastes auriculatus		E
Aurora Rockfish	Sebastes aurora		E
L			I .

Redbanded Rockfish	Sebastes babcocki	1	Е
Silvergray Rockfish	Sebastes brevispinis		V
Gopher Rockfish	Sebastes carnatus		Е
Copper Rockfish	Sebastes caurinus		Е
Greenspotted rockfish	Sebastes chlorostictus		
Black-and-Yellow Rockfish	Sebastes chrysomelas		V
Starry Rockfish	Sebastes constellatus		Е
Fish Con't.			
COMMON NAME	SCIENTIFIC NAME	FEDERAL STATUS	HABITAT IMPORTANCE
Darkblotched Rockfish	Sebastes crameri		Е
Calico Rockfish	Sebastes dallii		V
Splitnose Rockfish	Sebastes diploproa		Е
Greenstriped Rockfish	Sebastes elongatus		Е
Swordspine Rockfish	Sebastes ensifer		V
Widow Rockfish	Sebastes entomelas		Е
Pink Rockfish	Sebastes eos		Е
Yellowtail rockfish	Sebastes flavidus		
Chilipepper	Sebastes goodei		Е
Rosethorn Rockfish	Sebastes helvomaculatus		Е
Squarespot Rockfish	Sebastes hopkinsi		V
Shortbelly Rockfish	Sebastes jordani		Е
Cowcod	Sebastes levis		Е
Quillback Rockfish	Sebastes maliger		Е
Black Rockfish	Sebastes melanops		Е
Blackgill Rockfish	Sebastes melanostomus		Е
Vermilion Rockfish	Sebastes miniatus		Е
Blue Rockfish	Sebastes mystinus		Е
China Rockfish	Sebastes nebulosus		Е
Tiger Rockfish	Sebastes nigrocinctus		
Speckled Rockfish	Sebastes ovalis		Е
Bocaccio	Sebastes paucispinis		Е
Chameleon Rockfish	Sebastes phillipsi		_
Canary Rockfish	Sebastes pinniger		Е
Redstripe Rockfish	Sebastes proriger		E
Grass Rockfish	Sebastes rastrelliger		V
Rosy Rockfish	Sebastes rosaceus		V
Greenblotched Rockfish	Sebastes rosenblatti		E
Yelloweye Rockfish	Sebastes ruberrimus		E
Flag Rockfish	Sebastes rubrivinctus		E
Bank Rockfish	Sebastes rufus		E
Stripetail Rockfish	Sebastes saxicola		E
Halfbanded Rockfish	Sebastes semicinctus		E
Olive Rockfish	Sebastes serranoides		E
Treefish	Sebastes serriceps		V
Pygmy Rockfish	Sebastes wilsoni		E
			_
Sharpchin Rockfish	Sebastes zacentrus		E

Shortspine Thornyhead	Sebastolobus alascanus		E
Longspine Thornyhead	Sebastolobus altivelis		E
California Sheephead	Semicossyphus pulcher		
Yellowtail Jack	Seriola lalandi		V
Queenfish	Seriphus politus		V
Sawtooth Snipe Eel	Serrivomer sector		E
Pacific Sleeper Shark	Somniosus pacificus		V
Fish Con't.	Sommosus pacificus		,
COMMON NAME	SCIENTIFIC NAME	FEDERAL	HABITAT
COMMONTATION	SCIENTIFIC IVILIZIE	STATUS	IMPORTANCE
Pacific Barracuda	Sphyraena argentea		V
Night Smelt	Spirinchus starksi		V
Longfin Smelt	Spirinchus thaleichthys		V
Spiny Dogfish	Squalus acanthias		E
Pacific Angel Shark	Squatina californica		V
Pricklebreast Poacher	Stellerina xyosterna		V
Northern Lampfish	Stenobrachius leucopsarus		V
Giant Sea Bass	Stereolepis gigas		V
Dollar Hatchetfishes	Sternoptyx spp.		,
California Needlefish	Strongylura exilis		S
California Lanternfish	Symbolophorus californiensis		5
California Tonguefish	Symphurus atricaudus		V
Manacled Sculpin	Synchirus gilli		V
Kelp Pipefish	Syngnathus californiensis		,
Bay Pipefish	Syngnathus leptorynchus		V
California Lizardfish	Synodus lucioceps		S
Longfin Dragonfish	Tactostoma macropus		E
Threadfin Slickhead	Talismania bifurcata		E
Blue Lanternfish	Tarletonbeania crenularis		E
Shortbill Spearfish	Tetrapturus angustirostris		L
Striped Marlin	Tetrapturus audax		
Smalleye Squaretail	Tetrogonurus cuvieri		
Eulachon	Thaleichthys pacificus		
Walleye Pollock	Theragra chalcogramma		V
Albacore	Thunnus alalunga		V
Bigeye Tuna	Thunnus obesus		•
Pacific Bluefin Tuna	Thunnus orientalis		V
Pacific Electric Ray	Torpedo californica		E
King-of-the-salmon	Trachipterus altivelis		L
Jack Mackerel	Trachurus symmetricus		E
Leopard Shark	Triakis semifasciata		E
Pacific Sandfish	Trichodon trichodon		V
Mexican Lampfish	Triphoturus mexicanus		S
Round Stingray	Urolophus halleri		5
Blackedge Poacher	Xeneretmus latifrons		E
Smootheye Poacher	Xeneretmus leiops		L
omouncyc i daellei	Acheremus ietops		l .

Bluespotted Poacher	Xeneretmus triacanthus		V
Swordfish	Xiphias gladius		
Black Prickleback	Xiphister atropurpureus		V
Rock Prickleback	Xiphister mucosus		V
Pink Seaperch	Zalembius rosaceus		Е
Shortspine Combfish	Zaniolepis frenata		V
Longspine Combfish	Zaniolepis latipinnis		Е
Fish Con't.			
COMMON NAME	SCIENTIFIC NAME	FEDERAL	HABITAT
		STATUS	IMPORTANCE
Prowfish	Zaprora silenus		Е

Reptiles

COMMON NAME	SCIENTIFIC NAME	FEDERAL STATUS	HABITAT IMPORTANCE
Green Sea Turtle	Chelonia mydas	T	
Loggerhead Turtle	Caretta caretta	T	
Leatherback Turtle	Dermochelys coriacea	E**	E
Pacific (Olive) Ridley	Lepidochelys olivacea	T	

^{**}Critical Habitat for leatherback turtles includes all of the sanctuary.

Appendix F: Invertebrates and Algae

GULF OF THE FARALLONES NATIONAL MARINE SANCTUARY

INVERTEBRATES AND ALGAE

Compiled by Natalie Cosentino-Manning National Marine Fisheries Service Santa Rosa, CA Natalie.Cosentino-Manning@noaa.gov

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The following are lists of known algae and invertebrate species known to occur within and adjacent to the GFNMS. There are over 500 species of invertebrates and algae found in the intertidal regions of California alone, therefore these lists should be considered as a minimum inventory. Also included are invertebrates known from benthic surveys within the sanctuary. Species listed are represented at most intertidal sites within GFNMS as well as some offshore organisms. Species lists includes species found at the outer coast intertidal habitats on the Farallon Islands and along the Sonoma and Mendocino Counties, estuarine habitats at Tomales Bay, Bolinas Lagoon, Estero Americano, Estero de San Antonio, and deep-sea habitats at Rittenburg Bank, Cochrane Bank, the Farallon Escarpment, and The Football found 33 km west of the Russian River. As of 2013, documented species include: 327 invertebrate taxon, 202 red algal taxon, 33 brown algal taxon, 23 green algal taxon and 6 vascular plant species.

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INVERTEBRATES

Classification & Scientific	Common Name	POPEST	POPEST	Range
Name		(Sanctuary)	(NE	
		•	Pacific)	
ANNELIDA				
Arabella iricolor				
Cheilonereis cyclurus				
Dodecaceria fewkesi				
Errantia sp.				
Eunoe sp.				
Nereis guberi	Polycheate			
Phragmatopoma californica				
Phyllochaetopterus prolifica				
Platynereis bicanaliculata				
Serpula vermicularis	Tube worm			
Spirorbis borealis				
Thelepus crispus				
Typosyllis aciculata				
ARTHROPODA				
Acanthomysis sp.				
Achelia chelata				
Achelia nudiscula				

Classification & Scientific Name	Common Name	POPEST (Sanctuary)	POPEST (NE Pacific)	Range
ARTHROPODA con't.				
Achelia spinoseta				
Allorchestes anceps				
Alpheus dentipes				
Ammothea hilgendorfi				
Amphiodia occidentalis				
Amphissa columbiana				
Anatanais normani				
Balanus amphitrite	Barnacle			
Balanus glandula	Barnacle			
Balanus nubilus	Barnacle			
Cancer productus				
Caprella anomala	Skeleton shrimp			
Caprella californica				
Chthamalus dalli				
Cirolana harfordi				
Elasmopus serricatus				
Emerita analoga	Mole crab			
Euphausia pacifica	Krill			
Exosphaeroma inornata				
Fabia subquadrata				
Gnorimosphaeroma sp.				
Hemigrapsus nudus				
Hyale grandicornis				
Ianiropsis kincaidi				
Idotea fewkesi				
Idotea resecata				
Idotea schmitti				
Idotea stenops				
Idotea urotoma				
Idotea wosnesenskii				
Lecythorychus hilgendorfi				
Ligia occidentalis				
Ligia pallasii				
Limnoria algarum				

Classification & Scientific Name	Common Name	POPEST (Sanctuary)	POPEST (NE Pacific)	Range
ARTHROPODA con't.				
Littorophiloscia richardsonae				
Lophopanopeus leucomanus				
Loxorhynchus crispatus				
Melita californica				
Metacaprella anomala				
Metacaprella kennerlyi				
Metacarcinus magister	Dungeness crab			
Nymphopsis spinosissima				
Oedignathus inermis				
Oligochinus lighti				
Oxynaspis sp.				
Pachycheles rudis				
Pachygrapsus crassipes				
Pagurus benedicti				
Pagurus granosimanus				
Pagurus hirsutiusculus	Hermit crab			
Pagurus samuelis				
Paracerceis cordata				
Parallorchestes ochotensis				
Paranthura elegans				
Paraxanthia taylorii				
Petrolisthes cinctipes				
Pinnixa franciscana				
Pollicipes polymerus				
Polycheria osborni				
Porcellio americanus				
Pugettia gracilis	Graceful kelp crab			
Pugettia producta	Kelp crab			
Pycnogonum rickettsi	Sea spider			
Pycnogonum stearnsi				
Romaleon antennarium				
Scyra acutifrons				
Semibalanus cariosus	Barnacle			
Tetraclita rubescens	Red volcano barnacle			

Classification & Scientific	Common Name	POPEST	POPEST	Range
Name		(Sanctuary)	(NE	
			Pacific)	
ARTHROPODA con't.				
Thysanoessa spinifera	Krill			

CNIDARIA

Fern hydroid	Со	Co	AK - s.CA
1 cm nyarota		Co	AK - S.CA
Ostrich plume hydroid	Λb	Co	AK - s. CA
Ostricii-piunie nydroid	Au	Co	AK - S. CA
A	A 1-	A 1-	AV Dais
Aggregating anemone	Ab	Au	AK - Baja
G: A		C	AW C
Giant green anemone	Со	Co	AK - C. Am
Orange cup coral	Со	Со	OR - s. CA
Poliferating anemone	Co	Co	AK - s.CA
White-plumed anemone	Co	Co	AK - s. CA
		Ostrich-plume hydroid Ab Aggregating anemone Co Giant green anemone Co Orange cup coral Co Poliferating anemone Co	Ostrich-plume hydroid Ab Co Aggregating anemone Ab Ab Giant green anemone Co Co Orange cup coral Co Co Poliferating anemone Co Co

Classification & Scientific Name	Common Name	POPEST (Sanctuary)	POPEST (NE Pacific)	Range
ECHINODERMATA				
Amphiodia occidentalis				
Amphipholis squamata				
Cucumaria pseudocurata	Sea cucumber	Co	Co	BC -c. CA
Dermasterias imbricata	Leather star	Co	Co	AK - s.CA
Henricia leviuscula	Blood star	Co	Co	AK - Baja
Leptasterias hexactis	6-rayed star	Со	Co	WA - s.CA
Leptasterias pusilla				
Loxorhyncus crispatus				
Ophiopholis aculeata				
Ophiothrix spiculata	Brittle star	Со	Co	c.CA - s.Am
Parastichopus parvimensis	Sea cucumber	UnCo	Co	c.CA - Baja
Patiria miniata	Bat star	Co	Co	AK - Baja
Pisaster giganteus				
Pisaster ochraceus	Ochre star	Ab	Co	Ak - c.CA
Pycnogonum stearnsi				
Pycnopodia helianthoides	Sunflower star	Со	Co	AK - s.CA
Pseudocnus curatus				
Strongylocentrotus droebachiensis				
Strongylocentrotus franciscanus	Red sea urchin	Co	Unco	AK - Baja+
Strongylocentrotus purpuratus	Purple sea urchin	Ab	Co	BC - Baja
Pycnoclayella stanleyi				
Ritterella aequalisiphonis				
ENTOPROCTA				
Barentsia benedeni				
BRYOZOA				,
Bugula californica	Bryozoan	Ab	Co	BC - s. Am
Crisia maxima				
Dendrobeania laxa	Bryozoan	Ab	Ab	BC - s.CA
Dendrobeania lichenoides	Bryozoan			
Flustrellidra corniculata	Bryozoan	Со	Co	AK - c.CA
Integripelta bilabiata				

Classification & Scientific Name	Common Name	POPEST (Sanctuary)	POPEST (NE Pacific)	Range
BRYOZOA con't.				
Tricellaria occidentalis				
Tricellaria ternata				

MOLLUSCA

MOLLOSCII				
Acanthinucella spirata	Angular unicorn	Co	Co	n. CA -Baja
Acanthodoris nanaimoensis				
Aclis shepardiana				
Acmaea mitra	White capped limpet	Co	Co	AK - Baja
Aeolidia papillosa	Shag-rug nudibranch	Co	Co	n.CA -s.CA+
Alia carinata				
Amphissa columbiana				
Amphissa versicolor	Variegated amphissa	Co	Со	n. CA - Baja
Acmaea mitra				
Alia tuberosa				
Anisodoris noblis	Sea lemon	Co	Co	BC - Baja
Balcis thersites				
Baptodoris mimetica				
Barleeia haliotiphila				
Barleeia subtenuis				
Batillaria attramentaria	Horn snail	Co	Co	BC - c. CA
Berthella californica				
Cadlina luteomarginata				
Cadlina modesta	Yellow-edged cadlina	Co	Co	BC - Baja
Calliostoma annulatum				
Calliostoma canaliculatum	Channeled top snail	Co	Co	AK - Baja
Calliostoma ligatum	Blue top snail	Co	Co	AK - s.CA
Cerithiopsis carpenteri				
Chama arcana				
Chlorostoma brunnea	Brown turban snail	Ab	Ab	OR - s. CA
Chlorostoma funebralis	Black turban snail	Ab	Co-Ab	BC - Baja
Corolla spectabilis				
Crassadoma giganteum				
Crassostrea gigas	Pacific oyster	Co	Со	BC - s.CA
Crepidula adunca	Hooked slipper snail	Co	Co	BC - Baja

Classification & Scientific Name	Common Name	POPEST (Sanctuary)	POPEST (NE Pacific)	Range
MOLLUSCA con't.				
Crepidula nummaria				
Crepidula perforans				
Crepipatella lingulata				
Cryptochiton stelleri	Gumboot chiton	Rare	Co-Rare	AK - s.CA+
Cryptomya californica				
Cyanoplax dentiens				
Cymakra aspera				
Diaphana californica				
Diaulula sandiegensis	Ring spotted dorid	Co	Co	AK - Baja
Diodora aspera				
Diplodonta orbella				
Dirona picta				
Doris montereyensis				
Doto columbiana				
Entodesma navicula				
Epitonium tinctum				
Flabellina trilineata				
Fissurella volcano				
Fusinus luteopictus				
Granulina margaritula				
Haliotis cracherodii **	Black abalone	UnCo	Co	c. CA - Baja
Haliotis rufescens	Red abalone	Co	Unco	OR - Baja
Hermissenda crassicornis	Hermissenda	Co	Co	AK - Baja
Hiatella arctica				
Hipponix craniodes	Hoof snail	Co	Co	BC - Baja+
Irus lamellifer				
Ischnochiton regularis	Chiton			
Janolus barbarensis				
Katharina tunicata	Chiton			
Kellia laperousii				
Lacuna cistula	Chiton			
Lacuna marmorata	Chink snail	Со	Co	AK - s.CA
Lacuna porrecta	Chiton			
Lacuna unifasciata				

Classification & Scientific Name	Common Name	POPEST (Sanctuary)	POPEST (NE Pacific)	Range
MOLLUSCA con't.				
Lasaea subviridis	Clam	Ab	Co	AK - Baja
Lepidochitona dentiens	Chiton			
Lepidochitona hartwegii				
Lepidozona sinudentata				
Lirobittium purpureum				
Bittium eschrichtii				
Littorina keenae				
Littorina scutulata	Checkered periwinkle	Ab	Ab	AK - Baja
Littorina sitkana				
Lottia asmi				
Lottia digitalis	Ribbed limpet	Ab	Co	AK - Baja
Lottia gigantea	Owl limpet	Ab	Co	WA - Baja
Lottia insessa				
Lottia instabilis	Unstable seaweed limpet	Ab	Co	AK - s.CA
Lottia limatula	File limpet	Co	Ab	OR - s.Baja
Lottia pelta	Shield limpet	Co	Co	AK - Baja
Lottia persona				
Lottia scabra				
Lottia scutum				
Lottia strigatella				
Lottia triangularis	Triangular limpet	Co	Co	AK - Baja
Megatebennus bimaculatus				
Milneria minima				
Mitrella carinata				
Mitrella tuberosa				
Modiolus capax	Fat horse mussel	Co	Со	c.CA -S.AM
Modiolus carpenti				
Monia macrochisma				
Mopalia ciliata	Hairy chiton	Co	Co	AK - Baja
Mopalia muscosa	Mossy chiton	Co	Co	BC - Baja
Musculus pygmaeus	Pygmy mussel	Ab	Co	c.CA
Mytilimeria nuttallii				
Mytilus californianus	California mussel	Ab	Ab	AK - Baja
Mytilus edulis	Bay mussel	Co	Co	AK - Baja+

Classification & Scientific Name	Common Name	POPEST (Sanctuary)	POPEST (NE Pacific)	Range
MOLLUSCA con't.				
Nassarius mendicus				
Notoacmea insessa				
Notoacmea persona				
Nucella canaliculata	Channeled dogwinkle	Ab	Co	Ak - c.CA
Nucella emarginata	Emarginate dogwinkle	Ab	Co	Ak - n. Baja
Nuttallina californica	California chiton	Со	Co	WA - s. CA
Ocinebrina atropurpurea				
Ocinebrina interfossa				
Ocinebrina lurida				
Octopus dofleini				
Octopus rubescens				
Odostomia sp.				
Okenia rosacea				
Onchidella borealis				
Opalia wroblewskyi				
Ostrea lurida	Olympic oyster	Rare	Rare-Co	AK - Baja
Placiphorella velata				
Penitella conradi				
Penitella turnerae				
Petaloconchus montereyensis				
Petricola carditoides				
Philobrya setosa				
Protothaca staminea				
Rostanga pulchra	Red sponge nudibranch	Ab	Ab	BC - Baja
Searlesia modesta				
Stenoplax heathiana				
Stiliger fuscovittatus	Streaked stiliger	Ab	Ab	WA - Baja
Tonicella lineata	Lined chiton	Ab	Co	AK - s.CA+
Tonicella lokii				
Transennella tantilla				
Trimusculus reticulatus	Reticulate button snail	Со	Co	OR - MEX
Triopha catalinae	Sea-clown nudibranch	Со	Co	AK - Baja
Triopha maculata				
Trivia californiana				

Classification & Scientific Name	Common Name	POPEST (Sanctuary)	POPEST (NE Pacific)	Range
MOLLUSCA con't.				
Velutina velutina				
NEMERTEA				
Emplectonema gracile				
Tubulanus sexlineatus				
				_
PORIFERA				
Acanthancora cyanocrypta				
Acarnus erithacus	Sponge			
Anaata spongigartina	Sponge			
Antho lithophoenix				
Aplysilla glacialis	Keratose sponge			
Aplysilla polyraphis				
Axocielita originalis	Sponge			
Clathria sp.				
Cliona celata				
Dysidea fragilis				
Geodia mesotriaence	Sponge	Co	Co	AK - Mex
Halichondria panicea				
Haliclona sp.	Sponge	Ab	Ab	n. CA+
Hamacantha hyaloderma				
Heterochone calyx				
Higginsia sp.				
Hymedesmia sp.				
Hymeniacidon sp.				
Iophon piceum var. pacifica				
Leiosella idia			_	
Leucandra heathi	Sponge			
Leucilla nuttingi	Sponge			
Leucosolenia eleanor	Sponge			
Lissodendoryx topsenti	Sponge			
Mycale psila	Sponge			
Mycale lingua				
Myxilla incrustans				

Classification & Scientific Name	Common Name	POPEST (Sanctuary)	POPEST (NE Pacific)	Range
PORIFERA con't.				
Ophlitaspongia pennata	Orange pin sponge	Ab	Co	BC - Mex
Poecillastra sp.				
Staurocalyptus fasciculatus				
Scypha sp.				
Stelletta clarella	Sponge			
Suberites sp.	Sponge			
Tedania gurjanovae	Sponge			
Tethya aurantium	Sponge	Со	Co	BC - Mex+
Xestospongia diprosopia	Sponge			

SIPUNCULA

Phascolosoma agassizii				
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CHORDATA TUNICATA

Aplidium arenatum	Tunicate			
Aplidium californicum	Tunicate	Со	Co	BC - Baja
Archidistoma eudistoma				
Archidistoma ritteri				
Cystodytes lobatus	Tunicate	Со	Co	BC - Baja
Didemnum carnulentum	Tunicate	Co	Co	OR - c.AM
Polyclinum planum				
Pycnoclayella stanleyi	Tunicate	Со	Co	BC - Baja
Ritterella aequalisphonis	Tunicate	Ab	Co	WA - s. CA+
Styela montereyensis		Co	Co	BC - Baja
Styela truncata		Co	Co	AK - s.CA

^{*} Endangered Species

ALGAE and PLANTS

Classification & Scientific Name	Common Name	POPEST (Sanctuary)	POPEST (NE Pacific)	Range
CHLOROPHYTA			,	
Acrosiphonia coalita				
Blidingia minima var. vexata				
Bryopsis corticulans	Moss-like algae	Со	Co	BC - Baja
Cladophora columbiana	Pin cushion algae	Со	Ab	BC - Baja
Cladophora graminea				
Codium fragile	Dead man's fingers	UnCo	Co	AK - Baja
Codium setchellii	Sponge weed	UnCo	Co	AK - Baja
Derbesia marina				
Endophyton ramosum				
Entocladia viridis				
Enteromorpha intestinalis	Intestine algae	Со	Co	AK - Mex
Prasiola meridionalis				
Ulothrix flacca				
Ulva californica	Sea lettuce	Со	Co	BC - Baja
Ulva clathrata				
Ulva compressa				
Ulva conglobata				
Ulva flexuosa				
Ulva intestinalis				
Ulva lactuca				
Ulva lobata				
Ulva taeniata				
Urospora sp.				
РНАЕОРНУТА				
Alaria marginata	Winged kelp	Ab	Ab	AK - c. CA
Analipus japonicus	Barefoot, Matsumo	Со	Со	AK -c.CA
Colpomenia peregrina				
Compsonema serpens				
Costaria costata				
Desmarestia herbacea				
Desmarestia ligulata	Acid seaweed	Ab	Ab	AK - S. Am
Desmarestia munda				

Classification & Scientific Name	Common Name	POPEST (Sanctuary)	POPEST (NE Pacific)	Range
PHAEOPHYTA				
Dictyoneurum californicum	Nerve net	Co	Co	BC - c. CA
Egregia menziesii	Feather Boa	Ab	Co	AK - Baja
Fucus distichus	Rock weed	Со	Ab	N. WA - c. CA
Hinksia sandriana				
Laminaria ephemera				
Laminaria setchellii	Split blade oarweed/Kombu	Со	Со	AK-MEX
Laminaria sinclairii	Oar weed/Kombu	Ab	Ab	BC - s.CA
Leathesia difformis				
Macrocystis pyrifera	Giant Kelp	UnCo	Co	AK - Baja
Melanosiphon intestinalis				
Nereocystis luetkeana	Bull kelp	Со	Co	c.CA-AK
Pelvetiopsis limitata	Tiny rock weed	Co	Ab	BC - Baja
Petalonia fascia				
Petrospongium rugosum				
Postelsia palmaeformis	Sea palm	Со	Ab	BC - c. CA
Pterygophora californica				
Pylaiella sp.				
Ralfsia sp.	Tar spot	Со	Co	OR - Baja
Saccharina sessilis				
Scytosiphon dotyii				
Scytosiphon lomentaria				
Scytisiphon simplicissimus	Leather tube	Со	Ab	AK - Baja
Silvetia compressa	Silva's rock weed	Со	Ab	BC - Baja
Spongonema tomentosum				
Stephanocystis osmundacea				

RHODOPHYTA

Acrochaetium prophyrae	Dreadlock algae	Ab	Ab	AK - c. CA
Ahnfeltia fastigiata	Mastocarpus crust	Ab	Co	BC - Baja
Ahnfeltiopsis leptophylla				
Ahnfeltiopsis linearis	Garlic algae	Co	Co	AK - c. CA
Anotrichium furcellatum	Red membrane	Ab	Co	BC - MX

Antithamnion dendroidum				
Audouinella subimmersa	Tooth branch	Co	Ab	BC - c. CA
Classification & Scientific Name	Common Name	POPEST (Sanctuary)	POPEST (NE Pacific)	Range
RHODOPHYTA con't.				
Bangia sp.	Braided hair algae	Co	Co	BC - MX
Bornetia californica				
Bossiella dichotoma				
Bossiella plumosa				
Bossiella schmittii				
Branchioglossum bipinnatifidum				
Branchioglossum undulatum				
Calliarthron tuberculosum				
Callithamnion biseriatum				
Callithamnion pikeanum				
Callophyllis crenulata				
Callophyllis flabellulata				
Callophyllis heanophylla				
Callophyllis linearis				
Callophyllis obtusifolia				
Callophyllis pinnata				
Callophyllis violacea				
Centroceras clavulatum				
Ceramium gardneri				
Ceramium pacificum				
Chondracanthus canaliculatus				
Chondracanthus corymbiferus				
Chondracanthus exasperatus				
Chondracanthus harveyanus				
Chondracanthus spinosus				
Chondrus crispus	Belly branch	Ab	Co	BC - Baja
Clathromorphum parcum				
Constantinea simplex				
Corallina chilensis				
Corallina vancouveriensis				
Corallophila eatonianum				
Cryptopleura corallinara				

Cryptopleura lobulifera				
Cryptopleura ruprechtiana				
Classification & Scientific Name	Common Name	POPEST (Sanctuary)	POPEST (NE Pacific)	Range
RHODOPHYTA con't.				
Cryptopleura violacea				
Cumagloia andersonii				
Delesseria decipiens				
Dilsea californica				
Endocladia muricata	Beautifully jointed	Ab	Co	AK - Baja
Erythrophyllum delesserioides	Wool weed	Ab	Co	AK- s.CA
Erythrotrichia carnea				
Farlowia compressa				
Farlowia conferta				
Farlowia mollis				
Faucheocolax attenuata				
Gastroclonium subarticulatum	Beautiful leaf	Co	Ab	WA - Baja
Gelidium coulteri	Candy cane seaweed	Co	Co	WA - Baja
Gelidium purpurascens	Arrow weed	Со	Co	OR - Baja
Gelidium robustum				
Gloiocladia laciniata				
Goniotrichopsis sublittoralis				
Gracilariophila oryzoides				
Gracilariopsis andersonii	Turkish towel	Со	Co	WA - Baja
Grateloupia californica				
Grateloupia filicina				
Griffithsia pacifica				
Gymnogongrus chiton				
Halosaccion glandiforme	Turkish towel	Ab	Co	BC - Baja
Halymenia schizymenioides				
Herposiphonia parva				
Herposiphonia plumula				
Hildenbrandia occidentalis		Co	Ab	WA - Baja
Hymenena flabelligera				
Hymenena multiloba				
Janczewskia gardneri				

Leachiella pacifica				
Lithothamnium sp.	Narrow turkish towel	Unco	Co	c.CA - Baja
Lithophyllum dispar				
Lithothrix aspergillum	Cup and saucer algae	Co	Ab	BC - c. CA
Classification & Scientific Name	Common Name	POPEST (Sanctuary)	POPEST (NE Pacific)	Range
RHODOPHYTA con't.				
Maripelta rotata				
Mastocarpus jardinii	Small coral	Ab	Ab	AK - S. Am
Mastocarpus papillatus	Little turkish towel	Ab	Co	AK - Baja
Mazzaella affinis				
Mazzaella californica				
Mazzaella flaccida	Red leaf	Ab	Co	AK - c. CA
Mazzaella leptorhynchos				
Mazzaella linearis				
Mazzaella oregona				
Mazzaella parksii	Nail brush	Ab	Ab	AK - Baja
Mazzaella rosea				
Mazzaella splendens	Agarweed	Ab	Ab	WA - Baja
Mazzaella volans				
Melobesia marginata				
Melobesia mediocris	Agarweed	Ab	Co	WA - Baja
Membranoptera dimorpha				
Mesophyllum lamellatum				
Microcladia borealis	Spaghetti weed	Co	Co	BC- c.Am
Microcladia coulteri	Sea sac	Co	Ab	WA - c. CA
Myriogramme spectabilis				
Myriogramme variegata				
Neogastroclonium subarticulatum				
Neoptilota densa				
Neoptilota hypnoides				
Neorhodomela larix	Wine crust	Co	Co	BC - Baja
Nienburgia andersoniana				
Odonthalia floccosa	Crustose coralline	Co	Co	BC - Baja
Opuntiella californica	Stone hair	Co	Ab	BC - Baja
Osmundea spectabilis	Little turkish towel	Co	Co	BC - c. CA
Peyssonnelia sp.				

Peyssonneliopsis epiphytica				
Phycodrys setchellii				
Pikea californica				
Pikea pinnata				
Classification & Scientific Name	Common Name	POPEST (Sanctuary)	POPEST (NE Pacific)	Range
RHODOPHYTA con't.				
Pleonosporium vancouverianum				
Plocamium pacificum	Bunny ears algae	Со	UnCo	AK- n. CA
Plocamium violaceum				
Polyneura latissima	Iridesent seaweed	Ab	Ab	AK - Baja
Polysiphonia hendryi	Warty algae	Co	Co	AK- s.CA
Polysiphonia pacifica				
Porphyra perforata	Nori	Co-Ab	Co-Ab	AK - Baja
Prionitis lanceolata		Co	Co	BC - Baja
Prionitis linearis				
Prionitis sternbergii				
Pseudolithophyllum neofarlowii				
Pterochondria woodii				
Pterocladia caloglossoides				
Pterosiphonia baileyi				
Pterosiphonia bipinnata				
Pterosiphonia dendroidea				
Pterothamnion villosum				
Ptilota filicina				
Ptilothamnionopsis lejolisea				
Pugetia fragilissima				
Pyropia gardneri	Many veined algae	Ab	Ab	BC - Baja
Pyropia lanceolata	Many siphon algae	Ab	Ab	OR - Baja
Pyropia nereocystis	Nori/laver	Co	Co	AK - Baja
Pyropia perforata	Iridesent seaweed	Co	Ab	BC - Baja
Rhodochorton purpureum	Cactus weed	UnCo	Co	AK - Baja
Rhodymenia californica	Small branch	Co	Co	AK - c. CA
Rhodymenia callophyllidoides				
Rhodymenia pacifica				
Rhodymeniocolax botryoides				

Sahlingia subintegra				
Sarcodiotheca gaudichaudii				
Schimmelmannia plumosa				
Scinaia confusa				
Smithora naiadum				
Stenogramma interrupta				
Classification & Scientific Name	Common Name	POPEST (Sanctuary)	POPEST (NE Pacific)	Range
RHODOPHYTA con't.				
Stylonema alsidii				
Tiffaniella snyderae				
Weeksia reticulata				

TRACHEOPHYTA

Phyllospadix scouleri	Surf grass	Ab	Ab	BC - Baja
Phyllospadix torreyi	Torrey's surf grass			
Spartina foliosa	Cord grass			
Salicornia pacifica	Sea asparagus			
Salicornia virginica	Pickleweed			
Zostera marina	Eel grass	Ab	Ab	OR -s.CA

Appendix G: Introduced Species

GULF OF THE FARALLONES NATIONAL MARINE SANCTUARY

INTRODUCED SPECIES

Compiled by Jarrett Byrnes Center for Population Biology University of California, Davis, California jebyrnes@ucdavis.edu

The Introduced Species list is for species in and around the Gulf of the Farallones National Marine Sanctuary (GFNMS), the Northern Management Area, and the Cordell Bank National Marine Sanctuary (CBNMS). The list was obtained by comparing lists of species within and around sanctuary waters to lists of known invaders within California, Bodega Harbor, Tomales Bay, and Elkhorn Slough. The list should therefore be regarded as conservative, including some species that may not yet be within Sanctuary waters per se, but given their geographic proximity, have a high probability of invading in the near future. Some of these species (e.g. *Ficopomatus enigmaticus*), may therefore qualify for the so-called "dirty-dozen" status based on impacts in other habitats despite not being found within Sanctuary waters. The sources used and their abbreviations are noted in column "Listing Sources(s)."

cb	Current species list for CBNMS as provided by Dan Howard (2002)
nma	Current species list for the Northern Management Area (2002)
bird	Species list from the Bird Rock Area of Special Biological Significance (ASBS)
	Report
nas	The USGS Nonindigenous Aquatic Species listing for California, found at
	http://nas.er.usgs.gov
bth	List of species identified during the all taxa biological inventory by Leslie Harris
gf	Current species list for GFNMS as provided by Jan Roletto (2002)
bod	Listing of introduced species in Bodega Harbor by Jim Carlton
neers	Listing of introduced species within the Elkhorn Slough National Estuarine
	Research Reserve System (NERRS) site
CDF	W California Department of Fish and Wildlife's (CDFW) Nonindigenous Aquatic
	Species list
amer	Species list from the Estero Americano and Estero de San Antonion ASBS report
	(1977)
fitz	Species list from the Fitzgerald Reserve ASBS report (1979)
elk	Updated list of invasive species in and around the Elkhorn Slough NERRS site
	provided by Kirsten Wasson
bth	CDFW's amended list of introduced species in Bodega Bay and Tomales Bay

* Entries marked with a * indicate that while the species may not have been included in a given list, there was an entry for the genus listed as a "sp.". Entries who only have starred listing sources should be viewed with caution.

INTRODUCED SPECIES

Algae

CLASSIFICATION & COMMON NAME	SCIENTIFIC NAME	Synonyms	Listing Source(s)	Invasive Status Source(s)
	Aglaothamnion cordatum		btc	btc
Dead Man's Fingers	Codium fragile tomentosoides	btc	btc, CDFW	
	Gelidium vagum	Gelidium sp.	btc, nma*	btc, CDFW
Red Siphonweed	Polysiphonia denudata	Polysiphonia sp.	nma*	CDFW
British Wireweed	Sargassum muticum		nma, elk	elk, CDFW
Wakame	Undaria pinnatifida		elk	elk, CDFW

Marsh Plants

CLASSIFICATION & COMMON NAME	SCIENTIFIC NAME	Synonyms	Listing Source(s)	Invasive Status Source(s)
Brassbuttons	Cotula coronopifolia		bod	bod
European Sea Rocket	Cakile maritima		bod	bod
Russian Thistle	Salsola soda		bod	CDFW, bod

Sponges

CLASSIFICATION & COMMON NAME	SCIENTIFIC NAME	Synonyms	Listing Source(s)	Invasive Status Source(s)
	Cliona celata	Cliona sp.	neers, nma, elk, bird*	neers, elk, neers*
	Cliona lobata	Cliona sp.	neers	neers
	Halichondria bowerbanki	Halichondria panicea, Halichondria coalita, Halichondria sp.	btc, bird, neers, elk, cb*, nma*	btc, CDFW, neers, elk
	Haliclona loosanoffi	Haliclona sp.	neers, elk, bod, bird*, gf*, nma*	CDFW, neers, elk, bod, nas*
	Hymeniacidon sinapium	Hymeniacidon sp.	neers, elk, bird*	neers, elk, CDFW*
	Prosuberites sp.		bird	CDFW

Cnidarians

CLASSIFICATION & COMMON NAME	SCIENTIFIC NAME	Synonyms	Listing Source(s)	Invasive Status Source(s)
	Amphinema sp.		bod	bod
Moon Jelly	Aurelia aurita	Aurelia dubia, Aurelia flavidula	gf, nma	CDFW
	Cordylophora caspia		neers, elk	CDFW, neers, elk
San Francisco Anemone	Diadumene franciscana		btc, neers, elk	btc, CDFW, neers, elk
White Anemone	Diadumene leucolena	Cylista leucolena	neers, elk	CDFW, neers, elk
	Haliplanella lineata	Diadumene lineata	bod, neers, elk	CDFW, bod, neers, elk
Doubletoothed Hydroid	Obelia bidentata	Obelia sp.	bird*, gf*, nma*	CDFW, nas
Sea Thread Hydroid	Obelia dichotoma	Obelia sp.	bod, bird*, gf*, nma*	nas, CDFW, bod
Clapper Hydromedusa	Sarsia tubulosa	Oceania tubulosa	neers	CDFW, neers
	Tubularia crocea	Ectopleura crocea	amer, gf, nma, neers, elk	CDFW, neers, elk

Platyhelminthes

CLASSIFICATION & COMMON NAME	SCIENTIFIC NAME	Synonyms	Listing Source(s)	Invasive Status Source(s)
	Cercaria batillariae		neers, elk	CDFW, neers, elk
Annelids				
	Apoprionospio pygmaea		btc	btc
Bristleworm	Capitella capitata Complex	Capitella sp.	btc, tmh*	btc,
	Ctenodrilus serratus	Parthenope serratus	btc	btc
	Dipolydora socialis		btc, tmh	btc
	Euchone limnicola		btc, tmh	btc
	Exogone lourei		btc, tmh	btc
Tube Worm	Ficopomatus enigmaticus	Mercierella enigmatica	neers, elk	CDFW, neers, elk
polychate	Glycera americana		btc	btc
polychate	Harmothoe imbricata	Aphrodita imbricata	btc, bird	btc
polychate	Heteromastus filiformis		neers, elk	CDFW, neers, elk
polychate	Mediomastus ambiseta		btc	btc
polychate	Neanthes succinea	Nereis succinea, Nereis limbata	btc	btc, CDFW

polychate	Notomastus hemipodus		btc	btc
polychate	Platynereis bicanaliculata		btc, bird, nma	btc
spionid	Polydora amarincola	Polydora sp.	bird*, amer*	CDFW
Mud Worm	Polydora cornuta	Polydora sp.	bod, bird*, amer*	nas, CDFW, bod
Mud Worm	Polydora ligni	Polydora sp.	neers, elk, bird*, amer*	CDFW, neers, elk
spionid	Pseudopolydora kempi		btc, bod, tmh	btc, CDFW, bod,
spionid	Pseudopolydora paucibranchiata	btc, neers, elk, bod	btc, CDFW, neers, elk, bod	
spionid	Streblospio benedicti		btc, amer, neers, elk, bod, tmh	btc, CDFW, neers, elk, bod,

Crustaceans

CLASSIFICATION & COMMON NAME	SCIENTIFIC NAME	Synonyms	Listing Source(s)	Invasive Status Source(s)
	Ampelisca abdita		btc	btc, CDFW
	Ampelisca agassizi	Ampelisca compressa, Ampelisca vera	btc	btc
	Ampithoe lacertosa	Ampithoe sp.	btc, bird*	btc
	Ampithoe valida	Ampithoe sp.	neers, elk, bod, tmh, bird*	CDFW, neers, elk, bod, nas
	Caprella acanthogaster		btc	btc, CDFW
	Caprella californica		btc, gf, nma	btc
Skeleton Shrimp	Caprella mutica		btc, neers	btc, CDFW, neers
	Corophium acherusicum		elk, bod	CDFW, elk, bod
	Corophium alienense		btc, bod	btc, CDFW, bod
	Corophium insidiosum		btc, elk, bod	btc, CDFW, elk, bod
	Corophium uenoi		elk	CDFW, elk
	Ericthonius brasiliensis		btc	btc, CDFW
	Grandidierella japonica		btc, neers, elk	btc, CDFW, neers, elk
	Jassa carltoni		btc	btc
	Jassa marmorata		btc, neers, elk, bod	btc, CDFW, neers, elk, bod
	Jassa slatteryi		btc	btc
	Leucothoe alata		btc	btc, CDFW
	Melita nitida		neers, elk	CDFW, neers, elk
	Monocorophium acherusicum	btc, neers	btc, CDFW, neers	
	Monocorophium insidiosum		neers	neers
	Monocorophium uenoi		neers	neers

	Parapleustes derzhavini		btc, neers, elk	btc, CDFW, neers, elk
	Sinocorophium alienense		btc	btc, CDFW
	Sinocorophium heteroceratum	btc	btc, CDFW	
	Iais californica		neers, elk, bod, tmh	CDFW, neers, elk, bod,
	Ianiropsis tridens		btc	btc, CDFW
	Laticorophium baconi		btc, tmh	btc
	Limnoria quadripunctata		neers	CDFW, neers
	Limnoria tripunctata		bod	CDFW, bod
	Paranthura elegans		btc, nma, tmh	btc, CDFW
Sphaeromatid Isopod	Sphaeroma quoyanum		btc, neers, elk	btc, CDFW, neers, elk
mysid	Acanthomysis aspera	Acanthomysis sp.	gf*, nma*	CDFW, nas
mysid	Acanthomysis bowmani	Acanthomysis sp.	gf*, nma*	CDFW, nas
barnacle	Balanus amphitrite	Balanus sp.	nma, nma*	CDFW, CDFW
barnacle	Balanus improvisus	Balanus sp.	neers, elk, nma*	CDFW, neers, elk, nas
Green Crab	Carcinus maenas	Carcinides maenas	btc, neers, bod	btc, CDFW, neers, bod
cumacean	Cumella vulgaris		btc	btc
		affinis, Leptochelia algicola, Leptochelia corsica, Leptochelia durbanensis, Leptochelia edwardsii, Leptochelia incerta, Leptochelia lifuensis, Leptochelia neapolitana, Leptochelia savignyi, Paratanais algicola, Paratanais edwardsii, Paratanais columbina, Tanais dubius, Tanais durbanensis,		

		Tanais edwardsi , Tanais filum		
Red Worm (copepod)	Mytilicola orientalis		neers, elk	CDFW, neers, elk
Asian cumacean	Nippoleucon hinumensis		btc	btc, CDFW
Korean Shrimp	Palaemon macrodactylus		neers	CDFW, neers
copepod	Pseudodiaptomus marinus		btc	btc, CDFW
tanaid	Sinelobus sp.		neers, elk	CDFW, neers, elk

Molluscs

Monuscs				
CLASSIFICATION & COMMON NAME	SCIENTIFIC NAME	Synonyms	Listing Source(s)	Invasive Status Source(s)
Pacific Giant Oyster	Crassostrea gigas		gf, nma	CDFW
Amethyst Gemclam	Gетта детта		btc, neers, bod	btc, CDFW, neers, bod
Blacktip Shipworm	Lyrodus pedicellatus		neers, elk	CDFW, neers, elk
Baltic Macoma	Macoma balthica		bod	CDFW, bod
Northern Quahog	Mercenaria mercenaria	Venus mercenaria	btc	btc, CDFW
Green Mussel	Musculista senhousia		btc, neers, bod	btc, CDFW, neers, bod
Softshell Clam	Mya arenaria		btc, amer, neers, bod	btc, CDFW, neers, bod
Mediterranean mussel	Mytilus galloprovincialis		neers, elk, bod	CDFW, neers, elk, bod
Mahogany Clam	Nutallia nutallia		elk	elk
Purple-Mahogany Clam	Nuttallia obscurata		neers	neers
Edible oyster	Ostrea edulis		btc	btc, CDFW
Olympia Oyster	Ostrea lurida		gf, nma	CDFW
Wing Oyster	Pteria sterna		btc	btc, CDFW
Asian semele	Theora lubrica		btc	btc, CDFW
Japanese Littleneck Clam	Venerupis philippinarum		btc, neers, bod	btc, CDFW, neers, bod
Japanese False Cerith	Batillaria attramentaria		btc, gf, neers, nma, elk	btc, CDFW, neers, elk
Japanese oyster drill	Ceratostoma inornatum		btc	btc, CDFW
European Melampus	Myosotella myosotis		neers, elk, bod	CDFW, neers, elk, bod
Easterm Mud Snail	Nassarius obsoletus	Ilyanassa obsoleta, Nassa obsoleta	btc	btc, CDFW
Flat Okenia	Okenia plana		neers, elk	CDFW, neers, elk
nudibranch	Philine auriformis		btc, neers, bod	btc, CDFW, neers, bod

nudibranch	Philine orientalis		bod	bod
Miniature Aeolis	Tenellia adspersa	Embletonia pallida	neers, elk	CDFW, neers, elk
Atlantic Oyster Drill	Urosalpinx cinerea		btc, neers	btc, CDFW, neers

Bryozoans

CLASSIFICATION & COMMON NAME	SCIENTIFIC NAME	Synonyms	Listing Source(s)	Invasive Status Source(s)
	Alcyonidium gelatinosum		btc	btc, CDFW
	Alcyonidium parasiticum		btc	btc
	Alcyonidium polyoum		btc	btc, CDFW
	Amathia vidovici		neers, elk	CDFW, neers, elk
	Barentsia benedeni		gf, neers, nma, elk	CDFW, neers, elk
	Bowerbankia gracilis		btc, neers, elk, bod	btc, CDFW, neers, elk, bod
	Bugula neritina		btc, bird, neers, elk, bod	btc, CDFW, neers, elk, bod
	Bugula stolonifera		neers, elk	CDFW, neers, elk
	Conopeum tenuissimum		neers, elk	CDFW, neers, elk
	Cryptosula pallasiana		btc, neers, elk, bod	btc, CDFW, neers, elk, bod
Single Horn Bryozoan	Schizoporella unicornis	Lepralia unicornis	btc, neers, elk, bod	btc, CDFW, neers, elk, bod
	Victorella pavida		btc	btc, CDFW
	Watersipora subtorquata		btc, neers, elk, bod	btc, CDFW, neers, elk, bod

Chordates &

Protozoans CLASSIFICATION Invasive & COMMON Status NAME SCIENTTIFIC NAME Listing Source(s) Source(s) Synonyms btc, nas, tunicate Ascidia zara btc CDFW btc btc, nas, tunicate Botrylloides perspicuum CDFW tunicate Botrylloides violaceus btc, neers, elk, bod btc, nas, neers, elk, bod btc, gf, bod btc, CDFW, Botryllus schlosseri tunicate Ciona intestinalis Ascidia btc, gf btc, CDFW tunicate intestinalis tunicate Ciona savignyi btc btc, nas, CDFW Didemnum lahillei Didemnum tunicate nas

		vexillum			
tunicate	Diplosoma listerianum		btc	btc, CDFW	
tunicate	Molgula manhattensis Ascidia manhattensis, Gymnocystis manhattensis		btc, neers, elk	btc, CDFW, neers, elk	
tunicate Polyandrocarpa zorritens			btc	btc, CDFW	
tunicate Styela clava			btc, neers, elk	btc, CDFW, neers, elk	
Yellowfin goby	Acanthogobius flavimanus	avimanus		CDFW, elk	
Atlantic Shad	Alosa sapidissima		gf, nma, elk	CDFW, elk	
European Carp	Cyprinus carpio		amer	CDFW	
Mosquitofish Gambusia affinis		Gambusia patruelis	elk, amer	CDFW, elk	
Rainwater Killifish	Lucania parva		amer	CDFW	
Striped Bass	triped Bass Morone saxatilis		gf, nma, elk	CDFW, elk	
North American Bullfrog	Rana catesbeiana		amer	CDFW	
ciliate Prionospio pygmaea		Ancistrocoma pelseneeri	btc	btc, CDFW	
foraminifera	Trochammina hadai		bod	CDFW, bod	

SUM-100

Case 3:24-CUMMONS COMPLATED 1-3 Filed 04/03/24

PEREOGRI OS DE LA CORTE)

NOTICE TO DEFENDANT: (AVISO AL DEMANDADO):

THEODORE M. NEALÉ, a California resident and citizen, SERGEY BRIN, a California citizen, [See attachment for additional Defendants]

YOU ARE BEING SUED BY PLAINTIFF:

(LO ESTÁ DEMANDANDO EL DEMANDANTE):

MARIA MAGDALENA OLARTE, individually and as personal representative of the Estate of LANCE MACLEAN, deceased,

E-FILED 2/13/2024 3:08 PM Clerk of Court Superior Court of CA, County of Santa Clara 24CV430717 Reviewed By: J. Nguyen

Envelope: 14397924

NOTICE! You have been sued. The court may decide against you without your being heard unless you respond within 30 days. Read the information

You have 30 CALENDAR DAYS after this summons and legal papers are served on you to file a written response at this court and have a copy served on the plaintiff. A letter or phone call will not protect you. Your written response must be in proper legal form if you want the court to hear your case. There may be a court form that you can use for your response. You can find these court forms and more information at the California Courts Online Self-Help Center (www.courtinfo.ca.gov/selfhelp), your county law library, or the courthouse nearest you. If you cannot pay the filing fee, ask the court clerk for a fee waiver form. If you do not file your response on time, you may lose the case by default, and your wages, money, and property may be taken without further warning from the court.

There are other legal requirements. You may want to call an attorney right away. If you do not know an attorney, you may want to call an attorney referral service. If you cannot afford an attorney, you may be eligible for free legal services from a nonprofit legal services program. You can locate these nonprofit groups at the California Legal Services Web site (www.lawhelpcalifornia.org), the California Courts Online Self-Help Center (www.courtinfo.ca.gov/selfhelp), or by contacting your local court or county bar association. NOTE: The court has a statutory lien for waived fees and costs on any settlement or arbitration award of \$10,000 or more in a civil case. The court's lien must be paid before the court will dismiss the case. ¡AVISO! Lo han demandado. Si no responde dentro de 30 días, la corte puede decidir en su contra sin escuchar su versión. Lea la información a continuación

Tiene 30 DÍAS DE CALENDARIO después de que le entreguen esta citación y papeles legales para presentar una respuesta por escrito en esta corte y hacer que se entregue una copia al demandante. Una carta o una llamada telefónica no lo protegen. Su respuesta por escrito tiene que estar en formato legal correcto si desea que procesen su caso en la corte. Es posible que haya un formulario que usted pueda usar para su respuesta. Puede encontrar estos formularios de la corte y más información en el Centro de Ayuda de las Cortes de California (www.sucorte.ca.gov), en la biblioteca de leves de su condado o en la corte que le quede más cerca. Si no puede pagar la cuota de presentación, pida al secretario de la corte que le dé un formulario de exención de pago de cuotas. Si no presenta su respuesta a tiempo, puede perder el caso por incumplimiento y la corte le podrá quitar su sueldo, dinero y bienes sin más advertencia.

Hay otros requisitos legales. Es recomendable que llame a un abogado inmediatamente. Si no conoce a un abogado, puede llamar a un servicio de remisión a abogados. Si no puede pagar a un abogado, es posible que cumpla con los requisitos para obtener servicios legales gratuitos de un programa de servicios legales sin fines de lucro. Puede encontrar estos grupos sin fines de lucro en el sitio web de California Legal Services, (www.lawhelpcalifornia.org), en el Centro de Avuda de las Cortes de California, (www.sucorte.ca.gov) o poniéndose en contacto con la corte o el colegio de abogados locales. AVISO: Por ley, la corte tiene derecho a reclamar las cuotas y los costos exentos por imponer un gravamen sobre cualquier recuperación de \$10,000 ó más de valor recibida mediante un acuerdo o una concesión de arbitraje en un caso de derecho civil. Tiene que pagar el gravamen de la corte antes de que la corte pueda desechar el caso.

The name and address of the court is: (El nombre v dirección de la corte es):

CASE NUMBER (Número del Caso) 24CV430717

Superior Court of the State of Californ 191 N. First Street San Jose, CA 95113

The name, address, and telephone number of plaintiff's attorney, or plaintiff without an attorney, is:

(El nombre, la dirección y el número de teléfono del abogado del demandante, o del demandante que no tiene abogado, es):

Elizabeth L. Crooke, Esq. SBN: 90305 / Engstrom, Lipscomb & Lack 10100 Santa Monica Boulevard, 12th Flr., Telephone No.: (310) 552-3800

Los Angeles, California 90067

DATE: Clerk, by , Deputy 2/13/2024 3:08 PM Clerk of Court J. Nguyen (Fecha) (Secretario) (Adjunto)

(For proof of service of this summons, use Proof of Service of Summons (form POS-010).)

entrega de esta citación use el formulario Proof of Service of Summons, (POS-010)).

(Para prueba de entrega	a ue es
[SEAL]	
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OTTO IF OR HOLD	

NOTICE TO THE PERSON SERVED: `	You are served
4 🗀	

as an individual defendant.

as the person sued under the fictitious name of (specify):

on behalf of (specify): under:

CCP 416.10 (corporation)

CCP 416.20 (defunct corporation) CCP 416.40 (association or partnership)

other (specify):

	CCP 416.60 (minor)
	CCP 416.70 (conservatee)
	CCP 416.90 (authorized person)

4. by personal delivery on (date):

Page 1 of 1

LE: Case 3:24-cv-02021-AMO Document 1-3 Filed 04/03/24 Page 329 of 329

SHORT TITLE: Case 5.4

OLARTE V. NEALE

DOES 1 to 50, inclusive,

NEALE 24CV430717

INSTRUCTIONS FOR USE

> This form may be used as an attachment to any summons if space does not permit the listing of all parties on the summons.

If this attachment is used, insert the following statement in the plaintiff or defendant box on the summons: "Additional Parties Attachment form is attached."

List additional parties (Check only one box. Use a separate page for each type of party.):							
Plaintiff	X Defendant	Cross-Complainant	Cross-Defendant				
BAYSHORE GLOBAL MANAGEMENT LLC, a California limited liability company,							
GOOGLE LLC, a California limited liability company, SEAFLY LLC, a							
California limited liability company, SOUTHERN CROSS AVIATION INC., and							

Page _____2 of _____2

Page 1 of 1

